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**CIVIL MONETARY PENALTY
ASSESSMENTS AND COLLECTIONS
1990 REPORT TO CONGRESS
AND
CIVIL MONETARY PENALTY
INFLATION ADJUSTMENT REPORT**

DEPARTMENT OF
TRANSPORTATION
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REPORTS TO THE CONGRESS

JULY 1991

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**CIVIL MONETARY PENALTY
ASSESSMENTS AND COLLECTIONS
1990 REPORT TO CONGRESS**

MAY 1991

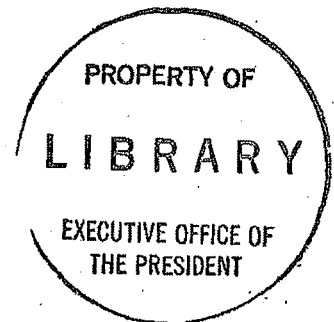


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10/10/91

CIVIL MONETARY PENALTY ASSESSMENTS AND COLLECTIONS, FY 1990

The Federal Civil Penalties Inflation Adjustment Act of 1990, P.L. 101-410, enacted October 5, 1990, establishes annual reporting requirements for civil monetary penalties (CMPs) assessed and collected each fiscal year. CMPs are non-criminal penalties imposed for violations of Federal law and are defined by law as having a fixed or maximum dollar value. (For a full definition of CMPs, see Appendix I.) This report is the first annual report submitted under the Act.

The purpose of the Act is to provide for the regular evaluation and modification of CMPs to ensure that they continue to perform the deterrent role for which they were originally enacted. The Act also focuses attention on the collection of CMPs to ensure that penalty amounts due the Federal Government are properly accounted for and collected.

In addition to the annual report on penalty assessments and collections, the Act institutes a separate reporting requirement for determining inflation adjustments to civil monetary penalties. The inflation adjustment report, due every five years, will be submitted for the first time in May 1991.

Annual Reporting Requirement

The Act requires that the President submit to the Congress an annual report, due January 1 of each year, on CMP assessments and collections.¹ The Act requires, to the extent possible, that the report list for each CMP provision of Federal law:

- o The number and dollar value of all CMPs assessed or imposed during the prior fiscal year; and
- o The number and dollar value of all CMPs collected during the prior fiscal year.

The first annual report on CMPs covers penalty assessments and collections for FY 1990. For this report, the Office of Management and Budget (OMB) is

¹The Office of Management and Budget has written to the chairmen of the House Committee on Government Operations and the Senate Committee on Governmental Affairs, requesting an extension for submission of the first annual report.

reporting aggregate assessments and collections by agency, because agency accounting systems are generally not yet able to report data by statute penalty. Reports for subsequent fiscal years will identify assessments and collections activity for each penalty provision in Federal law.

Key Issues in Meeting the Annual Reporting Requirement

For purposes of this report, 24 Federal agencies have reported CMP assessments and collections activity for FY 1990 (see the tables in Appendix II). These data contain major gaps and should be considered preliminary estimates. Additional agencies have cited authority to levy and collect CMPs, while reporting no assessments or collections activity during FY 1990.

In the relatively limited time since passage of the Act, most agencies have not been able to modify their accounting systems to support the specific data requirements of the Act. Some agencies have been unable to provide full and accurate reporting of all CMP assessments and collections. In some cases, agencies have reported the dollar value of assessments and collections, but not the number of assessments and collections. Sometimes, collections data have been found to be readily available, while assessments data have not, or vice versa. A number of different issues involving agency accounting systems have been identified:

- o Few agencies record CMP assessments and collections at the level of detail required by the Act (by specific penalty) in their accounts receivable.²
- o Assessments resulting from the levying of penalties are not always recorded in agencies' accounts receivable. Accounting for penalty receivables is problematic for a number of reasons. For example:
 - The size of many proposed penalties is routinely negotiated or only finally determined as a result of extensive administrative or civil court proceedings. As a result, some agencies are uncertain as to when and how to report penalty assessments in agency accounts receivable.

²A "receivable" is an amount owed the Federal Government by an individual, organization, or other entity to satisfy a debt or claim. An amount assessed as a penalty is considered to be a "receivable."

- Sometimes the agency subdivision identifying the regulatory violation (or proposing the penalty) is not the same entity that formally assesses and collects the penalty. For instance, the Defense Department's Office of Inspector General has identified a number of cases that have been referred to Justice's Civil Division for assessment and collection and are, therefore, not formally carried on Defense's financial records.
- o Because many agency accounting systems are not currently able to distinguish CMPs from other types of receivables, some agencies have had to rely on program offices or other sources for some of the data contained in this report. Often, such data have not been reconciled with agencies' financial records.

In some cases, the resulting "data gaps" are minor; in others, they are significant. For example, the Department of Transportation, which collected \$86.0 million -- or 33.9 percent -- of all identified CMP collections, was unable to provide information on its penalty assessments. Similarly, the Treasury Department substantially underreported because the Customs Service was unable to report for FY 1990 and the Internal Revenue Service (IRS) was unable to identify CMP collections.³

Another major shortcoming is that the data provide no information on the size of outstanding, uncollected CMP receivables. While total reported collections are nearly equal to assessments, the collections data relate to both FY 1990 and prior year assessments. Outstanding prior year assessments that have not been collected are not reported.

Summary of Agency Reports

The following data provide a summary of the reported CMP assessments and collections for FY 1990:

- o A total of 24 Federal agencies reported CMP assessments and collections activity for FY 1990;

³The Customs Service is currently working on resolving its reporting problem and plans to be able to report data for FY 1991. IRS' revenue accounting system captures and reports collections data by gross amount and is therefore unable to provide data that meet the requirements of the Act. OMB is working with the IRS to establish a time frame for meeting the reporting requirements.

- o Agencies identified at least 605,907 assessments and assessed penalty amounts totalling \$292.2 million for the fiscal year;
- o Agencies identified collections of \$254.0 million during FY 1990, with some of the collections related to prior year assessments.

Summary tables providing assessments and collections data by agency appear in Appendix II.

Planned Corrective Actions

OMB anticipates improved agency reporting in the future because most agencies will have had time to make accounting system adjustments necessary to meet the reporting requirements under the Act. However, discussions with agency officials suggest that agencies would benefit from additional guidance on financial recordkeeping for CMPs.

In order to improve reporting for FY 1991 and subsequent fiscal years, OMB is recommending that reporting requirements for CMPs be integrated into the Department of the Treasury's financial reporting functions. In addition, OMB will work with Treasury to ensure that the following corrective actions are implemented:

	Corrective Action	Deadline
1.	OMB and Treasury will establish a working group with representatives of agencies with major CMP activity to: 1) identify additional data elements necessary to supplement the reporting requirements for tracking outstanding CMP receivables and collections, and 2) prepare a plan for full implementation of the reporting requirements.	5/31/91
2.	OMB, with Treasury's assistance, will issue guidance to the agencies for purposes of maintaining information on CMP activity sufficient to support the annual reporting requirements.	6/30/91
3.	Treasury will implement a system for data collection and issue reporting instructions to the agencies for the FY 1991 CMP annual report.	9/30/91
4.	Agencies will submit FY 1991 CMP reports to Treasury through its GOALS system.	11/30/91

With the submission of the 1991 inflation adjustment report on CMPs, OMB will provide an update on efforts underway to improve reporting for FY 1991.

Appendix I - Definition of Civil Monetary Penalties

Definition of Civil Monetary Penalties

The Federal Civil Penalties Inflation Adjustment Act of 1990, P.L. 101-410, enacted October 5, 1990, defines a CMP to be any "penalty, fine, or other sanction" for which a given dollar amount or maximum amount is specified by Federal law, and which is assessed or enforced by an agency as a result of an administrative proceeding or a civil action in the Federal courts. Penalties that are defined as a specific dollar amount or maximum (such as "\$2,500 per day," "\$10,000 per violation," and "10 percent of the underreported amount, but no more than \$5,000") are included under the reporting requirements of the Act.

Federal agencies assess and collect CMPs to enforce a wide variety of regulations in areas such as health and safety, transportation safety, environmental hazards, import and export restrictions, land and resource management, tax law enforcement, and lobbying. Most of the Departments and major agencies also are authorized to assess and collect CMPs under the auspices of the Program Fraud Civil Remedies Act (PFCRA).¹

A search of the U.S. Code indicates that there are about 500 statute sections containing language on civil penalties. Some of these penalties, however, are not definable as "civil monetary penalties," because they are defined entirely as variable or percentage based penalties, and are not addressed in the reporting requirements of the Act.

A 1988 survey conducted by the President's Council on Integrity and Efficiency (PCIE) identifies 200 different statutory, non-tax CMPs, allowing agencies to levy penalties for 251 different types of violations. The identified penalty amounts range from as little as \$10 to at least \$1,000,000. In addition to these non-tax CMPs, the Internal Revenue Service has identified 66 tax-related CMPs.

¹The Program Fraud Civil Remedies Act (PFCRA), enacted October 1986, grants authority to each agency's Office of Inspector General to combat fraud by authorizing CMPs to be levied against anyone who makes a false claim or written statement to the Federal Government.

Appendix II - 1990 Summary Tables

**CIVIL MONETARY PENALTY
ASSESSMENTS AND COLLECTIONS, FY 1990**

Summary Tables

- Table 1. FY 1990 Civil Monetary Penalty Assessments and Collections Reported -- Listed by Agency in Descending Order, by Dollar Value of Penalty Collections**
- Table 2. FY 1990 Civil Monetary Penalty Assessments and Collections Reported -- Listed Alphabetically by Agency and Major Bureau (or Division)**
- Table 3. Major Agencies Reporting No Civil Monetary Penalty Assessments or Collections for FY 1990 -- Listed Alphabetically by Agency**

**Table 1. FY 1990 Civil Monetary Penalty Assessments and Collections Reported
-- Listed by Agency in Descending Order, by Dollar Value of Penalty Collections**

AGENCY/BUREAU (OR PROGRAM) NAME	DOLLAR VALUE OF PENALTY ASSESSMENTS REPORTED (\$000)	PERCENT OF REPORTED ASSESS- MENTS	DOLLAR VALUE OF PENALTY COLLECTIONS REPORTED (\$000)	PERCENT OF REPORTED COLLEC- TIONS
DEPARTMENT OF TRANSPORTATION ^a	N/A	N/A	\$86,033.0	33.9%
DEPARTMENT OF LABOR	\$64,989.7	22.2%	\$50,261.8	19.8%
ENVIRONMENTAL PROTECTION AGENCY ^b	\$58,924.3	20.2%	\$39,384.1	15.5%
FEDERAL MARITIME COMMISSION	\$23,937.2	8.2%	\$24,982.9	9.8%
DEPARTMENT OF THE INTERIOR	\$9,527.5	3.3%	\$18,899.9	7.4%
DEPARTMENT OF JUSTICE	\$22,073.6	7.6%	\$15,902.2	6.3%
NUCLEAR REGULATORY COMMISSION	\$3,868.1	1.3%	\$3,748.5	1.5%
FEDERAL COMMUNICATIONS COMMISSION	\$4,129.0	1.4%	\$2,534.0	1.0%
DEPARTMENT OF AGRICULTURE ^c	\$2,192.2	0.8%	\$2,152.0	0.8%
FEDERAL TRADE COMMISSION	\$5,933.5	2.0%	\$1,958.4	0.8%
DEPARTMENT OF THE TREASURY ^d	\$64,544.7	22.1%	\$1,844.4	0.7%
COMMODITY FUTURES TRADING COMMISSION	\$2,175.0	0.7%	\$1,637.0	0.6%
DEPARTMENT OF HEALTH AND HUMAN SERVICES	\$15,978.6	5.5%	\$1,411.6	0.6%
DEPARTMENT OF EDUCATION	\$1,929.8	0.7%	\$781.3	0.3%
CONSUMER PRODUCT SAFETY COMMISSION	\$782.0	0.3%	\$552.0	0.2%
DEPARTMENT OF COMMERCE	\$895.3	0.3%	\$512.6	0.2%
FEDERAL EMERGENCY MANAGEMENT AGENCY	\$572.3	0.2%	\$384.8	0.2%
INTERSTATE COMMERCE COMMISSION	\$361.7	0.1%	\$365.6	0.1%
FEDERAL DEPOSIT INSURANCE CORPORATION	\$5,420.1	1.9%	\$308.0	0.1%
FEDERAL RESERVE SYSTEM	\$3,119.0	1.1%	\$271.0	0.1%
DEPARTMENT OF ENERGY	\$679.1	0.2%	\$64.1	0.0%
DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT	\$21.0	0.0%	\$21.0	0.0%
U.S. POSTAL SERVICE	\$110.3	0.0%	\$6.7	0.0%
MERIT SYSTEMS PROTECTION BOARD	\$0.8	0.0%	\$0.8	0.0%
TOTAL ^e	\$292,164.9	100.0%	\$254,017.5	100.0%

- a The Department of Transportation is currently unable to report assessments. The reported collections are estimates.
- b The assessments and collections data are as reported by the agency's program offices and are not currently reconcilable with the data reported within the agency's financial systems.
- c The Department's Animal and Plant Health Inspection Service was unable to report for all assessments during the time frame for the report. Therefore, the assessments data substantially undercount the actuals.
- d Treasury assessments and collections are underreported because the Customs Service is unable report for FY 1990 and the Internal Revenue Service, accounting for almost 96 percent of Treasury assessments, is unable to identify CMP collections in its revenue accounting systems.
- e The totals are aggregates of the data reported by the agencies. However, some agencies are unable to identify or report all assessments and collections for FY 1990.

**Table 2. FY 1990 Civil Monetary Penalty Assessments and Collections Reported
-- Listed Alphabetically by Agency and Major Bureau (or Division)**

AGENCY/BUREAU (OR PROGRAM) NAME	NUMBER OF TIMES PENALTIES REPORTED ASSESSED	DOLLAR VALUE OF REPORTED PENALTY ASSESSMENTS (\$000)	NUMBER OF TIMES PENALTIES REPORTED COLLECTED	DOLLAR VALUE OF REPORTED PENALTY COLLECTIONS (\$000)
DEPARTMENT OF AGRICULTURE	380	\$2,192.2	24,445	\$2,152.0
AGRICULTURAL MARKETING SERVICE	27	\$43.9	23	\$35.3
ANIMAL AND PLANT HEALTH INSPECTION SERVICE ^a	153	\$254.5	24,060	\$1,017.3
FOOD AND NUTRITION SERVICE	132	\$1,285.5	234	\$531.6
PACKERS AND STOCKYARDS ADMINISTRATION	68	\$608.5	128	\$567.8
DEPARTMENT OF COMMERCE	400	\$895.3	337	\$512.6
BUREAU OF EXPORT ADMINISTRATION	16	\$628.0	14	\$300.0
NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION	384	\$267.3	323	\$212.6
COMMODITY FUTURES TRADING COMMISSION	47	\$2,175.0	58	\$1,637.0
CONSUMER PRODUCT SAFETY COMMISSION	8	\$782.0	9	\$552.0
DEPARTMENT OF EDUCATION	232	\$1,929.8	214	\$781.3
OFFICE OF POSTSECONDARY EDUCATION	232	\$1,929.8	214	\$781.3
DEPARTMENT OF ENERGY	6	\$679.1	4	\$64.1
FEDERAL ENERGY REGULATORY COMMISSION	6	\$679.1	4	\$64.1
ENVIRONMENTAL PROTECTION AGENCY ^b	N/A	\$58,924.3	N/A	\$39,384.1
FEDERAL COMMUNICATIONS COMMISSION	944	\$4,129.0	647	\$2,534.0
COMMON CARRIER BUREAU	45	\$2,689.0	43	\$1,666.0
FIELD OPERATIONS BUREAU	810	\$896.0	541	\$548.0
MASS MEDIA BUREAU	68	\$528.0	54	\$307.0
PRIVATE RADIO BUREAU	21	\$16.0	9	\$13.0
FEDERAL DEPOSIT INSURANCE CORPORATION	28	\$5,420.1	26	\$308.0
FEDERAL EMERGENCY MANAGEMENT AGENCY	2	\$572.3	1	\$384.8

(continued)

(Table 2, Continued)

AGENCY/BUREAU (OR PROGRAM) NAME	NUMBER OF TIMES PENALTIES REPORTED ASSESSED	DOLLAR VALUE OF REPORTED PENALTY ASSESSMENTS (\$000)	NUMBER OF TIMES PENALTIES REPORTED COLLECTED	DOLLAR VALUE OF REPORTED PENALTY COLLECTIONS (\$000)
FEDERAL MARITIME COMMISSION	N/A	\$23,937.2	N/A	\$24,982.9
FEDERAL RESERVE SYSTEM	21	\$3,119.0	6	\$271.0
FEDERAL TRADE COMMISSION	32	\$5,933.5	35	\$1,958.4
BUREAU OF CONSUMER PROTECTION	31	\$1,933.5	35	\$1,958.4
BUREAU OF COMPETITION	1	\$4,000.0	N/A	N/A
DEPARTMENT OF HEALTH AND HUMAN SERVICES ^c	76	\$15,978.6	106	\$1,411.6
HEALTH CARE FINANCING ADMINISTRATION	N/A	N/A	106	\$1,411.6
OFFICE OF THE INSPECTOR GENERAL	76	\$15,978.6	N/A	N/A
DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT	21	\$21.0	21	\$21.0
OFFICE OF MANUFACTURED HOUSING & REGULATORY FUNCTIONS	21	\$21.0	21	\$21.0
DEPARTMENT OF THE INTERIOR	3,355	\$9,527.5	1,823	\$18,899.9
BUREAU OF LAND MANAGEMENT	173	\$81.4	138	\$65.1
FISH & WILDLIFE SERVICE	670	\$272.3	N/A	\$7,451.7
MINERALS MANAGEMENT SERVICE	2,081	\$524.3	1,500	\$10,579.3
OFFICE OF SURFACE MINING RECLAMATION ENFORCEMENT	431	\$8,649.6	185	\$803.8
INTERSTATE COMMERCE COMMISSION	42	\$361.7	52	\$365.6
DEPARTMENT OF JUSTICE	14,281	\$22,073.6	14,477	\$15,902.2
ANTITRUST DIVISION	1	\$275.0	1	\$275.0
CIVIL DIVISION	47	\$3,933.4	46	\$2,858.9
IMMIGRATION & NATURALIZATION SERVICE	14,233	\$17,865.2	14,430	\$12,768.3
DEPARTMENT OF LABOR	186,459	\$64,989.7	166,733	\$50,261.8
EMPLOYMENT STANDARDS ADMINISTRATION	2,651	\$9,999.2	2,417	\$5,702.0
MINE SAFETY AND HEALTH ADMINISTRATION	151,947	\$17,979.1	138,062	\$14,842.8
OCCUPATIONAL SAFETY AND HEALTH ADMINISTRATION	31,861	\$37,011.4	26,254	\$29,717.0

(continued)

(Table 2, Continued)

AGENCY/BUREAU (OR PROGRAM) NAME	NUMBER OF TIMES PENALTIES REPORTED ASSESSED	DOLLAR VALUE OF REPORTED PENALTY ASSESSMENTS (\$000)	NUMBER OF TIMES PENALTIES REPORTED COLLECTED	DOLLAR VALUE OF REPORTED PENALTY COLLECTIONS (\$000)
MERIT SYSTEMS PROTECTION BOARD	1	\$0.8	1	\$0.8
NUCLEAR REGULATORY COMMISSION	92	\$3,868.1	98	\$3,748.5
U.S. POSTAL SERVICE	19	\$110.3	2	\$6.7
DEPARTMENT OF TRANSPORTATION ^d	N/A	N/A	N/A	\$86,033.0
FEDERAL AVIATION ADMINISTRATION	N/A	N/A	N/A	\$2,498.6
FEDERAL HIGHWAY ADMINISTRATION	N/A	N/A	N/A	\$6,678.5
FEDERAL RAILROAD ADMINISTRATION	N/A	N/A	N/A	\$5,257.6
MARITIME ADMINISTRATION	N/A	N/A	N/A	\$1,597.6
NATIONAL HIGHWAY TRAFFIC SAFETY ADMINISTRATION	N/A	N/A	N/A	\$64,344.8
U.S. COAST GUARD	N/A	N/A	N/A	\$5,656.0
DEPARTMENT OF THE TREASURY	399,461	\$64,544.7	232	\$1,844.4
INTERNAL REVENUE SERVICE ^e	399,290	\$61,736.6	N/A	N/A
U.S. CUSTOMS SERVICE ^f	N/A	N/A	N/A	N/A
OFFICE OF THE COMPTROLLER OF THE CURRENCY	151	\$1,037.7	213	\$441.9
OFFICE OF ENFORCEMENT	5	\$1,489.0	4	\$1,121.0
OFFICE OF THRIFT SUPERVISION	15	\$281.5	15	\$281.5
TOTAL ^g	605,907	\$292,164.9	209,327	\$254,017.5

- a The agency was unable to report for all assessments during the time frame for the report. Therefore, the assessments data substantially undercount the actuals.
- b The assessments and collections data are as reported by the agency's program offices and are not currently reconcilable with the data reported within the agency's financial systems.
- c The Health Care Financing Administration collects penalties levied by the Office of the Inspector General.
- d The Department of Transportation is currently unable to report assessments. The reported collections are estimates.
- e The IRS is currently unable to report collections on penalty assessments. The assessments reported are pre-abatement. Net penalty assessments, following abatement, are considerably lower.
- f The Customs Service assesses and collects civil monetary penalties, but is unable to report for FY 1990.
- g The totals are aggregates of the data reported by the agencies. However, some agencies are unable to identify or report all assessments and collections for FY 1990. Also, in some cases, agencies were able to report the dollar value of assessments and collections, but could not identify the number of assessments and collections.

Table 3. Major Agencies Reporting No Civil Monetary Penalty Assessments or Collections for FY 1990 -- Listed Alphabetically by Agency

AGENCY NAME	REASON
ACTION	REPORTED NO ASSESSMENTS/COLLECTIONS ACTIVITY
AGENCY FOR INTERNATIONAL DEVELOPMENT	REPORTED NO ASSESSMENTS/COLLECTIONS ACTIVITY
DEPARTMENT OF DEFENSE	REPORTED NO ASSESSMENTS/COLLECTIONS ACTIVITY
EXPORT-IMPORT BANK	REPORTED NO STATUTORY AUTHORITY
FARM CREDIT ADMINISTRATION	REPORTED NO ASSESSMENTS/COLLECTIONS ACTIVITY
FEDERAL ELECTIONS COMMISSION	REPORTED NO ASSESSMENTS/COLLECTIONS ACTIVITY
U.S. GENERAL ACCOUNTING OFFICE	REPORTED NO STATUTORY AUTHORITY
GENERAL SERVICES ADMINISTRATION	REPORTED NO ASSESSMENTS/COLLECTIONS ACTIVITY
INTERNATIONAL TRADE COMMISSION	REPORTED NO ASSESSMENTS/COLLECTIONS ACTIVITY
NATIONAL AERONAUTICS AND SPACE ADMINISTRATION	REPORTED NO ASSESSMENTS/COLLECTIONS ACTIVITY
NATIONAL ARCHIVES AND RECORDS ADMINISTRATION	REPORTED NO ASSESSMENTS/COLLECTIONS ACTIVITY
NATIONAL CREDIT UNION ADMINISTRATION	REPORTED NO ASSESSMENTS/COLLECTIONS ACTIVITY
OFFICE OF PERSONNEL MANAGEMENT	REPORTED NO ASSESSMENTS/COLLECTIONS ACTIVITY
OVERSEAS PRIVATE INVESTMENT CORPORATION	REPORTED NO ASSESSMENTS/COLLECTIONS ACTIVITY
PEACE CORPS	REPORTED NO STATUTORY AUTHORITY
RAILROAD RETIREMENT BOARD	REPORTED NO ASSESSMENTS/COLLECTIONS ACTIVITY
RESOLUTION TRUST CORPORATION	REPORTED NO STATUTORY AUTHORITY
SMALL BUSINESS ADMINISTRATION	REPORTED NO ASSESSMENTS/COLLECTIONS ACTIVITY
STATE DEPARTMENT	REPORTED NO ASSESSMENTS/COLLECTIONS ACTIVITY
DEPARTMENT OF VETERANS AFFAIRS	REPORTED NO ASSESSMENTS/COLLECTIONS ACTIVITY

**CIVIL MONETARY PENALTY
INFLATION ADJUSTMENT REPORT**

JULY 1991

CIVIL MONETARY PENALTY INFLATION ADJUSTMENT REPORT

The Federal Civil Penalties Inflation Adjustment Act of 1990 (the "Act"), P.L. 101-410, enacted October 5, 1990, establishes regular reporting requirements for civil monetary penalties (CMPs). The purpose of the Act is two-fold: (1) to provide a source of revenue enhancements through the regular inflation adjustment of civil penalty authorities in Federal law, and (2) to insure that CMPs continue to have the deterrent value for which they were originally enacted.

The Act requires that the President submit a report to the Congress every five years identifying all applicable penalties and providing inflation adjustments for those that have not been adjusted by law in the last five years. The Act defines a CMP to be any "penalty, fine, or other sanction" for which a given dollar amount or maximum amount is specified by Federal law, and which is assessed or enforced by an agency as a result of an administrative proceeding or a civil action in the Federal courts. Penalties that are defined as a specific dollar amount or maximum (such as "\$2,500 per day," "\$10,000 per violation," and "10 percent of the gross value, but no more than \$5,000") are included under the reporting requirements of the Act. This report is the first inflation adjustment report due under the Act.

In response to an OMB data call, 41 Federal agencies reported CMP penalty authorities. This report, which is a compilation of the submissions of those agencies, contains three parts:

- **EXHIBIT 1 (Civil Monetary Penalty Authorities Identified by Federal Agencies)** is an inventory of existing civil monetary penalty authorities listed in Federal law, including for each provision: the legal citation, description, the year the penalty was last modified or set by law, a description of how the penalty is applied, and an indication as to whether inflation adjustment of the penalty is appropriate (as defined by the Act).
- **EXHIBIT 2 (Civil Monetary Penalty Inflation Adjustments)** provides the penalty values following the inflation adjustment methodology set forth in the Act -- including both "raw" values (before rounding) and the values rounded according to the instructions set forth in the Act.¹
- **EXHIBIT 3 (Law Modifications Necessary to Enact Civil Monetary Penalty Inflation Adjustments)** provides the changes to existing law that would be required to provide an inflation adjustment for civil monetary penalties.

¹The Act specifies that the inflation adjustments be based on the Consumer Price Index for All Urban Consumers (CPI-U). However, this index is available only back to 1913, and other indices for prior years are incompatible. As a result, the adjustments for the few penalties in which the last adjustment occurred prior to 1913 are calculated as though the adjustment occurred in 1913.

Exhibit 1

Civil Monetary Penalty Authorities Identified by Federal Agencies

EXHIBIT 1—CIVIL MONETARY PENALTY AUTHORITIES IDENTIFIED BY FEDERAL AGENCIES

U.S. CODE CITATION	CIVIL MONETARY PENALTY DESCRIPTION	MINIMUM PENALTY AMOUNT SET BY LAW AS OF 3/1/81	MAXIMUM PENALTY AMOUNT SET BY LAW AS OF 3/1/81	HOW PENALTY IS APPLIED	YEAR PENALTY AMOUNT WAS LAST SET BY LAW	LAW MODIFICATION APPROPRIATE	TEXT OF LAW MODIFICATION PROVIDED
U.S. DEPT. OF AGRICULTURE							
AGRICULTURAL MARKETING SERVICE							
7 USC 499a(5)	VIOLATION OF UNFAIR CONDUCT	NA	\$2,000 PER VIOLATION	PER VIOLATION	1974	YES	YES
7 USC 499a(6)	VIOLATION OF LICENSING REQUIREMENTS	NA	\$25 PER VIOLATION	PER VIOLATION	1937	YES	YES
7 USC 499a(7)	VIOLATION OF LICENSING REQUIREMENTS	NA	\$500 PER VIOLATION PLUS \$25 PER DAY OF CONTINUANCE	PER VIOLATION PLUS PER DAY OF CONTINUANCE	1976	YES	YES
7 USC 909a(1)-(3)	VIOLATION OF PROGRAM	NA	\$1,000 PER DAY	PER DAY	1978	YES	YES
7 USC 910(b)	FEDERAL TRADE COMMISSION ACT/FAILURE TO FILE CERTAIN REPORTS	NA	\$100 PER DAY	PER DAY	1987	NO	SEE NOTE (1)
7 USC 1596(b)	VIOLATION OF PROGRAM	\$25	\$500 PER VIOLATION	PER VIOLATION	1958	YES	YES
7 USC 2112(b)	VIOLATION OF PROGRAM	NA	\$1,000 PER VIOLATION	PER VIOLATION	1968	YES	YES
7 USC 2621(b)(1)	FAILURE TO PAY ASSESSMENTS	NA	\$6,000 PER VIOLATION	PER VIOLATION	1982	YES	YES
7 USC 2621(b)(3)	FAILURE TO OBEY CEASE & DESIST	NA	\$500 PER DAY	PER DAY	1982	YES	YES
7 USC 2714(b)(1)	VIOLATION OF PROGRAM	NA	\$5,000 PER VIOLATION	PER VIOLATION	1980	YES	YES
7 USC 2714(b)(3)	FAILURE TO OBEY CEASE & DESIST	NA	\$500 PER DAY	PER DAY	1980	YES	YES
7 USC 2808(a)(2)	VIOLATION OF PROGRAM	NA	\$5,000 PER VIOLATION	PER VIOLATION	1976	YES	YES
7 USC 3410(b)	VIOLATION OF PROGRAM	NA	\$1,000 PER VIOLATION	PER VIOLATION	1977	YES	YES
7 USC 4314(b)(1)	VIOLATION OF PROGRAM	NA	\$5,000 PER VIOLATION	PER VIOLATION	1981	YES	YES
7 USC 4314(b)(3)	FAILURE TO OBEY CEASE & DESIST	NA	\$500 PER DAY	PER DAY	1981	YES	YES
7 USC 4510(b)	VIOLATION OF PROGRAM	\$500	\$5,000 PER VIOLATION	PER VIOLATION	1983	NO	SEE NOTE (2)
7 USC 4610(b)(1)	VIOLATION OF PROGRAM	NA	\$500 PER DAY	PER DAY	1984	YES	YES
7 USC 4610(b)(3)	FAILURE TO OBEY CEASE & DESIST	NA	\$5,000 PER VIOLATION	PER VIOLATION	1984	YES	YES
7 USC 4815(b)(1)(A)(i)	VIOLATION OF PROGRAM	NA	\$1,000 PER VIOLATION	PER VIOLATION	1984	YES	YES
7 USC 4815(b)(3)(A)	FAILURE TO OBEY CEASE & DESIST	NA	\$500 PER DAY	PER DAY	1984	NO	SEE NOTE (2)
7 USC 4910(b)(1)	VIOLATION OF PROGRAM	NA	\$500 PER DAY	PER DAY	1985	YES	YES
7 USC 4910(b)(3)	FAILURE TO OBEY CEASE & DESIST	NA	\$5,000 PER VIOLATION	PER VIOLATION	1985	YES	YES
21 USC 1051	FEDERAL TRADE COMMISSION ACT/FAILURE TO FILE CERTAIN REPORTS	NA	\$100 PER DAY	PER DAY	1974	YES	YES
U.S. DEPT. OF AGRICULTURE							
ANIMAL AND PLANT HEALTH INSPECTION SERVICE							
7 USC 1490(2)	VIOLATION OF PLANT & PEST QUARANTINE REGS.	NA	\$1,000 PER VIOLATION	PER VIOLATION	1983	NO	SEE NOTE (2)
7 USC 1590a(b)	VIOLATIONS OF THE FEDERAL PLANT PEST ACT	NA	\$1,000 PER VIOLATION	PER VIOLATION	1983	NO	SEE NOTE (2)
7 USC 163	ALTERATIONS OF CERTIFICATES	NA	\$500 PER VIOLATION	PER VIOLATION	1956	YES	YES
7 USC 1596(b)	VIOLATIONS OF FEDERAL SEED ACT	\$25	\$500 PER VIOLATION	PER VIOLATION	1985	YES	YES
7 USC 2149(b)	FAILURE TO OBEY CEASE & DESIST ORDER	NA	\$1,500 PER VIOLATION	PER VIOLATION	1985	YES	YES
7 USC 2149(b)	VIOLATION OF ANIMAL WELFARE ACT	NA	\$2,500 PER VIOLATION	PER VIOLATION	1985	YES	YES
7 USC 2805(a)	VIOLATIONS OF SWINE HEALTH PROTECTION ACT	NA	\$10,000 PER VIOLATION	PER VIOLATION	1980	YES	YES
15 USC 1825(b)(1)	HORSE PROTECTION ACT VIOLATIONS	NA	\$2,000 PER VIOLATION	PER VIOLATION	1976	YES	YES
15 USC 1825(c)	FAILURE TO OBEY HORSE PROTECT. ACT DISQUALS.	NA	\$3,000 PER VIOLATION	PER VIOLATION	1976	YES	YES
15 USC 1825(e)	EXHIBITION OF DISQUALIFIED HORSE	NA	\$3,000 PER VIOLATION	PER VIOLATION	1976	YES	YES
21 USC 104	VIOLATION OF ANIMAL QUARANTINE REGULATIONS	NA	\$1,000 PER VIOLATION	PER VIOLATION	1983	NO	SEE NOTE (2)
21 USC 117(b)	VIOLATIONS OF ANIMAL INDUSTRY ACT	NA	\$1,000 PER VIOLATION	PER VIOLATION	1983	NO	SEE NOTE (2)
21 USC 122	VIOLATIONS OF CATTLE CONTAGIOUS DISEASE ACT	NA	\$1,000 PER VIOLATION	PER VIOLATION	1983	NO	SEE NOTE (2)

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EXHIBIT 1—CIVIL MONETARY PENALTY AUTHORITIES IDENTIFIED BY FEDERAL AGENCIES

U.S. CODE CITATION	CIVIL MONETARY PENALTY DESCRIPTION	MINIMUM PENALTY AMOUNT SET BY LAW AS OF 3/1/81	MAXIMUM PENALTY AMOUNT SET BY LAW AS OF 3/1/81	HOW PENALTY IS APPLIED	YEAR PENALTY AMOUNT WAS LAST SET BY LAW	LAW APPROPRIATE FOR MODIFICATION	TEXT OF LAW MODIFICATION PROVIDED
21 USC 127	TRANSPORTATION OF QUARANTINED ANIMALS	NA	\$1,000	PER VIOLATION	1983	NO	SEE NOTE (2)
21 USC 1344(a)(2)	TRANSPORTATION OF QUARANTINED PRODUCTS	NA	\$1,000	PER VIOLATION	1983	NO	SEE NOTE (2)
21 USC 1354(b)	MOVEMENT OF QUARANTINED ANIMALS	NA	\$1,000	PER VIOLATION	1983	NO	SEE NOTE (2)
U.S. DEPT. OF AGRICULTURE FEDERAL GRAIN INSPECTION SERVICE							
7 USC 86(c)	TO DEFER VIOLATIONS OF SEC. 13 OF USGSA.	NA	\$75,000	PER VIOLATION	1976	YES	YES
U.S. DEPT. OF AGRICULTURE FOOD AND NUTRITION SERVICE							
7 USC 2011-2031	TRANSFER OF OWNERSHIP TO AVOID A SANCTION	NA	\$10,000	PER VIOLATION	1987	NO	SEE NOTE (1)
7 USC 2021(a)	HARDSHIP FINE IN LIEU OF DISQUALIFICATION	NA	\$10,000	PER VIOLATION	1982	YES	YES
7 USC 2021(c)(3)(B)	TRAFFICKING IN FOOD COUPONS	NA	\$20,000	PER INVESTIGATION	1988	NO	SEE NOTE (1)
U.S. DEPT. OF AGRICULTURE FOOD SAFETY AND INSPECTION SERVICE							
21 USC 467(c)	FEDERAL TRADE COMMISSION ACT/FAILURE TO FILE CERTAIN REPORTS	NA	\$100	PER DAY	1914	YES	YES
21 USC 677	FEDERAL TRADE COMMISSION ACT/FAILURE TO FILE CERTAIN REPORTS	NA	\$100	PER DAY	1914	YES	YES
U.S. DEPT. OF AGRICULTURE FOREST SERVICE							
16 USC 620d(c)	VIOLATIONS OF EXPORT OF UNPROCESSED TIMBER	NA	\$500,000	PER VIOLATION	1980	NO	SEE NOTE (1)
16 USC 620d(e)	OTHER VIOLATIONS	NA	\$75,000	PER VIOLATION	1980	NO	SEE NOTE (1)
16 USC 620d(f)	OTHER VIOLATIONS	NA	\$30,000	PER VIOLATION	1980	NO	SEE NOTE (1)
16 USC 620d(g)	OTHER VIOLATIONS	NA	\$500,000	PER VIOLATION	1980	NO	SEE NOTE (1)
16 USC 4307	VIOLATIONS INVOLVING PROTECTION OF CAVES	NA	\$10,000	PER VIOLATION	1988	NO	SEE NOTE (1)
U.S. DEPT. OF AGRICULTURE PACKERS AND STOCKYARDS ADMINISTRATION							
7 USC 193(b)	PACKER VARIOUS VIOLATIONS	NA	\$10,000	PER VIOLATION	1976	YES	YES
7 USC 203	LIVESTOCK MARKET AGENCY, DEALER, FAILURE TO REGISTER	NA	\$500	PER OFFENSE PLUS	1921	YES	YES
7 USC 207(g)	STOCKYARD RATE, REGULATION OR PRACTICE VIOLATIONS	NA	\$25	PER DAY	1921	YES	YES
7 USC 219(c)	STOCKYARD OWNER, LIVESTOCK MARKET AGENCY, DEALER VARIOUS VIOLATIONS	NA	\$25	PER DAY	1921	YES	YES
7 USC 216(A)	STOCKYARD OWNER, LIVESTOCK MARKET AGENCY, DEALER COMPLIANCE ORDER VIOLATION	NA	\$10,000	PER VIOLATION	1976	YES	YES
7 USC 222	FAILURE TO FILE REQUIRED REPORTS	NA	\$500	PER OFFENSE	1921	YES	YES
7 USC 228b-2(b)	LIVE POULTRY DEALER VARIOUS VIOLATIONS	NA	\$100	PER DAY	1914	YES	YES
		NA	\$20,000	PER VIOLATION	1987	NO	SEE NOTE (1)

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		AS OF 3/1/81	AS OF 3/1/81	AS OF 3/1/81	AS OF 3/1/81				
U.S. DEPT. OF AGRICULTURE									
ALL BUREAUS									
40 USC 326	WORKHOURS AND SAFETY VIOLATIONS	NA	\$10	PER DAY			1982	YES	YES
ALASKA NATURAL GAS TRANSPORTATION SYSTEM									
15 USC 719(a)(2)	COMPLIANCE ORDER VIOLATION	NA	\$25,000	PER DAY			1978	YES	YES
U.S. DEPT. OF COMMERCE									
BUREAU OF EXPORT ADMINISTRATION									
50 USC APP. 2410(c)	NON-NATL. SECURITY VIOLATION/EXPORT ADMINISTRATION ACT	NA	\$10,000	PER VIOLATION			1977	YES	YES
50 USC APP. 2410(c)	NATL. SECURITY VIOLATION/EXPORT ADMIN. ACT & SECT 38 ARMS EXPORT CNTLACT	NA	\$100,000	PER VIOLATION			1991	YES	YES
U.S. DEPT. OF COMMERCE									
ECONOMIC DEVELOPMENT ADMINISTRATION									
19 USC 2349	TRADE ACT OF 1974/FALSE STATEMENTS OR SUBMISSIONS W/APPLICATIONS FOR ASSISTAN	NA	\$5,000	PER VIOLATION			1975	YES	YES
42 USC 3220(a)	PUBLIC WORKS & ECON. DEV. ACT OF 1965/FALSE STATEMENT OR SUBMISSION W/APPLICATI	NA	\$10,000	PER VIOLATION			1985	YES	YES
42 USC 3220(b)	PUBLIC WORKS & ECON. DEV. ACT OF 1965/FRAUD OR RELEASE OF UNAUTHORIZED INFO	NA	\$10,000	PER VIOLATION			1985	YES	YES
U.S. DEPT. OF COMMERCE									
ECONOMICS AND STATISTICS ADMINISTRATION									
13 USC 304	DELINQUENCY ON DELAYED FILING OF EXPORT DOCUMENTATION	NA	\$100	PER DAY OF DELINQUENCY UP TO \$1,000 TOTAL			1982	YES	YES
13 USC 305	COLLECTION OF FOREIGN TRADE STATISTICS/VIOLATIONS	NA	\$1,000	PER VIOLATION			1982	YES	YES
22 USC 3105(a)	INTL. INVESTMENT & TRADE IN SERVICES ACT/FAILURE TO FURNISH INFO	\$2,500	\$25,000	PER VIOLATION			1980	NO	SEE NOTE (1)
U.S. DEPT. OF COMMERCE									
IMPORT ADMINISTRATION									
19 USC 816	FOREIGN TRADE ZONE VIOLATION	NA	\$1,000	PER VIOLATION PER DAY			1984	YES	YES
19 USC 1677(d)(4)	U.S.—CANADA FTA PROTECTIVE ORDER VIOLATION	NA	\$100,000	PER VIOLATION PER DAY			1988	NO	SEE NOTE (1)
U.S. DEPT. OF COMMERCE									
NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION									
16 USC 4243(a)(3)	LAND REMOTE-SENSING COMMERCIALIZATION ACT/VIOLATIONS	NA	\$10,000	PER VIOLATION PER DAY			1984	YES	YES
16 USC 773(f)	NORTHERN PACIFIC HALIBUT ACT OF 1982/VIOLATIONS	NA	\$25,000	PER VIOLATION PER DAY			1982	YES	YES
16 USC 783	SPONGE ACT VIOLATIONS/CATCHING OR TAKING W/IN SPEC. AREAS	NA	\$500	PER VIOLATION			1914	YES	YES

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U.S. CODE CITATION	CIVIL MONETARY PENALTY DESCRIPTION	MINIMUM PENALTY AMOUNT SET BY LAW AS OF 3/1/81	MAXIMUM PENALTY AMOUNT SET BY LAW AS OF 3/1/81	HOW PENALTY IS APPLIED	YEAR PENALTY AMOUNT WAS LAST SET BY LAW	LAW MODIFICATION APPROPRIATE	TEXT OF LAW MODIFICATION PROVIDED
16 USC 967	TUNA CONVENTION ACT OF 1960						
	---VIOLATION/SUBSECTION (c)	NA	\$100,000	PER VIOLATION	1962	YES	YES
	---VIOLATION/SUBSECTION (a)	NA	\$25,000	PER VIOLATION	1962	YES	YES
	---VIOLATION/SUBSECTION (b)	NA	\$1,000	PER VIOLATION	1962	YES	YES
	---SUBSEQUENT VIOLATION/SUBSECTION (a)	NA	\$50,000	PER VIOLATION	1962	YES	YES
	---SUBSEQUENT VIOLATION/SUBSECTION (b)	NA	\$5,000	PER VIOLATION	1962	YES	YES
16 USC 971(a)(1)	ATLANTIC TUNA CONVENTION ACT OF 1975						
	---SUBSEQUENT VIOLATION/SUBSECTION (a)	NA	\$50,000	PER VIOLATION	1975	YES	YES
	---SUBSEQUENT VIOLATION/SUBSECTION (b)	NA	\$100,000	PER VIOLATION	1975	YES	YES
	---SUBSEQUENT VIOLATION/SUBSECTION (c)	NA	\$25,000	PER VIOLATION	1975	YES	YES
	---SUBSEQUENT VIOLATION/SUBSECTION (a)	NA	\$5,000	PER VIOLATION	1975	YES	YES
	---SUBSEQUENT VIOLATION/SUBSECTION (b) OR (c)	NA	\$1,000	PER VIOLATION	1975	YES	YES
16 USC 972(b)	EASTERN PACIFIC TUNA LICENSING ACT						
	---VIOLATION/SUBSECTION (a)(1)-(3)	NA	\$25,000	PER VIOLATION	1964	YES	YES
	---VIOLATION/SUBSECTION (a)(4)-(6)	NA	\$5,000	PER VIOLATION	1964	YES	YES
	---SUBSEQUENT VIOLATION/SUBSECTION (a)(1)-(3)	NA	\$50,000	PER VIOLATION	1964	YES	YES
	---VIOLATION/SUBSECTION (a)(6)	NA	\$100,000	PER VIOLATION	1964	YES	YES
16 USC 973	SOUTH PACIFIC TUNA FISHING VIOLATIONS						
	---VIOLATION/SUBSECTION (a)	NA	\$250,000	PER VIOLATION PER DAY	1978	NO	SEE NOTE (1)
16 USC 102(a)(1)	NORTH PACIFIC FISHERIES ACT OF 1954/VIOLATIONS						
16 USC 1375(a)(1)	MARINE MAMMAL PROTECTION ACT OF 1972/VIOLATIONS						
16 USC 1437(a)(1)	MARINE PROTECTION, RESEARCH & SANCTUARIES ACT/VIOLATIONS						
16 USC 1462(a)	DEEP SEA-BERRED HARD MINERAL RESOURCES ACT/VIOLATION						
16 USC 1640(a)(1)	ENDANGERED SPECIES ACT OF 1973						
	---VIOLATIONS	NA	\$25,000	PER VIOLATION	1988	NO	SEE NOTE (1)
	---OTHER VIOLATIONS	NA	\$500	PER VIOLATION	1988	NO	SEE NOTE (1)
	---VIOLATIONS	NA	\$12,000	PER VIOLATION	1988	NO	SEE NOTE (1)
16 USC 1851 Note (Sec. 5)(c)(1)	ATLANTIC STRIPED BASS CONSERVATION ACT/VIOLATION						
16 USC 1858	MAGNUSON FISHERY CONSERVATION & MGMT. ACT/VIOLATIONS						
16 USC 2437(a)(1)	ANTARCTIC MARINE LIVING RESOURCES CONVENTION ACT						
	---KNOWING VIOLATION	NA	\$100,000	PER VIOLATION PER DAY	1980	NO	SEE NOTE (1)
	---VIOLATION	NA	\$10,000	PER VIOLATION PER DAY	1984	YES	YES
16 USC 3373(a)	LACEY ACT						
	---VIOLATION/POSSESSION, SALE, TRANSPORT OF FISH/PLANTS/WILDLIFE	NA	\$10,000	PER VIOLATION	1981	YES	YES
	---MARKING VIOLATIONS OF FISH/PLANTS/WILDLIFE	NA	\$250	PER VIOLATION	1981	YES	YES
16 USC 3606	ATLANTIC SALMON CONVENTION ACT OF 1982/VIOLATION						
16 USC 3637	PACIFIC SALMON TREATY ACT OF 1985/VIOLATIONS						
	---VIOLATION	NA	\$100,000	PER VIOLATION PER DAY	1980	NO	SEE NOTE (1)
U.S. DEPT. OF COMMERCE							
U.S. TRAVEL AND TOURISM ADMINISTRATION							
22 USC 212(a)	INTL. TRAVEL ACT OF 1961 AS AMENDED/PENALTY	NA	\$5,000	PER VIOLATION PER DAY	1980	NO	SEE NOTE (1)

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EXHIBIT 1—CIVIL MONETARY PENALTY AUTHORITIES IDENTIFIED BY FEDERAL AGENCIES

U.S. CODE CITATION	CIVIL MONETARY PENALTY DESCRIPTION	MINIMUM PENALTY AMOUNT AS OF 3/1/91	MAXIMUM PENALTY AMOUNT SET BY LAW AS OF 3/1/91	HOW PENALTY IS APPLIED	YEAR PENALTY AMOUNT WAS LAST SET BY LAW	YEAR PENALTY AMOUNT WAS LAST MODIFIED	LAW MODIFICATION APPROPRIATE	TEXT OF LAW PROVIDED
COMMODITY FUTURES TRADING COMMISSION								
7 USC 9	VIOLATION OF THE ACT OR RULES THEREUNDER	NA	\$100,000	PER VIOLATION	1974	YES	YES	
7 USC 13a	SIMILAR VIOLATIONS BY CONTRACT MARKETS	NA	\$100,000	PER VIOLATION	1974	YES	YES	
CONSUMER PRODUCT SAFETY COMMISSION								
15 USC 450	VIOLATION OF CEASE AND DESIST ORDER	NA	\$10,000	PER VIOLATION PER DAY	1973	YES	YES	
15 USC 45(m)	VIOLATION OF CEASE AND DESIST ORDER	NA	\$10,000	PER VIOLATION	1973	YES	YES	
15 USC 1194(e)	VIOLATION OF REGULATION OR STANDARD	NA	\$1,250,000	PER VIOLATION PER DAY	1990	NO	NO	SEE NOTE (1)
15 USC 1294(c)	VIOLATION OF PROHIBITED ACT	NA	\$1,250,000	PER VIOLATION PER DAY	1990	NO	NO	SEE NOTE (1)
15 USC 2089	VIOLATION OF PROHIBITED ACT	NA	\$1,250,000	PER VIOLATION PER DAY	1990	NO	NO	SEE NOTE (1)
U.S. DEPT. OF DEFENSE								
5 USC APP 6 104	FAILURE TO FILE/FILING FALSE REPTS (FIN DISCLOSURE)	NA	\$10,000	PER REPORT	1989	NO	NO	SEE NOTE (1)
10 USC 1094(a)(1)	UNLAWFUL PROVISION OF HEALTH CARE	NA	\$5,000	PER VIOLATION	1985	YES	YES	
10 USC 1102(i)	WRONGFUL DISCLOSURE—MEDICAL RECORDS	NA	\$3,000	1ST OFFENSE	1985	NO	NO	SEE NOTE (1)
		NA	\$20,000	SUBSEQUENT OFFENSE	1985	NO	NO	SEE NOTE (1)
10 USC 2324	SETTLEMENT OF UNALLOWABLE COSTS	NA	\$10,000	PER PROPOSAL	1985	NO	NO	SEE NOTE (2)
10 USC 2397A	FAILURE TO REPORT DEFENSE CONTRACTOR EMPLOYMENT	NA	\$10,000	PER VIOLATION	1985	YES	YES	
10 USC 2397b(1)	FORMER PROCUREMENT OFFICIAL ACCEPTING COMPENSATION	NA	\$250,000	PER VIOLATION	1985	YES	YES	
10 USC 2397b(2)	CONTRACTOR OFFERING COMPENSATION TO PROC. OFFICIAL	NA	\$500,000	PER VIOLATION	1985	NO	NO	SEE NOTE (2)
10 USC 2397c	CONTRACTOR NOT REPORTING EMPLOYMENT OF GOV OFF	NA	\$10,000	PER VIOLATION	1985	YES	YES	
31 USC 1352	USE OF APPROPRIATED FUNDS TO INFLUENCE CONTRACT	\$10,000	\$100,000	PER VIOLATION	1989	NO	NO	SEE NOTE (1)
31 USC 8721(i)	PERSONAL PROPERTY LOSS CLAIMS FROM GOVT PERSONNEL	NA	\$1,000	PER VIOLATION	1985	YES	YES	
31 USC 8802(a)(1)	PROGRAM FRAUD CIVIL REMEDIES ACT/VIOLATION INVOLVING FALSE CLAIM	NA	\$5,000	PER CLAIM	1988	NO	NO	SEE NOTE (1)
31 USC 8802(a)(2)	PROGRAM FRAUD CIVIL REMEDIES ACT/VIOLATION INVOLVING FALSE STATEMENT	NA	\$5,000	PER STATEMENT	1988	NO	NO	SEE NOTE (1)
U.S. DEPT. OF DEFENSE								
U.S. ARMY CORPS OF ENGINEERS								
33 USC 13119(g)(2)(A)	SEC. 404 PERMIT CONDITION VIOLATION, CLASS I	NA	\$10,000	PER VIOLATION	1987	NO	NO	SEE NOTE (1)
33 USC 13119(g)(2)(A)	SEC. 404 PERMIT CONDITION VIOLATION, CLASS I	NA	\$25,000	PER PENALTY	1987	NO	NO	SEE NOTE (1)
33 USC 13119(g)(2)(B)	SEC. 404 PERMIT CONDITION VIOLATION, CLASS II	NA	\$10,000	PER DAY	1987	NO	NO	SEE NOTE (1)
33 USC 13119(g)(2)(E)	SEC. 404 PERMIT CONDITION VIOLATION, CLASS II	NA	\$125,000	PER PENALTY	1987	NO	NO	SEE NOTE (1)
U.S. DEPT. OF EDUCATION								
20 USC 1094(e)(2)(B)(i)	INSTITUTION VIOLATING TITLE IV OF HIGHER EDUCATION ACT/ OR MISREPRESENTATION OF	NA	\$25,000	PER VIOLATION	1980	YES	YES	
20 USC 1094(j)	LENDERS & GUARANTEE AGENCIES/UNCORRECTED VIOLATIONS, FAILURES, MISREPRESENT	NA	\$25,000	PER VIOLATION	1985	NO	NO	SEE NOTE (1)

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U.S. DEPT. OF ENERGY						
FEDERAL ENERGY REGULATORY COMMISSION						
16 USC 3414(b)(6)(A)(1988)	NATURAL GAS POLICY ACT/VIOLATIONS	NA	\$5,000	PER DAY	1978	YES
16 USC 8251(a)(1988)	FEDERAL POWER ACT/VIOLATIONS INVOLVING WILLFUL FAILURE WITH PRESCRIBED TIME	NA	\$1,000	PER DAY	1985	YES
17 USC 823b(6)(1988)	FEDERAL POWER ACT/VIOLATION BY LICENSEE, PERMITTEE, EXEMPTEE	NA	\$10,000	PER DAY	1986	NO
49 USC 6101(1988)	INTERSTATE COMMERCE ACT/VIOLATIONS INVOLVING OIL PIPELINES	NA	\$500	PER VIOLATION AND PER DAY	1910	YES
NA		NA	\$25	PER DAY	1910	YES
ENVIRONMENTAL PROTECTION AGENCY						
7 USC 138	FEDERAL INSECTICIDE, FUNGICIDE, & ROPENTICIDE ACT CIVIL PENALTY	NA	\$5,000	PER VIOLATION	1978	YES
15 USC 2401	ASBESTOS HAZARD EMERGENCY RESPONSE ACT CIVIL PENALTY	NA	\$5,000	PER VIOLATION	1986	NO
16 USC 3802(a)(1)	TOXIC SUBSTANCES CONTROL ACT CIVIL PENALTY	NA	\$25,000	PER VIOLATION PER DAY	1976	YES
31 USC 3602(a)(2)	PROGRAM FRAUD CIVIL REMEDIES ACT/VIOLATION INVOLVING FALSE CLAIM	NA	\$5,000	PER CLAIM	1986	NO
33 USC 1319(d)	CLEAN WATER ACT VIOLATION/CIVIL JUDICIAL PENALTY	NA	\$5,000	PER STATEMENT	1986	NO
33 USC 1319(d)(2)(A)	CLEAN WATER ACT VIOLATION/ADMINISTRATIVE PENALTY	NA	\$25,000	PER VIOLATION PER DAY	1987	NO
33 USC 1319(d)(2)(B)	CLEAN WATER ACT VIOLATION/ADMIN PENALTY OF SEC 3111(b)(3)&(4)	NA	\$125,000	PER VIOLATION	1987	NO
33 USC 1321(b)(7)(A)	CLEAN WATER ACT VIOLATION/CIVIL JUDICIAL PENALTY OF SEC 3111(b)(3)&(4)	NA	\$25,000	PER VIOLATION	1990	NO
33 USC 1321(b)(7)(B)	CLEAN WATER ACT VIOLATION/CIVIL JUDICIAL PENALTY OF SEC 3111(b)(3)	NA	\$25,000	PER DAY	1990	NO
33 USC 1321(b)(7)(C)	CLEAN WATER ACT VIOLATION/CIVIL JUDICIAL PENALTY OF SEC 3111(b)(3)	NA	\$25,000	PER DAY OF VIOLATION	1990	NO
33 USC 1321(b)(7)(D)	CLEAN WATER ACT VIOLATION/CIVIL JUDICIAL PENALTY OF SEC 3111(b)(3)	NA	\$100,000	PER BARREL OF OIL	1990	NO
42 USC 300g-3(c)	SAFE DRINKING WATER ACT/CIVIL JUDICIAL PENALTY OF SEC 1414(g)	NA	\$25,000	PER VIOLATION	1986	NO
42 USC 300g-3(g)	SAFE DRINKING WATER ACT/CIVIL JUDICIAL PENALTY OF SEC 1414(g)	NA	\$25,000	PER DAY OF VIOLATION	1986	NO
42 USC 300h-2(a)(1)	CIVIL JUDICIAL PENALTY/VIOLATIONS OF REOS — UNDERGROUND INJECTION CONTROL	NA	\$25,000	PER DAY OF VIOLATION	1986	NO
42 USC 300h-2(a)(2)	CIVIL ADMIN PENALTY/VIOLATIONS OF REOS — UNDERGROUND INJECTION CONTROL	NA	\$125,000	PER VIOLATION	1986	NO
42 USC 300h-3(c)	CIVIL ADMIN PENALTY/VIOLATIONS OF REOS — UNDERGROUND INJECTION CONTROL	NA	\$125,000	PER VIOLATION	1986	NO
42 USC 300h-3(e)	WILLFUL VIOLATION/OPERATION OF NEW UNDERGROUND INJECTION WELL	NA	\$10,000	PER VIOLATION PER DAY	1974	YES
42 USC 300h-3(f)	TAMPERING WITH PUBLIC WATER SYSTEM/CIVIL JUDICIAL PENALTY	NA	\$50,000	PER VIOLATION	1974	YES
42 USC 300i-1(c)	KNOWING FAILURE TO COMPLY WITH ORDER ISSUED UNDER SEC. 1441(c)(1)	NA	\$5,000	PER VIOLATION	1974	YES
42 USC 300j(a)(1)	FAILURE TO COMPLY WITH ORDER ISSUED UNDER SEC. 1441(c)(1)	NA	\$2,500	PER VIOLATION	1974	YES
42 USC 300j-4(c)	REFUSAL TO COMPLY WITH REOS. OF SEC. 1445(a) OR (b)	NA	\$25,000	PER VIOLATION	1986	NO
42 USC 300j-23(d)	VIOLATIONS/SECTION 1463(f)	NA	\$50,000	PER VIOLATION	1988	NO
42 USC 1414(b)(1)	MARINE PROTECTION, RESEARCH & SANCTUARIES ACT VIOL SEC 1946(b)(1)(B)	NA	\$600	PER TON	1988	NO
42 USC 1415(a)	MARINE PROTECTION, RESEARCH AND SANCTUARIES ACT VIOLATIONS	NA	\$125,000	PER VIOLATION PER DAY	1974	YES
42 USC 1431(c)	FAILURE TO COMPLY WITH SEC. 1431(a) ORDER	NA	\$5,000	PER VIOLATION PER DAY	1974	YES
42 USC 6928(a)(3)	RESOURCE CONSERVATION & RECOVERY ACT/VIOLATION SUBTITLE C ASSESSED PER ORDER	NA	\$25,000	PER VIOLATION PER DAY	1984	YES
42 USC 6928(c)	RES. CONS. & REC. ACT/CONTINUED NONCOMPLIANCE OF COMPLIANCE ORDER	NA	\$25,000	PER VIOLATION PER DAY	1984	YES
42 USC 6928(d)	RESOURCE CONSERVATION & RECOVERY ACT/VIOLATION SUBTITLE C	NA	\$25,000	PER VIOLATION	1975	YES
42 USC 6928(f)(2)	RES. CONS. & REC. ACT/NONCOMPLIANCE OF CORRECTIVE ACTION ORDER	NA	\$25,000	PER VIOLATION	1984	YES

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EXHIBIT 1--CIVIL MONETARY PENALTY AUTHORITIES IDENTIFIED BY FEDERAL AGENCIES

U.S. CODE CITATION	CIVIL MONETARY PENALTY DESCRIPTION	MINIMUM PENALTY AMOUNT SET BY LAW AS OF 3/1/81	MAXIMUM PENALTY AMOUNT SET BY LAW AS OF 3/1/81	HOW PENALTY IS APPLIED	YEAR PENALTY AMOUNT WAS LAST SET BY LAW	TEXT OF LAW MODIFICATION APPROPRIATE PROVIDED
42 USC 6924(a)	RES. CONS. & REC. ACT/NONCOMPLIANCE WITH SECTION 3013 ORDER	NA	\$5,000	PER DAY	1976	YES
42 USC 6972(b)	RES. CONS. & REC. ACT/VIOLATIONS OF ADMINISTRATIVE ORDER	NA	\$6,000	PER DAY	1978	YES
42 USC 6991e(a)(3)	RES. CONS. & REC. ACT/NONCOMPLIANCE WITH UST ADMIN. ORDER	NA	\$25,000	PER DAY	1984	YES
42 USC 6991e(c)(1)	RES. CONS. & REC. ACT/FAILURE TO NOTIFY OR SUBMIT FALSE INFO	NA	\$10,000	PER VIOLATION	1984	YES
42 USC 6991e(f)(2)	VIOLATIONS OF SPECIFIED UST REGULATORY REQUIREMENTS	NA	\$10,000	PER VIOLATION PER DAY	1984	YES
42 USC 6992d(a)(2)	NONCOMPLIANCE W/MEDICAL WASTE TRACKING ACT ASSESSED THRU ADMIN ORDER	NA	\$25,000	PER DAY PER VIOLATION	1988	SEE NOTE (1)
42 USC 6992d(a)(4)	NONCOMPLIANCE W/MEDICAL WASTE TRACKING ACT ADMIN ORDER	NA	\$25,000	PER DAY	1988	SEE NOTE (1)
42 USC 6992d(d)	VIOLATIONS/MEDICAL WASTE TRACKING ACT ASSESSED BY COURT	NA	\$25,000	PER VIOLATION	1988	SEE NOTE (1)
42 USC 7419(b)	AMEND BY PL: CLEAN AIR ACT/VIOLATION/OWNERS & OPS OF STATIONARY AIR POLLUTION SOURCES	NA	\$25,000	PER VIOLATION PER DAY	1977	YES
42 USC 7524	TAMPERING OR MANUFACTURE/SALE OF DEFEAT DEVICES	NA	\$25,000	PER VIOLATION	1980	NO
42 USC 7545(c)	VIOLATIONS OF FUELS REGULATIONS	NA	\$25,000	PER VIOLATION	1980	NO
42 USC 9604(a)(5)(B)	SUPERFUND AMEND. & REAUTHORIZATION ACT/NONCOMPLIANCE W/INFO REQUEST	NA	\$25,000	PER DAY	1980	NO
42 USC 9606(b)	SUPERFUND/WORK NOT PERFORMED W/ IMMINENT, SUBSTANTIAL ENDANGERMENT	NA	\$25,000	PER DAY	1980	NO
42 USC 9609(a)(5)(c)	SUPERFUND/ADMIN. PENALTY VIOLATIONS--SUBSEQUENT	NA	\$25,000	PER DAY	1980	NO
42 USC 9609(b)(5)(c)	SUPERFUND/ADMIN. PENALTY VIOLATIONS UNDER 42 USC SECT. 9603, 9608, OR 9622	NA	\$25,000	PER VIOLATION PER DAY	1980	NO
42 USC 9609(c)(5)(c)	SUPERFUND/CIVIL JUDICIAL PENALTY/VIOLATIONS OF SECT. 9603, 9608, 9622	NA	\$75,000	PER VIOLATION PER DAY	1980	NO
42 USC 11001	EMERGENCY PLANNING AND COMMUNITY RIGHT--TO-KNOW ACT CIVIL PENALTY	NA	\$75,000	PER VIOLATION PER DAY	1985	NO
EQUAL EMPLOYMENT OPPORTUNITY COMMISSION						
42 USC 2000e-10(b)	FAILURE TO POST NOTICE/APPLICABILITY OF TITLE VII	NA	\$100	PER VIOLATION	1964	YES
FARM CREDIT ADMINISTRATION						
12 USC 2288(e)	VIOLATION/FAIR CREDIT ACT OR ANY REGULATION UNDER THE ACT	NA	\$500	PER DAY	1988	NO
FEDERAL COMMUNICATIONS COMMISSION						
47 USC 202(c)	VIOLATION/COMMON CARRIER DISCRIMINATION OR PREFERENCE W/LIKE COMM. SVC.	NA	\$8,000	PER VIOLATION PLUS PER DAY	1989	NO
47 USC 203(e)	COMMON CARRIER TARIFFS	NA	\$300	PER DAY	1989	NO
47 USC 205(d)	ANY CHARGE OR PROVISION IN VIOLATION OF COMMON CARRIER PRESCRIPTION	NA	\$6,000	PER VIOLATION PLUS PER DAY	1989	NO
47 USC 214(d)	COMMON CARRIER FAILURE TO PROVIDE ADEQUATE FACILITY, EXTEND LINE, OR EST. PUBLI	NA	\$12,000	PER VIOLATION	1989	NO
47 USC 219(b)	COMMON CARRIER REPORTS	NA	\$1,200	PER DAY	1989	NO
47 USC 220(d)	COMMON CARRIER FAILURE/REFUSAL TO KEEP/SUBMIT ACCTS, RECORDS, MEMORANDA	NA	\$8,000	PER DAY	1989	NO
47 USC 223	OBSCENE/INDECENT TELEPHONE COMMUNICATIONS	NA	\$50,000	PER VIOLATION	1989	NO
47 USC 382, 385	SHIP RADIO/FINE FOR OWNER	NA	\$5,000	PER VIOLATION	1989	NO
47 USC 382, 385	SHIP RADIO/FINE FOR MASTER	NA	\$1,000	PER VIOLATION	1989	NO

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								VIOLATIONS/COMMUNICATIONS ACT, RULES, ORDERS OR AUTHORIZATIONS	COMMON CARRIERS & APPLICANTS	BROADCASTERS & CABLE OPERATORS & APPLICANTS	ALL OTHERS	GREAT LAKES AGREEMENT/FINE FOR OWNER	GREAT LAKES AGREEMENT/FINE FOR MASTER	CABLE EEO
47 USC 503	VIOLATIONS/COMMUNICATIONS ACT, RULES, ORDERS OR AUTHORIZATIONS -- COMMON CARRIERS & APPLICANTS	NA	\$100,000	PER VIOLATION, OR PER DAY	1989	NO	SEE NOTE (1)							
		NA	\$1,000,000	PER CONTINUING VIOLATION	1989	NO	SEE NOTE (1)							
		NA	\$25,000	PER VIOLATION, OR PER DAY	1989	NO	SEE NOTE (1)							
		NA	\$250,000	PER CONTINUING VIOLATION	1989	NO	SEE NOTE (1)							
		NA	\$10,000	PER VIOLATION, OR PER DAY	1989	NO	SEE NOTE (1)							
		NA	\$75,000	PER CONTINUING VIOLATION	1989	NO	SEE NOTE (1)							
		NA	\$500	PER VIOLATION	1954	YES	YES							
		NA	\$100	PER VIOLATION	1954	YES	YES							
		NA	\$200	PER VIOLATION	1984	YES	YES							
		NA												
		NA	\$2,000	PER VIOLATION PER DAY	1989	NO	SEE NOTE (1)							
		NA	\$20,000	PER VIOLATION PER DAY	1989	NO	SEE NOTE (1)							
		NA	\$1,000,000	PER VIOLATION PER DAY OR 1% OF TOTAL BANK ASSETS, WHICHEVER IS LESS	1989	NO	SEE NOTE (1)							
		NA	\$5,000	PER VIOLATION PER DAY	1989	NO	SEE NOTE (1)							
		NA	\$25,000	PER VIOLATION PER DAY	1989	NO	SEE NOTE (1)							
		NA	\$1,000,000	PER VIOLATION PER DAY OR 1% OF TOTAL BANK ASSETS, WHICHEVER IS LESS	1989	NO	SEE NOTE (1)							
		NA	\$5,000	PER VIOLATION PER DAY	1989	NO	SEE NOTE (1)							
		NA	\$25,000	PER VIOLATION PER DAY	1989	NO	SEE NOTE (1)							
		NA	\$1,000,000	PER VIOLATION PER DAY OR 1% OF TOTAL BANK ASSETS, WHICHEVER IS LESS	1989	NO	SEE NOTE (1)							
		NA	\$100	PER VIOLATION PER DAY	1950	YES	YES							
		NA	\$100	PER VIOLATION PER DAY	1950	YES	YES							
		NA	\$5,000	PER VIOLATION PER DAY	1989	NO	SEE NOTE (1)							
		NA	\$25,000	PER VIOLATION PER DAY	1989	NO	SEE NOTE (1)							
		NA	\$1,000,000	PER VIOLATION PER DAY OR 1% OF TOTAL BANK ASSETS, WHICHEVER IS LESS	1989	NO	SEE NOTE (1)							
		NA	\$10,000	PER VIOLATION PER DAY	1988	NO	SEE NOTE (1)							
		NA	\$10,000	PER VIOLATION PER DAY	1988	NO	SEE NOTE (1)							

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12 USC 1872(2)(F)	VIOLATIONS/BANK COMPANY ACT ("1970 ACT")						
	— VIOLATIONS OF 1970 ACT	NA	\$5,000	PER VIOLATION PER DAY	1989	NO	SEE NOTE (1)
	— VIOLATIONS OF 1970 ACT W/MORE THAN MIN. LOSS	NA	\$25,000	PER VIOLATION PER DAY	1989	NO	SEE NOTE (1)
	— VIOLATIONS OF 1970 ACT W/SUBSTANTIAL LOSS	NA	\$1,000,000	PER VIOLATION PER DAY OR 1% OF TOTAL BANK ASSETS, WHICHEVER IS LESS	1989	NO	SEE NOTE (1)
12 USC 3109(b)	VIOLATIONS/INTL. BANKING ACT OF 1978 (IBA)						
	— VIOLATIONS OF IBA	NA	\$5,000	PER VIOLATION PER DAY	1989	NO	SEE NOTE (1)
	— VIOLATIONS OF IBA W/MORE THAN MIN. LOSS	NA	\$25,000	PER VIOLATION PER DAY	1989	NO	SEE NOTE (1)
	— VIOLATIONS OF IBA W/SUBSTANTIAL LOSS	NA	\$1,000,000	PER VIOLATION PER DAY OR 1% OF TOTAL BANK ASSETS, WHICHEVER IS LESS	1989	NO	SEE NOTE (1)
12 USC 3809	VIOLATIONS/INTL. LENDING SUPERVISION ACT (ILSA)						
	— VIOLATIONS OF ILSA	NA	\$5,000	PER VIOLATION PER DAY	1989	NO	SEE NOTE (1)
	— VIOLATIONS OF ILSA W/MORE THAN MIN. LOSS	NA	\$25,000	PER VIOLATION PER DAY	1989	NO	SEE NOTE (1)
	— VIOLATIONS OF ILSA W/SUBSTANTIAL LOSS	NA	\$1,000,000	PER VIOLATION PER DAY OR 1% OF TOTAL BANK ASSETS, WHICHEVER IS LESS	1989	NO	SEE NOTE (1)
12 USC 3349	VIOLATIONS OF FRB'S APPRAISER REGULATIONS						
	— VIOLATIONS OF REGS.	NA	\$5,000	PER VIOLATION PER DAY	1989	NO	SEE NOTE (1)
	— VIOLATIONS OF REGS. W/MORE THAN MIN. LOSS	NA	\$25,000	PER VIOLATION PER DAY	1989	NO	SEE NOTE (1)
	— VIOLATIONS OF REGS. W/SUBSTANTIAL LOSS	NA	\$1,000,000	PER VIOLATION PER DAY OR 1% OF TOTAL BANK ASSETS, WHICHEVER IS LESS	1989	NO	SEE NOTE (1)
15 USC 78u-2	WILLFUL VIOLATIONS OF FEDERAL SECURITIES LAWS						
	— VIOLATIONS/NATURAL PERSON	NA	\$5,000	PER VIOLATION	1980	NO	SEE NOTE (1)
	— VIOLATIONS/ALL OTHERS	NA	\$50,000	PER VIOLATION	1980	NO	SEE NOTE (1)
	— RECKLESS VIOLATIONS/NATURAL PERSONS	NA	\$50,000	PER VIOLATION	1980	NO	SEE NOTE (1)
	— RECKLESS VIOLATIONS/ALL OTHERS	NA	\$250,000	PER VIOLATION	1980	NO	SEE NOTE (1)
	— DELIBERATE VIOLATIONS/NATURAL PERSONS	NA	\$100,000	PER VIOLATION	1980	NO	SEE NOTE (1)
	— DELIBERATE VIOLATIONS/ALL OTHERS	NA	\$500,000	PER VIOLATION	1980	NO	SEE NOTE (1)
FEDERAL EMERGENCY MANAGEMENT AGENCY							
42 USC 5167(3)(17)	VIOLATIONS/STAFFORD ACT PL 100-707	NA	\$5,000	PER VIOLATION	1988	NO	SEE NOTE (1)
FEDERAL MARITIME COMMISSION							
46 USC APP. S. 814	FILING OF AGREEMENTS, ETC. UNDER SHIPPING ACT, 1916	NA	\$1,000	PER VIOLATION PER DAY	1972	YES	YES
46 USC APP. S. 815	UNDUE PREFERENCE/INFLUENCE INSURANCE COS. TO DISCRIMINATE/SHIPPING ACT, 1916	NA	\$5,000	PER OFFENSE	1972	YES	YES
46 USC APP. S. 815	UNFAIR MEANS TO OBTAIN LOWER RATES/SHIPPING ACT, 1916	NA	\$25,000	PER VIOLATION	1979	YES	YES

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46 USC APP. S. 817d & e	FAILURE TO ESTABLISH FIN. RESPS. FOR DEATH/INJURY OR NONPERFORMANCE	NA	\$5,000	PER VIOLATION PLUS	1988	YES
46 USC APP. S. 820	FAILURE TO FILE REG. DOCUMENTATION/SHIPPING ACT, 1916	NA	\$200	PER PASSAGE SOLD	1988	YES
46 USC APP. S. 820	FAILURE TO FILE ANTI-REBATE CERTIFICATION/SHIPPING ACT, 1916	NA	\$100	PER VIOLATION PER DAY	1916	YES
46 USC APP. S. 831	VIOLATION/RULES, REGS., ORDERS/SHIPPING ACT, 1916	NA	\$5,000	PER VIOLATION PER DAY	1979	YES
46 USC APP. S. 831	VIOLATIONS/SECT. 14-21 OF SHIPPING ACT, 1916	NA	\$1,000	PER VIOLATION PER DAY	1972	YES
46 USC APP. S. 844	VIOLATION/INTERCOASTAL SHIPPING ACT OF 1883	NA	\$5,000	PER VIOLATION PER DAY	1972	YES
46 USC APP. S. 876	FAILURE TO PROVIDE REQ. REPTS/RESPOND TO SUBPOENA/MERCHANT MARINE ACT, 1920	NA	\$1,000,000	PER VIOLATION PER DAY	1980	NO
46 USC APP. S. 876	ADVERSE SHIPPING CONDITIONS DETERMINATION/MERCHANT MARINE ACT, 1920	NA	\$50,000	PER VIOLATION PER DAY	1980	NO
46 USC APP. S. 876	OPERATING WHERE TARIFF SUSPENDED/MERCHANT MARINE ACT, 1920	NA	\$50,000	PER VIOLATION PER DAY	1984	YES
46 USC APP. S. 1710a	ADVERSE IMPACT ON US CARRIERS BY FOREIGN SHIPPING PRACTICES	NA	\$50,000	PER SHIPMENT	1984	YES
46 USC APP. S. 1712	OPERATING IN U.S. FOREIGN COMMERCE AFTER TARIFF SUSPENSION	NA	\$25,000	PER VIOLATION PER DAY	1984	YES
46 USC APP. S. 1712	VIOLATION/KNOWING & WILLFUL/SHIPPING ACT OF 1984	NA	\$5,000	PER VIOLATION PER DAY	1984	YES
46 USC APP. S. 1712	VIOLATION/NOT KNOWING & WILLFUL/SHIPPING ACT OF 1984	NA	\$5,000	PER VIOLATION PER DAY	1984	YES
46 USC APP. S. 1714	FAILURE TO FILE ANTI-REBATE CERT. PER SHIPPING ACT OF 1984	NA	\$5,000	PER VIOLATION PER DAY	1984	YES
FEDERAL RESERVE BOARD						
12 USC 342	FILING LATE OR MISLEADING BANK CALL REPORTS	NA	\$2,000	PER VIOLATION PER DAY	1988	NO
	--ADVERTENT LATE OR MISLEADING REPORTS	NA	\$20,000	PER VIOLATION PER DAY	1989	NO
	--LATE OR MISLEADING REPORTS	NA	\$1,000,000	PER VIOLATION PER DAY OR 1% OF TOTAL BANK ASSETS, WHICHEVER IS LESS	1989	NO
	--KNOWING, RECKLESS LATE, OR MISLEADING REPTS					
12 USC 504 & 505	VIOLATIONS OF FEDERAL RESERVE ACT (FRA)	NA	\$5,000	PER VIOLATION PER DAY	1989	NO
	-- VIOLATIONS OF FRA	NA	\$25,000	PER VIOLATION PER DAY	1989	NO
	-- VIOLATIONS OF FRA W/MORE THAN MIN. LOSS	NA	\$1,000,000	PER VIOLATION PER DAY OR 1% OF TOTAL BANK ASSETS, WHICHEVER IS LESS	1989	NO
	-- VIOLATIONS OF FRA W/SUBSTANTIAL LOSS					
12 USC 1817(1)(9)	VIOLATIONS/CHANGE IN BANK CONTROL ACT (CBCA)	NA	\$5,000	PER VIOLATION PER DAY	1989	NO
	-- VIOLATIONS OF CBCA	NA	\$25,000	PER VIOLATION PER DAY	1989	NO
	-- VIOLATIONS OF CBCA W/MORE THAN MIN. LOSS	NA	\$1,000,000	PER VIOLATION PER DAY OR 1% OF TOTAL BANK ASSETS, WHICHEVER IS LESS	1989	NO
	-- VIOLATIONS OF CBCA W/SUBSTANTIAL LOSS					
12 USC 1818(1)(2)	VIOLATIONS/ANY LAW, FINAL ORDER, OR APPLICATION	NA	\$5,000	PER VIOLATION PER DAY	1989	NO
	-- VIOLATION	NA	\$25,000	PER VIOLATION PER DAY	1989	NO
	-- VIOLATION RESULTING IN MORE THAN MIN. LOSS	NA	\$1,000,000	PER VIOLATION PER DAY OR 1% OF TOTAL BANK ASSETS, WHICHEVER IS LESS	1989	NO
	-- VIOLATION RESULTING IN SUBSTANTIAL LOSS					
12 USC 1847(b)	VIOLATIONS/BANK HOLDING COMPANY ACT	NA	\$25,000	PER VIOLATION PER DAY	1989	NO

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12 USC 1847(6)	FILING LATE OR MISLEADING HOLDING COMPANY REPTS --INADVERTENT LATE OR MISLEADING REPORTS --LATE OR MISLEADING REPORTS --KNOWING, RECKLESS LATE, OR MISLEADING REPTS	NA	\$2,000	PER VIOLATION PER DAY	1989	NO	SEE NOTE (1)
		NA	\$20,000	PER VIOLATION PER DAY	1989	NO	SEE NOTE (1)
		NA	\$1,000,000	PER VIOLATION PER DAY OR 1% OF TOTAL BANK ASSETS, WHICHEVER IS LESS	1989	NO	SEE NOTE (1)
12 USC 1972(2)(F)	VIOLATIONS/BANK COMPANY ACT ("1970 ACT") -- VIOLATIONS OF 1970 ACT -- VIOLATIONS OF 1970 ACT W/MORE THAN MIN. LOSS -- VIOLATIONS OF 1970 ACT W/SUBSTANTIAL LOSS	NA	\$5,000	PER VIOLATION PER DAY	1989	NO	SEE NOTE (1)
		NA	\$25,000	PER VIOLATION PER DAY	1989	NO	SEE NOTE (1)
		NA	\$1,000,000	PER VIOLATION PER DAY OR 1% OF TOTAL BANK ASSETS, WHICHEVER IS LESS	1989	NO	SEE NOTE (1)
12 USC 3108(6)	VIOLATIONS/INTL. BANKING ACT OF 1978 (IBA) -- VIOLATIONS OF IBA -- VIOLATIONS OF IBA W/MORE THAN MIN. LOSS -- VIOLATIONS OF IBA W/SUBSTANTIAL LOSS	NA	\$5,000	PER VIOLATION PER DAY	1989	NO	SEE NOTE (1)
		NA	\$25,000	PER VIOLATION PER DAY	1989	NO	SEE NOTE (1)
		NA	\$1,000,000	PER VIOLATION PER DAY OR 1% OF TOTAL BANK ASSETS, WHICHEVER IS LESS	1989	NO	SEE NOTE (1)
12 USC 3348	VIOLATIONS OF FRB'S APPRAISER REGULATIONS -- VIOLATIONS OF REGS. -- VIOLATIONS OF REGS. W/MORE THAN MIN. LOSS -- VIOLATIONS OF REGS. W/SUBSTANTIAL LOSS	NA	\$5,000	PER VIOLATION PER DAY	1989	NO	SEE NOTE (1)
		NA	\$25,000	PER VIOLATION PER DAY	1989	NO	SEE NOTE (1)
		NA	\$1,000,000	PER VIOLATION PER DAY OR 1% OF TOTAL BANK ASSETS, WHICHEVER IS LESS	1989	NO	SEE NOTE (1)
12 USC 3909	VIOLATIONS/INTL. LENDING SUPERVISION ACT (ILSA) -- VIOLATIONS OF ILSA -- VIOLATIONS OF ILSA W/MORE THAN MIN. LOSS -- VIOLATIONS OF ILSA W/SUBSTANTIAL LOSS	NA	\$5,000	PER VIOLATION PER DAY	1989	NO	SEE NOTE (1)
		NA	\$25,000	PER VIOLATION PER DAY	1989	NO	SEE NOTE (1)
		NA	\$1,000,000	PER VIOLATION PER DAY OR 1% OF TOTAL BANK ASSETS, WHICHEVER IS LESS	1989	NO	SEE NOTE (1)
15 USC 78u-2	WILLFUL VIOLATIONS OF FEDERAL SECURITIES LAWS --VIOLATIONS/NATURAL PERSON --VIOLATIONS/ALL OTHERS --RECKLESS VIOLATIONS/NATURAL PERSONS --RECKLESS VIOLATIONS/ALL OTHERS --DELIBERATE VIOLATIONS/NATURAL PERSONS --DELIBERATE VIOLATIONS/ALL OTHERS	NA	\$5,000	PER VIOLATION PER DAY	1989	NO	SEE NOTE (1)
		NA	\$25,000	PER VIOLATION PER DAY	1989	NO	SEE NOTE (1)
		NA	\$1,000,000	PER VIOLATION PER DAY OR 1% OF TOTAL BANK ASSETS, WHICHEVER IS LESS	1989	NO	SEE NOTE (1)
		NA	\$5,000	PER VIOLATION PER DAY	1989	NO	SEE NOTE (1)
		NA	\$50,000	PER VIOLATION PER DAY	1989	NO	SEE NOTE (1)
		NA	\$50,000	PER VIOLATION PER DAY	1989	NO	SEE NOTE (1)
		NA	\$250,000	PER VIOLATION PER DAY	1989	NO	SEE NOTE (1)
		NA	\$100,000	PER VIOLATION PER DAY	1989	NO	SEE NOTE (1)
		NA	\$500,000	PER VIOLATION PER DAY	1989	NO	SEE NOTE (1)
FEDERAL TRADE COMMISSION BUREAU OF COMPETITION		NA	\$10,000	PER DAY	1978	YES	YES
		NA	\$5,000	PER VIOLATION PER DAY	1969	YES	YES
		NA	\$10,000	PER VIOLATION PER DAY	1973	YES	YES

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							1914	YES		
15 USC 60	FAILURE TO FILE ANNUAL OR SPECIAL REPT REQ. BY COMMISSION	NA	\$100 PER DAY		1914	YES		YES		
FEDERAL TRADE COMMISSION										
BUREAU OF CONSUMER PROTECTION										
15 USC 45(f)	VIOLATIONS OF FTC ORDERS/ASSESSED AGAINST RESPONDENTS NAMED IN ORDERS	NA	\$10,000 PER VIOLATION PER DAY		1973	YES		YES		
15 USC 45(m)(1)(A)	VIOLATIONS OF FTC RULES	NA	\$10,000 PER VIOLATION		1976	YES		YES		
15 USC 45(m)(1)(B)	VIOLATIONS OF FTC ORDERS/ASSESSED AGAINST OTHER THAN THOSE NAMED AS RESPOND	NA	\$10,000 PER VIOLATION		1976	YES		YES		
15 USC 60	FAILURE TO FILE ANNUAL OR SPECIAL REPT REQ. BY COMMISSION	NA	\$100 PER DAY		1914	YES		YES		
15 USC 1691(c)	VIOLATIONS/EQUAL CREDIT OPPORTUNITY ACT	NA	\$10,000 PER VIOLATION		1976	YES		YES		
15 USC 1692(a)	VIOLATIONS/FAIR DEBT COLL. PRACTICES ACT	NA	\$10,000 PER VIOLATION		1976	YES		YES		
GENERAL SERVICES ADMINISTRATION										
31 USC 3802(a)(1)	PROGRAM FRAUD CIVIL REMEDIES ACT/VIOLATION INVOLVING FALSE CLAIM	NA	\$5,000 PER CLAIM		1988	NO		SEE NOTE (1)		
31 USC 3802(a)(2)	PROGRAM FRAUD CIVIL REMEDIES ACT/VIOLATION INVOLVING FALSE STATEMENT	NA	\$5,000 PER STATEMENT		1988	NO		SEE NOTE (1)		
41 USC 423	PROCUREMENT INTEGRITY ACT (INDIVIDUAL)	NA	\$100,000 PER VIOLATION		1988	NO		SEE NOTE (1)		
41 USC 423	PROCUREMENT INTEGRITY ACT (ALL OTHER)	NA	\$1,000,000 PER VIOLATION		1988	NO		SEE NOTE (1)		
5 APPS (104)(d)	ETHICS REFORM ACT	NA	\$200 PER VIOLATION		1989	NO		SEE NOTE (1)		
U.S. DEPT. OF HEALTH AND HUMAN SERVICES										
FEDERAL FOOD, DRUG, AND COSMETIC ACT										
21 USC 333(b)	—IMPROPER DISTRIBUTION OF DRUG SAMPLE	NA	\$50,000 PER VIOLATION		1985	NO		SEE NOTE (1)		
	—IMPROPER DISTRIBUTION AFTER 2ND CONVICTION	NA	\$100,000 PER VIOLATION		1985	NO		SEE NOTE (1)		
	—FAILURE TO REPORT CONVICTION RE: DRUG SAMPLE DISTRIBUTION	NA	\$15,000 PER VIOLATION, UP TO		1980	NO		SEE NOTE (1)		
21 USC 333(f)	FEDERAL FOOD, DRUG, AND COSMETIC ACT/VIOLATION RE: DEVICES	NA	\$1,000,000 PER PROCEEDING		1980	NO		SEE NOTE (1)		
21 USC 360pp(b)	FEDERAL FOOD, DRUG, AND COSMETIC ACT/VIOLATION RE: ELECTRONIC PRODUCT	NA	\$1,000 PER VIOLATION, UP TO \$300,000 FOR SERIES OF VIOLATIONS		1988	YES		YES		
	PROGRAM FRAUD CIVIL REMEDIES ACT/VIOLATION INVOLVING FALSE CLAIM	NA	\$5,000 PER CLAIM		1986	NO		SEE NOTE (1)		
31 USC 3802(a)(1)	PROGRAM FRAUD CIVIL REMEDIES ACT/VIOLATION INVOLVING FALSE STATEMENT	NA	\$5,000 PER STATEMENT		1986	NO		SEE NOTE (1)		
42 USC 202(f)	PUBLIC HEALTH SERVICE ACT/VIOLATION OF BIOLOGICS RECALL	NA	\$100,000 PER VIOLATION		1986	NO		SEE NOTE (1)		
42 USC 265(f)	PUBLIC HEALTH SERVICE ACT/VIOLATION RE: CLINICAL LAB	NA	\$10,000 PER VIOLATION		1988	NO		SEE NOTE (1)		
42 USC 1320a-7a	FRAUDULENT MEDICARE/CAID CLAIMS	NA	\$2,000 PER VIOLATION		1981	YES		YES		
42 USC 1320a-7a(e)(2)	GIVING MISLEADING INFO RE: PPS	NA	\$15,000 PER VIOLATION		1987	NO		SEE NOTE (1)		
42 USC 1320a-7a(f)	HOSPITALS IMPROPER LIMIT SERVICES	NA	\$2,000 PER VIOLATION		1986	NO		SEE NOTE (1)		
42 USC 1320b-10(a)(1)	MISUSE OF TERMS SOCIAL SEC/HCA	NA	\$5,000 PER VIOLATION, UP TO \$100,000 PER SERIES OF VIOLATIONS		1988	NO		SEE NOTE (1)		
42 USC 1320b-10(a)(2)	BROADCAST MISUSE OF TERMS SS/HCA	NA	\$25,000 PER VIOLATION, UP TO \$250,000 PER SERIES OF VIOLATIONS		1988	NO		SEE NOTE (1)		
42 USC 1320b-10(a)(2)	MISUSE OF HCF/ASSA SYMBOLS	NA	\$100,000 PER SERIES OF VIOLATIONS		1988	NO		SEE NOTE (1)		

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EXHIBIT 1—CIVIL MONETARY PENALTY AUTHORITIES IDENTIFIED BY FEDERAL AGENCIES

U.S. CODE CITATION	CIVIL MONETARY PENALTY DESCRIPTION	MINIMUM PENALTY AMOUNT SET BY LAW AS OF 3/1/81	MAXIMUM PENALTY AMOUNT SET BY LAW AS OF 3/1/81	HOW PENALTY IS APPLIED	YEAR PENALTY AMOUNT WAS LAST SET BY LAW APPROPRIATE	LAW MODIFICATION APPROPRIATE	TEXT OF LAW MODIFICATION PROVIDED
42 USC 1320b-10(a)(2)	BROADCAST MISUSE OF HCFA/SSA SYMBOLS	NA	\$25,000	PER VIOLATION, UP TO PER SERIES OF VIOLATIONS	1988 NO	NO	SEE NOTE (1)
42 USC 1366bb(b)(1)	NOTIFY HOME HEALTH AGENCY OF SURVEY	NA	\$2,000	PER VIOLATION	1988 NO	NO	SEE NOTE (1)
42 USC 1395cc(d)	HOSP UNBUNDLING OUTPATIENT SURGERY COSTS	NA	\$2,000	PER VIOLATION	1987 NO	NO	SEE NOTE (1)
42 USC 1395dd	HOSPITAL ANTI-DUMPING	NA	\$50,000	PER VIOLATION	1986 NO	NO	SEE NOTE (1)
42 USC 1395l-3(b)	FALSE CERT. RE. SNF RESIDENT ASSESSMENT	NA	\$1,000	PER VIOLATION	1987 NO	NO	SEE NOTE (1)
42 USC 1395l-3(d)	CAUSE ANOTHER'S FALSE CERT. RE. ASSESSMENT	NA	\$5,000	PER VIOLATION	1987 NO	NO	SEE NOTE (1)
42 USC 1395l-3(f)	NOTIFY SNF OF SURVEY	NA	\$2,000	PER VIOLATION	1987 NO	NO	SEE NOTE (1)
42 USC 1395l-3(h)	SNF NONCOMPLIANCE W/CONDITIONS OF PARTICIPATION	NA	\$10,000	PER VIOLATION	1987 NO	NO	SEE NOTE (1)
42 USC 1395l(i)	NON-ASSIGNED CLAIM FRO CLINICAL LAB TEST	NA	\$2,000	PER VIOLATION	1987 NO	NO	SEE NOTE (1)
42 USC 1395l(j)	CLAIM FOR INTRAOCULAR LENS IN CATARACT SURGERY	NA	\$2,000	PER VIOLATION	1987 NO	NO	SEE NOTE (1)
42 USC 1395l(k)	ASSIGNMENT REQUIRE NURSE ANESTHETIST SERV	NA	\$2,000	PER VIOLATION	1986 NO	NO	SEE NOTE (1)
42 USC 1395l(l)	NON-ASSIGNED CLAIM FOR MIDWIFE, ETC. SERVICES	NA	\$2,000	PER VIOLATION	1986 NO	NO	SEE NOTE (1)
42 USC 1395l(m)	FAILURE TO PROVIDE INFO ON REFERRING PHYSICIAN	NA	\$2,000	PER VIOLATION	1988 NO	NO	SEE NOTE (1)
42 USC 1395l(n)	FAILURE TO SUPPLY RENTAL DME W/O CHARGE	NA	\$2,000	PER VIOLATION	1987 NO	NO	SEE NOTE (1)
42 USC 1395l(o)	NON-PARTIC ID VIOL RADIOLOG CHARGE LIMIT	NA	\$25,000	PER VIOLATION	1987 NO	NO	SEE NOTE (1)
42 USC 1395l(p)	FAILURE TO PROVIDE HMO CARE	NA	\$25,000	PER VIOLATION	1987 NO	NO	SEE NOTE (1)
42 USC 1395l(q)	HMO CHARGING EXCESSIVE PREMIUMS	NA	\$25,000	PER VIOLATION	1987 NO	NO	SEE NOTE (1)
42 USC 1395l(r)	HMO WRONGLY EXPELS INDIVIDUALS	NA	\$100,000	PER DETERMINAT	1987 NO	NO	SEE NOTE (1)
42 USC 1395l(s)	HMO DISCOURAGING ENROLLMENT	NA	\$15,000	PER INDIVIDUAL	1988 NO	NO	SEE NOTE (1)
42 USC 1395l(t)	HMO DISCOURAGING ENROLLMENT	NA	\$100,000	PER VIOLATION	1987 NO	NO	SEE NOTE (1)
42 USC 1395l(u)	HMO PROVIDING FALSE INFO TO SECRETARY	NA	\$25,000	PER VIOLATION	1987 NO	NO	SEE NOTE (1)
42 USC 1395l(v)	HMO FALSIFYING INFO	NA	\$25,000	PER VIOLATION	1987 NO	NO	SEE NOTE (1)
42 USC 1395l(w)	HMO FAILS TO ENSURE SUPPLIER PROMPT PAY	NA	\$25,000	PER VIOLATION	1987 NO	NO	SEE NOTE (1)
42 USC 1395l(x)	HMO EMPLOYS EXCLUDED INDIVIDUAL/ENTITY	NA	\$25,000	PER VIOLATION	1987 NO	NO	SEE NOTE (1)
42 USC 1395l(y)	CLINICAL LAB REFERRAL VIOLATION	NA	\$15,000	PER VIOLATION	1989 NO	NO	SEE NOTE (1)
42 USC 1395l(z)	IMPROPER PHYSICIAN REFERRAL OR SCHEME	NA	\$15,000	PER VIOLATION	1989 NO	NO	SEE NOTE (1)
42 USC 1395m(a)(1)	FAILURE TO REPORT OWNERSHIP ARRANGEMENTS	NA	\$100,000	PER REFERRAL	1988 NO	NO	SEE NOTE (1)
42 USC 1395m(a)(2)	MISREPRESENTATION OF MEDIGAP INS POLICY	NA	\$6,000	PER VIOLATION	1988 NO	NO	SEE NOTE (1)
42 USC 1395m(a)(3)	SELLING MEDIGAP UNDER MEDICARE AUTH	NA	\$5,000	PER VIOLATION	1988 NO	NO	SEE NOTE (1)
42 USC 1395m(a)(4)	SELL, MEDIGAP DUPLICATE MEDICARE COVERAGE	NA	\$5,000	PER VIOLATION	1988 NO	NO	SEE NOTE (1)
42 USC 1395m(a)(5)	USE MAIL TO SALE NON-APPROV MEDIGAP POL	NA	\$2,000	PER VIOLATION	1986 NO	NO	SEE NOTE (1)
42 USC 1395m(a)(6)	PHYSICIAN ASSISTANT'S ASSIGNMENT VIOL	NA	\$2,000	PER VIOLATION	1984 YES	YES	SEE NOTE (1)
42 USC 1395m(a)(7)	PHYSICIAN FEE FREEZE VIOLATION	NA	\$2,000	PER VIOLATION	1986 NO	NO	SEE NOTE (1)
42 USC 1395m(a)(8)	MAXIMUM ALLOWABLE ACTUAL CHARGE	NA	\$2,000	PER VIOLATION	1987 NO	NO	SEE NOTE (1)
42 USC 1395m(a)(9)	NON-PARTIC MD OVERCHARGE-DESIGNAT PROC	NA	\$2,000	PER VIOLATION	1986 NO	NO	SEE NOTE (1)
42 USC 1395m(a)(10)	BILLING CATARACT SURGERY - NO APPROVAL	NA	\$2,000	PER VIOLATION	1986 NO	NO	SEE NOTE (1)
42 USC 1395m(a)(11)	VIOL REFUND REQUIRE - UNNECESSARY SERVIC	NA	\$2,000	PER VIOLATION	1986 NO	NO	SEE NOTE (1)
42 USC 1395m(a)(12)	REFUND - ELECTIVE SURGERY NOT COVERED	NA	\$2,000	PER VIOLATION	1987 NO	NO	SEE NOTE (1)
42 USC 1395m(a)(13)	DIAGNOSTIC TEST MARKUP PROHIBITION	NA	\$2,000	PER VIOLATION	1987 NO	NO	SEE NOTE (1)
42 USC 1395m(a)(14)	DIAGNOSTIC CODING VIOLATION	NA	\$10,000	PER VIOLATION	1987 NO	NO	SEE NOTE (1)
42 USC 1395m(a)(15)	INTERMEDIATE CLINICAL LAB SANCTIONS	NA	\$2,000	PER VIOLATION	1989 NO	NO	SEE NOTE (1)
42 USC 1395m(a)(16)	NON-ASSIGNED CLAIMS LIMITED CHARGES	NA	\$2,000	PER VIOLATION	1989 NO	NO	SEE NOTE (1)
42 USC 1395m(a)(17)	ASSIGNMENT VIOL - MEDICAID ELIGIBLES	NA	\$2,000	PER VIOLATION	1988 NO	NO	SEE NOTE (1)

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EXHIBIT 1—CIVIL MONETARY PENALTY AUTHORITIES IDENTIFIED BY FEDERAL AGENCIES

U.S. CODE CITATION	CIVIL MONETARY PENALTY DESCRIPTION	MINIMUM PENALTY AMOUNT SET BY LAW AS OF		MAXIMUM PENALTY AMOUNT SET BY LAW AS OF		HOW PENALTY IS APPLIED	YEAR PENALTY AMOUNT WAS LAST SET BY LAW APPROPRIATE	LAW MODIFICATION	TEXT OF LAW MODIFICATION PROVIDED
		3/1/61	3/1/61	3/1/61	3/1/61				
42 USC 1395w-4(g)(4)	CLAIM FORM COMPLETION VIOLATION	NA	NA	\$2,000	\$2,000	PER VIOLATION	1989	NO	SEE NOTE (1)
42 USC 1395b(b)(5)(C)(i)	FAILURE TO PROVIDE GROUP PLAN INFO	NA	NA	\$1,000	\$1,000	PER INDIVIDUAL	1989	NO	SEE NOTE (1)
42 USC 1395b(m)	FAILURE TO PROVIDE HMO CARE	NA	NA	\$10,000	\$10,000	PER VIOLATION	1986	NO	SEE NOTE (1)
42 USC 1396b(b)(3)(B)(ii)	FALSE CERT-CAPACITY OF SNF PATIENT	NA	NA	\$1,000	\$1,000	PER VIOLATION	1987	NO	SEE NOTE (1)
42 USC 1396b(b)(3)(B)(ii)	CAUSE OTHER TO MAKE FALSE CERTIFICATION	NA	NA	\$5,000	\$5,000	PER VIOLATION	1987	NO	SEE NOTE (1)
42 USC 1396b(a)(2)(A)	NOTIFY SKILL NURSE FACILITY OF SURVEY	NA	NA	\$2,000	\$2,000	PER VIOLATION	1987	NO	SEE NOTE (1)
42 USC 1396b(i)	NF NONCOMPLIANCE WITH CONDITIONS OF PARTIC	NA	NA	\$10,000	\$10,000	PER VIOLATION	1987	NO	SEE NOTE (1)
42 USC 11131(g)	FAILURE TO REPORT MEDICAL MALPRACTICE LIABILITY	NA	NA	\$10,000	\$10,000	PER VIOLATION	1988	NO	SEE NOTE (1)
42 USC 11137(b)(2)	CONFIDENTIALITY BREACH RE: MALPRACTICE INFO	NA	NA	\$10,000	\$10,000	PER VIOLATION	1988	NO	SEE NOTE (1)
U.S. DEPT. OF HOUSING AND URBAN DEVELOPMENT									
12 USC 1701q-1	UNLAWFUL CONDUCT BY ELDERLY PROJECT MORTGAGORS	NA	NA	\$25,000	\$25,000	PER VIOLATION, UP TO	1989	NO	SEE NOTE (1)
12 USC 1703	FALSE INFO PROVIDED BY TITLE I DEALER/BROKERS	NA	NA	\$5,000	\$5,000	PER VIOLATION, UP TO	1989	NO	SEE NOTE (1)
12 USC 1728i	UNLAWFUL CONDUCT BY GNMA ISSUERS/CUSTODIANS	NA	NA	\$5,000	\$5,000	PER VIOLATION, UP TO	1989	NO	SEE NOTE (1)
12 USC 1728i-14	UNLAWFUL CONDUCT BY LENDERS/MORTGAGEES	NA	NA	\$5,000	\$5,000	PER VIOLATION, UP TO	1989	NO	SEE NOTE (1)
12 USC 1725i-15	UNLAWFUL CONDUCT BY PROJECT MORTGAGOR	NA	NA	\$25,000	\$25,000	PER VIOLATION, UP TO	1989	NO	SEE NOTE (1)
15 USC 1717(e)	UNLAWFUL CONDUCT BY INTERSTATE LAND SALE DEVELOPERS	NA	NA	\$1,000	\$1,000	PER VIOLATION, UP TO	1989	NO	SEE NOTE (1)
31 USC 3602(a)(1)	PROGRAM FRAUD CIVIL REMEDIES ACT/Violation INVOLVING FALSE CLAIM	NA	NA	\$5,000	\$5,000	PER CLAIM	1988	NO	SEE NOTE (1)
31 USC 3602(a)(2)	PROGRAM FRAUD CIVIL REMEDIES ACT/Violation INVOLVING FALSE STATEMENT	NA	NA	\$5,000	\$5,000	PER STATEMENT	1988	NO	SEE NOTE (1)
42 USC 3637(a)	EMPLOYEE DISCLOSURE OF FUNDING DECISION	NA	NA	\$10,000	\$10,000	PER VIOLATION	1989	NO	SEE NOTE (1)
42 USC 3637(b)	CONSULTANT'S FAILURE TO REGISTER/REPORT TO HUD	NA	NA	\$10,000	\$10,000	PER VIOLATION	1989	NO	SEE NOTE (1)
42 USC 3645(f)	APPLICANTS FOR ASSISTANCE FAILURE TO DISCLOSE INFO	NA	NA	\$10,000	\$10,000	PER VIOLATION	1989	NO	SEE NOTE (1)
42 USC 5410(a)	UNLAWFUL CONDUCT BY MANUFACTURED HOME MANUFACTURERS	NA	NA	\$1,000	\$1,000	PER VIOLATION, UP TO	1974	YES	YES
U.S. DEPT. OF THE INTERIOR									
BUREAU OF LAND MANAGEMENT									
30 USC 1719(a)(2)	LESS THAN 40 DAY NONCOMPLIANCE - OIL & GAS LAWS	NA	NA	\$500	\$500	PER VIOLATION PER DAY	1983	YES	YES
30 USC 1719(b)	MORE THAN 40 DAY NONCOMPLIANCE - OIL & GAS LAWS	NA	NA	\$5,000	\$5,000	PER VIOLATION PER DAY	1983	YES	YES
30 USC 1719(c)(3)	VIOLATIONS/OIL & GAS/KNOWING, WILLFUL ACTS	NA	NA	\$10,000	\$10,000	PER VIOLATION PER DAY	1983	YES	YES
30 USC 1719(d)(3)	FALSE INFO/STOLEN OR UNLAWFUL REMOVED OIL & GAS	NA	NA	\$25,000	\$25,000	PER VIOLATION PER DAY	1983	YES	YES
U.S. DEPT. OF THE INTERIOR									
FISH AND WILDLIFE SERVICE									
16 USC 669(b)	VIOLATIONS/SALE, POSSESSION, ETC OF BALD EAGLE	NA	NA	\$5,000	\$5,000	PER VIOLATION	1972	YES	YES
16 USC 1375(a)(1)	VIOLATIONS/CONSERVATION REGS./MARINE MAMMAL PROTECTION ACT	NA	NA	\$10,000	\$10,000	PER VIOLATION	1972	YES	YES
16 USC 1540(a)(1)	VIOLATIONS/ENDANGERED SPECIES	NA	NA	\$25,000	\$25,000	PER VIOLATION	1985	NO	SEE NOTE (1)
16 USC 1540(a)(1)	VIOLATIONS/ENDANGERED SPECIES	NA	NA	\$12,000	\$12,000	PER VIOLATION	1986	NO	SEE NOTE (1)

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EXHIBIT 1—CIVIL MONETARY PENALTY AUTHORITIES IDENTIFIED BY FEDERAL AGENCIES

U.S. CODE CITATION	CIVIL MONETARY PENALTY DESCRIPTION	MINIMUM PENALTY AMOUNT SET BY LAW AS OF 3/1/81	MAXIMUM PENALTY AMOUNT SET BY LAW AS OF 3/1/81	HOW PENALTY IS APPLIED	YEAR PENALTY AMOUNT WAS LAST SET BY LAW	TEXT OF LAW MODIFICATION PROVIDED			
							1978	1981	1983
16 USC 1540(a)(1) 16 USC 3373(a)(1)	VIOLATIONS/ENDANGERED SPECIES SALE, POSSESSION OR TRANSP. - FISH/WILDLIFE/PLANTS	NA	\$500 \$10,000	PER VIOLATION PER VIOLATION	1978 YES 1981 YES	YES YES			
U.S. DEPT. OF THE INTERIOR MINERALS MANAGEMENT SERVICE									
30 USC 1719(a)(2) 30 USC 1719(b) 30 USC 1719(c)(3) 30 USC 1719(d)(3) 43 USC 1350	LESS THAN 40 DAY NONCOMPLIANCE - OIL & GAS LAWS MORE THAN 40 DAY NONCOMPLIANCE - OIL & GAS LAWS VIOLATIONS/OIL & GAS/KNOCKING, WILLFUL ACTS FALSE INFO/STOLEN OR UNLAWFUL REMOVED OIL & GAS NONCOMPLIANCE/OUTER CNTRL SHELF LANDS ACT	NA NA NA NA NA	\$500 \$5,000 \$10,000 \$25,000 \$20,000	PER VIOLATION PER DAY PER VIOLATION PER DAY PER VIOLATION PER DAY PER VIOLATION PER DAY PER DAY EACH OFFENSE	1983 YES 1983 YES 1983 YES 1983 YES 1980 NO	YES YES YES YES SEE NOTE (1)			
U.S. DEPT. OF THE INTERIOR OFFICE OF SURFACE MINING									
30 USC 1288(a) 30 USC 1288(h)	NOTICE OF VIOLATION/SURFACE MINING CONTROL & RECL. ACT FAILURE TO CORRECT ACTION/SURFACE MINING CONTROL & RECL. ACT	NA NA	\$5,000 \$750	PER VIOLATION PER DAY	1977 YES 1977 YES	YES YES			
INTERNATIONAL TRADE COMMISSION									
19 USC 1337(f)	VIOL.-REMEDIAL ORDERS IN INVESTIGATIONS	NA	\$100,000	PER VIOL. PER DAY, OR 2X GOODS VALU	1986 NO	SEE NOTE (1)			
INTERSTATE COMMERCE COMMISSION									
49 USC 10527(b) 49 USC 11901(a) 49 USC 11901(b)	LOADING AND UNLOADING FAILURE TO OBEY COMMISSION ORDER VIOLATION OF REGULATION OR ORDER WITH RESPECT TO RATES, TARIFFS BY RAILROADS	NA NA NA	\$10,000 \$5,000 \$25	PER VIOLATION PER VIOLATION EACH DAY EACH DAY	1980 YES 1986 YES 1910 YES 1910 YES 1913 YES 1920 YES 1917 YES 1917 YES	YES YES YES YES YES YES YES YES			
49 USC 11901(c) 49 USC 11901(d) 49 USC 11901(e)(1)	VIOLATION OF REGULATION OR ORDER BY RAILROAD WITH RESPECT TO PROPERTY VALUATI UNAUTHORIZED OPERATION OR ABANDONMENT BY RAILROAD VIOLATION OF COMMISSION ORDER REGARDING EMERGENCY SITUATION	NA NA \$100	\$500 \$5,000 \$50	PER VIOLATION PER VIOLATION PER VIOLATION AND PER DAY	1913 YES 1920 YES 1917 YES	YES YES YES			
49 USC 11901(e)(2) 49 USC 11901(f)(1) 49 USC 11901(f)(2) 49 USC 11901(g)(3) 49 USC 11901(g)	FAILURE TO MAKE PROPER CAR DISTRIBUTION BY RAILROAD FAILURE TO PRESERVE AND SUBMIT RECORD BY RAILROAD FAILURE TO PERMIT INSPECTION BY RAILROAD FAILURE TO MAKE COMPLETE REPORT BY RAILROAD FOREIGN CARRIER OPERATING WITHOUT AUTHORITY AND RECORDS	NA NA NA NA NA	\$100 \$500 \$100 \$100 \$500	PER VIOLATION PER VIOLATION PER VIOLATION PER VIOLATION PER VIOLATION AND	1920 YES 1940 YES 1940 YES 1940 YES 1949 YES 1949 YES	YES YES YES YES YES SEE NOTE (2)			
49 USC 11901(h) 49 USC 11901(i)(1)	UNAUTHORIZED PASSENGER OPERATIONS UNAUTHORIZED MOTOR TRANSPORTATION; HAZARDOUS WASTE FAILURE TO KEEP RECORDS; UNAUTHORIZED OPERATIONS BY RR/IG CARRIER	NA NA	\$1,000 \$500	FOR EACH ADDITIONAL DAY FOR EACH ADDITIONAL DAY	1982 NO 1982 YES 1980 YES 1980 YES	YES YES YES YES			

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49 USC 11901(k)(1)	FAILURE TO COMPLY WITH REGULATIONS BY HHG CARRIER	NA	\$1,000	PER VIOLATION AND FOR EACH ADDITIONAL DAY	1980 YES	YES	YES
49 USC 11901(k)(2)(E)	VIOLATIONS OF HHG REGULATIONS BY FAILURE OR REFUSAL TO COMPLY	NA	\$500	PER FAILURE OR REFUSAL AND FOR EACH ADDITIONAL DAY	1980 YES	YES	YES
49 USC 11901(k)(3)	FALSIFYING HHG DOCUMENTS	NA	\$500	FOR EACH ADDITIONAL DAY	1980 YES	YES	YES
49 USC 11901(f)	FALSIFYING HHG DOCUMENTS	NA	\$500	PER FAILURE OR REFUSAL AND FOR EACH ADDITIONAL DAY	1980 YES	YES	YES
49 USC 11902(a)	FALSIFYING HHG DOCUMENTS/SUBSEQUENT VIOLATIONS LOADING AND UNLOADING VIOLATION (LUMPING)	NA	\$2,500	PER VIOLATION	1980 YES	YES	YES
49 USC 11902(a)		NA	\$5,000	PER SUBSEQUENT VIOLATION	1980 YES	YES	YES
49 USC 11902(a)		NA	\$10,000	PER VIOLATION	1980 YES	YES	YES
U.S. DEPT. OF JUSTICE ANTITRUST DIVISION							
15 USC 16(a)(k)(1)	ACQUIRING VOTING SECURITIES OR ASSETS W/out FILING NOTIFICATION, OR DURING WAIT	NA	\$10,000	PER DAY	1976 YES	YES	YES
U.S. DEPT. OF JUSTICE CIVIL DIVISION							
31 USC 3729	VIOLATIONS/FALSE CLAIMS ACT INVOLVING DOD CONTRACT	NA	\$2,000	PER VIOLATION	1986 NO	NO	SEE NOTE (1)
31 USC 3729	VIOLATIONS/FALSE CLAIMS ACT	\$5,000	\$10,000	PLUS 3X DAMAGES & COSTS OF CIVIL ACTION PER VIOLATION PLUS 3X DAMAGES	1986 NO	NO	SEE NOTE (1)
U.S. DEPT. OF JUSTICE IMMIGRATION AND NATURALIZATION SERVICE							
8 USC 1221	FAILURE TO DELIVER LIST OF PERSONS ON VESSEL OR AIRCRAFT	NA	\$500	PER PERSON	1960 NO	NO	SEE NOTE (1)
8 USC 1227	IMMEDIATE DEPORTATION OF ALIENS EXCLUDED FROM ADMISSION	NA	\$2,000	PER VIOLATION	1960 NO	NO	SEE NOTE (1)
8 USC 1229	DESIGNATION OF PORTS OF ENTRY FOR ALIENS ARR. BY CIVIL AIRCRAFT	NA	\$2,000	PER VIOLATION	1960 NO	NO	SEE NOTE (1)
8 USC 1281	LIST OF ALIEN CREWMEN/REFPTS. OF ILLEGAL LANDINGS	NA	\$200	PER ALIEN	1960 NO	NO	SEE NOTE (1)
8 USC 1284	CONTROL OF ALIEN CREWMEN	NA	\$3,000	PER ALIEN	1960 NO	NO	SEE NOTE (1)
8 USC 1285	EMPLOYMENT OF ALIENS W/CERTAIN DISABILITIES	NA	\$1,000	PER ALIEN	1960 NO	NO	SEE NOTE (1)
8 USC 1286	DISCHARGE OF ALIEN CREWMEN	NA	\$3,000	PER VIOLATION	1960 NO	NO	SEE NOTE (1)
8 USC 1287	BRINGING ALIEN CREWMEN TO U.S. W/INTENT TO EVADE IMM. LAWS	NA	\$10,000	PER VIOLATION	1960 NO	NO	SEE NOTE (1)
8 USC 1321	INS USER FEE ACCT/PREVENTION OF UNAUTHORIZED LANDING OF ALIENS	NA	\$3,000	PER ALIEN	1960 NO	NO	SEE NOTE (1)
8 USC 1322	BRINGING ALIEN TO U.S. W/SPECIFIED MENTAL/PHYSICAL DEFECTS	NA	\$3,000	PER ALIEN	1960 NO	NO	SEE NOTE (1)
8 USC 1322	BRINGING ALIEN TO U.S. W/OTHER MENTAL/PHYSICAL DEFECTS	NA	\$3,000	PER ALIEN	1960 NO	NO	SEE NOTE (1)
8 USC 1323	INS USER FEE ACCT/LAWFUL BRINGING ALIEN TO U.S. W/EXPIRED VISA	NA	\$3,000	PER ALIEN	1960 NO	NO	SEE NOTE (1)
8 USC 1323	INS USER FEE ACCT/FAILURE TO DETAINE/REPORT ALIEN STOWAWAY	NA	\$3,000	PER ALIEN	1960 NO	NO	SEE NOTE (1)
8 USC 1324	EMPLOYER SANCTION/CEASE & DESIST ORDER FOR HIRING, RECRUITING & REFERRAL VIOLATIONS	NA	\$3,000	PER ALIEN	1960 NO	NO	SEE NOTE (1)
8 USC 1324(a)	— 1ST ORDER	\$250	\$2,000	PER ALIEN	1966 NO	NO	SEE NOTE (1)
8 USC 1324(a)	— 2ND ORDER	\$2,000	\$5,000	PER ALIEN	1966 NO	NO	SEE NOTE (1)
8 USC 1324(a)	— 3RD OR SUBSEQUENT ORDER	\$3,000	\$10,000	PER ALIEN	1966 NO	NO	SEE NOTE (1)
8 USC 1324(a)	PAPERWORK VIOLATIONS	\$100	\$1,000	PER INDIVIDUAL	1966 NO	NO	SEE NOTE (1)
8 USC 1324(a)	VIOLATION/PROHIBITION OF INDEMNITY BONDS	NA	\$1,000	PER VIOLATION	1966 NO	NO	SEE NOTE (1)

NOTES: (1) PENALTY HAS BEEN ADJUSTED IN LAST FIVE YEARS; LAW MODIFICATION TEXT NOT REQUIRED. (2) INFLATION-ADJUSTED VALUE EQUALS THE ORIGINAL PENALTY DUE TO ROUNDING; MODIFICATION TEXT UNNECESSARY.

EXHIBIT 1—CIVIL MONETARY PENALTY AUTHORITIES IDENTIFIED BY FEDERAL AGENCIES

U.S. CODE CITATION	CIVIL MONETARY PENALTY DESCRIPTION	MINIMUM PENALTY AMOUNT		MAXIMUM PENALTY AMOUNT		HOW PENALTY IS APPLIED	YEAR PENALTY AMOUNT WAS LAST SET BY LAW APPROPRIATE	LAW MODIFICATION PROVIDED	TEXT OF LAW MODIFICATION PROVIDED
		AS OF 3/1/75	SET BY LAW AS OF 3/1/75	AS OF 3/1/75	SET BY LAW AS OF 3/1/75				
8 USC 1324(g)	DOCUMENT FRAUD		\$250	\$2,000	\$2,000	PER DOCUMENT	1990 NO	SEE NOTE (1)	
8 USC 1324(c)	1ST ORDER		\$2,000	\$5,000	\$5,000	PER DOCUMENT	1990 NO	SEE NOTE (1)	
8 USC 1324(c)	2ND OR SUBSEQUENT ORDER		\$250	\$2,000	\$2,000	PER INDIVIDUAL	1990 NO	SEE NOTE (1)	
8 USC 1325(b)	ANTI-DISCRIMINATION VIOLATIONS		\$2,000	\$5,000	\$5,000	PER INDIVIDUAL	1990 NO	SEE NOTE (1)	
8 USC 1325(b)	1ST ORDER		\$3,000	\$10,000	\$10,000	PER INDIVIDUAL	1990 NO	SEE NOTE (1)	
8 USC 1325(b)	2ND ORDER		\$100	\$1,000	\$1,000	PER INDIVIDUAL	1990 NO	SEE NOTE (1)	
8 USC 1325(b)	3RD OR SUBSEQUENT ORDER								
8 USC 1325(b)	CERTAIN DOCUMENTARY PRACTICES								
U.S. DEPT. OF LABOR									
EMPLOYMENT STANDARDS ADMINISTRATION									
8 USC 1168	VIOLATION OF EACH WORK CONTRACT OR H-2A REGULATIONS	NA	NA	\$1,000	\$1,000	PER VIOLATION	1997 NO	SEE NOTE (1)	
8 USC 1168	VIOLATION/DISCRIMINATION OR INTERFERENCE WITH-2A INVESTIGATIONS	NA	NA	\$1,000	\$1,000	PER VIOLATION	1987 NO	SEE NOTE (1)	
29 USC 211(d)	VIOLATION OF HOMEWORKER REGULATIONS	NA	NA	\$500	\$500	PER HOMEWORKER	1998 NO	SEE NOTE (1)	
29 USC 216(f)	WILLFUL OR REPEATED VIOLATION OF FLSA, MW & OT PAY PROVISIONS	NA	NA	\$1,000	\$1,000	PER VIOLATION	1989 NO	SEE NOTE (1)	
29 USC 1653	VIOLATION OF MPSA OR THE REGULATIONS	NA	NA	\$1,000	\$1,000	PER VIOLATION	1983 NO	SEE NOTE (2)	
29 USC 1853	VIOLATION OF SAWIRAW	NA	NA	\$10,000	\$10,000	PER EMPLOYER	1988 NO	SEE NOTE (1)	
29 USC 2005	VIOLATION OF ANY PROVISION OF EPPA	NA	NA	\$1,000	\$1,000	PER DAY	1977 YES	SEE NOTE (1)	
30 USC 833(a)(1)	FAILURE TO SECURE BENEFIT	NA	NA	\$500	\$500	PER VIOLATION	1977 YES	YES	
30 USC 842(b)	FAILURE TO SUBMIT REPORT	NA	NA	\$100	\$100	PER VIOLATION	1927 YES	YES	
33 USC 914(c)	FAILURE TO REPORT FINAL PAYMENT OF COMPENSATION	NA	NA	\$10,000	\$10,000	PER VIOLATION	1984 YES	YES	
33 USC 930(f)	FAILURE TO REPORT INJURY	NA	NA	\$5,000	\$5,000	PER VIOLATION	1984 YES	YES	
PL 101-238	DISCRIMINATION AGAINST EMPLOYEES	\$1,000	NA	\$1,000	\$1,000	PER VIOLATION	1989 NO	SEE NOTE (1)	
PL 101-508	NONIMMIGRANT ALIEN NURSES	NA	NA	\$10,000	\$10,000	PER VIOLATION	1980 NO	SEE NOTE (1)	
PL 101-649	VIOLATION OF FLSA CHILD LABOR PROVISIONS	NA	NA	\$5,000	\$5,000	PER VIOLATION	1990 NO	SEE NOTE (1)	
PL 101-649	LIMITATIONS ON PERFORMANCE OF LONGSHORE WORK BY ALIEN CREWMEN	NA	NA	\$1,000	\$1,000	PER VIOLATION	1990 NO	SEE NOTE (1)	
PL 101-649	H-1B SPECIALTY OCCUPATIONS	NA	NA	\$1,000	\$1,000	PER VIOLATION	1990 NO	SEE NOTE (1)	
U.S. DEPT. OF LABOR									
MINE SAFETY AND HEALTH ADMINISTRATION									
30 USC 820(e)	VIOLATIONS OF HEALTH STANDARDS AND MINE ACT	NA	NA	\$50,000	\$50,000	PER VIOLATION	1990 NO	SEE NOTE (1)	
30 USC 820(f)	FAILURE TO CORRECT	NA	NA	\$5,000	\$5,000	PER DAY	1990 NO	SEE NOTE (1)	
30 USC 820(g)	VIOLATIONS OF MINE ACT COMMITTED BY MINER	NA	NA	\$250	\$250	PER VIOLATION	1977 YES	YES	
U.S. DEPT. OF LABOR									
OCCUPATIONAL SAFETY AND HEALTH ADMINISTRATION									
29 USC 666(e)	REPEATED VIOLATION OF OSHA STANDARD	NA	NA	\$70,000	\$70,000	PER VIOLATION	1990 NO	SEE NOTE (1)	
29 USC 666(f)	SERIOUS VIOLATION OF OSHA STANDARD	\$1	NA	\$7,000	\$7,000	PER VIOLATION	1990 NO	SEE NOTE (1)	
29 USC 666(g)	OTHER THAN SERIOUS VIOLATION OF OSHA STANDARD	NA	NA	\$7,000	\$7,000	PER VIOLATION	1990 NO	SEE NOTE (1)	
29 USC 666(h)	FAILURE TO CORRECT OSHA STANDARD	NA	NA	\$7,000	\$7,000	PER VIOLATION AND DAY	1990 NO	SEE NOTE (1)	
29 USC 666(i)	WILLFUL VIOLATION OF OSHA STANDARD	\$5,000	NA	\$70,000	\$70,000	PER VIOLATION	1990 NO	SEE NOTE (1)	

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EXHIBIT 1.—CIVIL MONETARY PENALTY AUTHORITIES IDENTIFIED BY FEDERAL AGENCIES

U.S. CODE CITATION	CIVIL MONETARY PENALTY DESCRIPTION	MINIMUM PENALTY AMOUNT	MAXIMUM PENALTY AMOUNT	HOW PENALTY IS APPLIED	YEAR PENALTY WAS LAST SET BY LAW	LAW MODIFICATION APPROPRIATE	TEXT OF LAW MODIFICATION PROVIDED
29 USC 8640	POSTING REQUIREMENTS	\$1	\$7,000	PER VIOLATION.			
U.S. DEPT. OF LABOR							
PENSION AND WELFARE BENEFITS ADMINISTRATION							
29 USC 1059(b)	FAILURE TO FURNISH INFO OR MAINTAIN RECORDS	NA	\$10	PER EMPLOYEE	1974	YES	YES
29 USC 1132(g)(1)(A)	FAILURE TO NOTIFY PLAN PARTICIPANTS OF GROUP HEALTH BENEFITS UNDER COBRA	NA	\$100	PER DAY	1986	NO	SEE NOTE (1)
29 USC 1132(g)(1)(A)	FAILURE TO NOTIFY PARTICIPANTS & BENEFICIARIES RE: ASSET TRANSFER FROM A PENSION PLAN TO A HEALTH PLAN	NA	\$100	PER DAY	1990	NO	SEE NOTE (1)
29 USC 1132(c)(1)(B)	REFUSAL TO PROVIDE REQ. INFO IN TIMELY MANNER	NA	\$100	PER DAY	1974	YES	YES
29 USC 1132(c)(2)	FAILURE OR REFUSAL TO FILE AN ANNUAL REPORT	NA	\$1,000	PER DAY	1987	NO	SEE NOTE (1)
29 USC 1132(c)(3)	FAILURE TO NOTIFY PARTICIPANTS & BENEFICIARIES RE: FAILURE TO MEET MINIMUM FUNDING REQS.	NA	\$100	PER DAY	1989	NO	SEE NOTE (1)
29 USC 1132(c)(3)	FAILURE TO NOTIFY PARTICIPANTS & BENEFICIARIES RE: EXCESS PENSION ASSET TRANSFER TO HEALTH BENEFITS ACCOUNT	NA	\$100	PER DAY	1980	NO	SEE NOTE (1)
MERIT SYSTEMS PROTECTION BOARD							
5 USCA 1215(a)(3)	PROHIBITED PERSONNEL PRACTICE	NA	\$1,000	PER CASE	1978	YES	YES
NATIONAL CREDIT UNION ADMINISTRATION							
12 USC 1786(a)(2)(A)	FIRST TIER CMP	NA	\$5,000	PER DAY	1989	NO	SEE NOTE (1)
12 USC 1786(a)(2)(B)	SECOND TIER CMP	NA	\$25,000	PER DAY	1989	NO	SEE NOTE (1)
12 USC 1786(a)(2)(C)	THIRD TIER CMP	NA	\$1,000,000	PER DAY	1989	NO	SEE NOTE (1)
NATIONAL SCIENCE FOUNDATION							
16 USC 2407(a)	ANTARCTIC CONSERVATION ACT/UNINTENTIONAL VIOLATION	NA	\$5,000	PER VIOLATION	1978	YES	YES
16 USC 2407(a)	ANTARCTIC CONSERVATION ACT/INTENTIONAL VIOLATION	NA	\$10,000	PER VIOLATION	1978	YES	YES
NATIONAL TRANSPORTATION SAFETY BOARD							
49 USC 1471(a)(1)	VIOL. FAA 49 USC 1441 OR RULE/REG REGARDING	NA	\$1,000	PER VIOLATION	1988	YES	YES
NUCLEAR REGULATORY COMMISSION							
42 USC 2114	VIOLATIONS—OWNERSHIP & CUSTODY OF BYPRODUCT MATERIAL AND DISPOSAL SITES	NA	\$100,000	PER VIOLATION; PER DAY	1980	YES	YES
42 USC 2167	VIOLATIONS—MARKING, USE, STORAGE, & DESTRUCTION OF SENSITIVE INFORMATION	NA	\$100,000	PER VIOLATION; PER DAY	1980	YES	YES
42 USC 2282	VIOLATIONS OF LICENSING PROVISIONS	NA	\$100,000	PER VIOLATION; PER DAY	1980	YES	YES
42 USC 5846	FAILURE TO REPORT DEFECTS & NONCOMPLIANCE	NA	\$100,000	PER VIOLATION; PER DAY	1980	YES	YES

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EXHIBIT 1—CIVIL MONETARY PENALTY AUTHORITIES IDENTIFIED BY FEDERAL AGENCIES

U.S. CODE CITATION	CIVIL MONETARY PENALTY DESCRIPTION	MINIMUM PENALTY AMOUNT SET BY LAW AS OF 3/1/81	MAXIMUM PENALTY AMOUNT SET BY LAW AS OF 3/1/81	HOW PENALTY IS APPLIED	YEAR		TEXT OF LAW MODIFICATION PROVIDED
					1986	1988	
OFFICE OF PERSONNEL MANAGEMENT							
5 USC 5502a	FRAUD/FEDERAL HEALTH BENEFITS PROGRAM PROVIDERS	NA	\$10,000	PER ITEM, SERVICE, OR CLAIM	1988	NO	SEE NOTE (1)
PENSION BENEFIT GUARANTY CORPORATION							
Sect. 4071; 29 USC 1371	FAILURE TO PROVIDE REQUIRED NOTICE OR MATERIAL INFORMATION	NA	\$1,000	PER DAY OF FAILURE	1987	NO	SEE NOTE (1)
Sect. 4302; 29 USC 1462	FAILURE TO PROVIDE REQUIRED NOTICE	NA	\$100	PER DAY OF FAILURE	1986	YES	YES
U.S. POSTAL SERVICE							
39 USC 3012	PENALTY -- VIOLATION OF POSTAL SERVICE ORDERS	NA	\$10,000	PER DAY	1983	YES	YES
31 USC 3802(a)(1)	PROGRAM FRAUD CIVIL REMEDIES ACT/VIOLATION INVOLVING FALSE CLAIM	NA	\$5,000	PER CLAIM	1985	NO	SEE NOTE (1)
31 USC 3802(a)(2)	PROGRAM FRAUD CIVIL REMEDIES ACT/VIOLATION INVOLVING FALSE STATEMENT	NA	\$5,000	PER STATEMENT	1985	NO	SEE NOTE (1)
RAILROAD RETIREMENT BOARD							
31 USC 3728(a)	FALSE CLAIMS	\$5,000	\$10,000	PER CLAIM PLUS 3X DAMAGES TO GOVT.	1985	NO	SEE NOTE (1)
31 USC 3802(a)(1)	PROGRAM FRAUD CIVIL REMEDIES ACT/VIOLATION INVOLVING FALSE CLAIM	NA	\$5,000	PER CLAIM	1985	NO	SEE NOTE (1)
31 USC 3802(a)(2)	PROGRAM FRAUD CIVIL REMEDIES ACT/VIOLATION INVOLVING FALSE STATEMENT	NA	\$5,000	PER STATEMENT	1985	NO	SEE NOTE (1)
SECURITIES AND EXCHANGE COMMISSION							
15 USC 77n(d)	SECURITIES ACT OF 1933 VIOLATIONS (IMPOSED BY COURT) -- FOR NATURAL PERSON	NA	\$5,000	PER VIOLATION, OR GAIN TO DEFENDANT, WHICHEVER GREATER	1980	NO	SEE NOTE (1)
	-- FOR ANY OTHER PERSON	NA	\$50,000	PER VIOLATION, OR GAIN TO DEFENDANT, WHICHEVER GREATER	1980	NO	SEE NOTE (1)
	-- FOR NATURAL PERSON/FRAUD, RECKLESS DISREGARD OF REG. REQ.	NA	\$50,000	PER VIOLATION, OR GAIN TO DEFENDANT, WHICHEVER GREATER	1980	NO	SEE NOTE (1)
	-- FOR ANY OTHER PERSON/FRAUD, RECKLESS DISREGARD OF REG. REQ.	NA	\$250,000	PER VIOLATION, OR GAIN TO DEFENDANT, WHICHEVER GREATER	1980	NO	SEE NOTE (1)
	-- FOR NATURAL PERSON/SUBSTANTIAL LOSSES OR RISK OF LOSSES TO OTHERS	NA	\$100,000	PER VIOLATION, OR GAIN TO DEFENDANT, WHICHEVER GREATER	1980	NO	SEE NOTE (1)
	-- FOR ANY OTHER PERSON/SUBSTANTIAL LOSSES OR RISK OF LOSSES TO OTHERS	NA	\$500,000	PER VIOLATION, OR GAIN TO DEFENDANT, WHICHEVER GREATER	1980	NO	SEE NOTE (1)
15 USC 78j(b)	EXCHANGE ACT/FAILURE TO FILE INFO, DOCUMENTS, REPORTS	NA	\$100	EACH DAY OF DELAY	1986	YES	YES
15 USC 78j(c)(1)(B)	FOREIGN CORRUPT PRACTICES -- ANY ISSUER	NA	\$10,000	PER VIOLATION	1985	NO	SEE NOTE (1)
15 USC 78j(c)(2)(C)	FOREIGN CORRUPT PRACTICES -- ANY AGENT OR STOCKHOLDER ACTING ON BEHALF OF ISS	NA	\$10,000	PER VIOLATION	1985	NO	SEE NOTE (1)
15 USC 78i-1(a)(3)	INSIDER TRADING -- CONTROLLING PERSONS	NA	\$1,000,000	PER VIOLATION, OR 3X PROFIT GAINED OR LOSS AVOIDED, WHICHEVER GREATER	1988	NO	SEE NOTE (1)

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EXHIBIT 1--CIVIL MONETARY PENALTY AUTHORITIES IDENTIFIED BY FEDERAL AGENCIES

U.S. CODE CITATION	CIVIL MONETARY PENALTY DESCRIPTION	MINIMUM PENALTY AMOUNT SET BY LAW AS OF 3/1/91	MAXIMUM PENALTY AMOUNT SET BY LAW AS OF 3/1/91	HOW PENALTY IS APPLIED	YEAR PENALTY AMOUNT WAS LAST SET BY LAW APPROPRIATE	TEXT OF LAW MODIFICATION PROVIDED
15 USC 78u-2	SECURITIES EXCHANGE ACT OF 1934 VIOLATIONS					
	---FOR NATURAL PERSON	NA	\$5,000	PER ACT OR OMISSION	1990 NO	SEE NOTE (1)
	---FOR ANY OTHER PERSON	NA	\$50,000	PER ACT OR OMISSION	1990 NO	SEE NOTE (1)
	---FOR NATURAL PERSON/FRAUD, RECKLESS DISREGARD OF REG. REQ.	NA	\$50,000	PER ACT OR OMISSION	1990 NO	SEE NOTE (1)
	---FOR ANY OTHER PERSON/FRAUD, RECKLESS DISREGARD OF REG. REQ.	NA	\$250,000	PER ACT OR OMISSION	1990 NO	SEE NOTE (1)
	---FOR NATURAL PERSON/SUBSTANTIAL LOSSES TO OTHERS/GAINS TO SELF	NA	\$100,000	PER ACT OR OMISSION	1990 NO	SEE NOTE (1)
	---FOR ANY OTHER PERSON/SUBSTANTIAL LOSSES TO OTHERS/GAINS TO SELF	NA	\$500,000	PER ACT OR OMISSION	1990 NO	SEE NOTE (1)
	SECURITIES EXCHANGE ACT OF 1934 VIOLATIONS (IMPOSED BY COURT)					
	---FOR NATURAL PERSON	NA	\$5,000	PER VIOLATION, OR GAIN TO DEFENDANT, WHICHEVER GREATER	1990 NO	SEE NOTE (1)
	---FOR ANY OTHER PERSON	NA	\$50,000	PER VIOLATION, OR GAIN TO DEFENDANT, WHICHEVER GREATER	1990 NO	SEE NOTE (1)
15 USC 78u-6(c)	---FOR NATURAL PERSON/FRAUD, RECKLESS DISREGARD OF REG. REQ.	NA	\$50,000	PER VIOLATION, OR GAIN TO DEFENDANT, WHICHEVER GREATER	1990 NO	SEE NOTE (1)
	---FOR ANY OTHER PERSON/FRAUD, RECKLESS DISREGARD OF REG. REQ.	NA	\$50,000	PER VIOLATION, OR GAIN TO DEFENDANT, WHICHEVER GREATER	1990 NO	SEE NOTE (1)
	---FOR NATURAL PERSON/SUBSTANTIAL LOSSES OR RISK OF LOSSES TO OTHERS	NA	\$250,000	PER VIOLATION, OR GAIN TO DEFENDANT, WHICHEVER GREATER	1990 NO	SEE NOTE (1)
	---FOR ANY OTHER PERSON/SUBSTANTIAL LOSSES OR RISK OF LOSSES TO OTHERS	NA	\$100,000	PER VIOLATION, OR GAIN TO DEFENDANT, WHICHEVER GREATER	1990 NO	SEE NOTE (1)
	---FOR NATURAL PERSON/SUBSTANTIAL LOSSES OR RISK OF LOSSES TO OTHERS	NA	\$500,000	PER VIOLATION, OR GAIN TO DEFENDANT, WHICHEVER GREATER	1990 NO	SEE NOTE (1)
	INVESTMENT COMPANY ACT OF 1940 VIOLATIONS					
	---FOR NATURAL PERSON	NA	\$5,000	PER ACT OR OMISSION	1990 NO	SEE NOTE (1)
	---FOR ANY OTHER PERSON	NA	\$50,000	PER ACT OR OMISSION	1990 NO	SEE NOTE (1)
	---FOR NATURAL PERSON/FRAUD, RECKLESS DISREGARD OF REG. REQ.	NA	\$250,000	PER ACT OR OMISSION	1990 NO	SEE NOTE (1)
	---FOR ANY OTHER PERSON/FRAUD, RECKLESS DISREGARD OF REG. REQ.	NA	\$100,000	PER ACT OR OMISSION	1990 NO	SEE NOTE (1)
15 USC 80a-41(f)	INVESTMENT COMPANY ACT OF 1940 VIOLATIONS (IMPOSED BY COURT)					
	---FOR NATURAL PERSON	NA	\$5,000	PER VIOLATION, OR GAIN TO DEFENDANT, WHICHEVER GREATER	1990 NO	SEE NOTE (1)
	---FOR ANY OTHER PERSON	NA	\$50,000	PER VIOLATION, OR GAIN TO DEFENDANT, WHICHEVER GREATER	1990 NO	SEE NOTE (1)
	---FOR NATURAL PERSON/FRAUD, RECKLESS DISREGARD OF REG. REQ.	NA	\$50,000	PER VIOLATION, OR GAIN TO DEFENDANT, WHICHEVER GREATER	1990 NO	SEE NOTE (1)
	---FOR ANY OTHER PERSON/FRAUD, RECKLESS DISREGARD OF REG. REQ.	NA	\$50,000	PER VIOLATION, OR GAIN TO DEFENDANT, WHICHEVER GREATER	1990 NO	SEE NOTE (1)
	---FOR NATURAL PERSON/SUBSTANTIAL LOSSES OR RISK OF LOSSES TO OTHERS	NA	\$250,000	PER VIOLATION, OR GAIN TO DEFENDANT, WHICHEVER GREATER	1990 NO	SEE NOTE (1)
	---FOR ANY OTHER PERSON/SUBSTANTIAL LOSSES OR RISK OF LOSSES TO OTHERS	NA	\$100,000	PER VIOLATION, OR GAIN TO DEFENDANT, WHICHEVER GREATER	1990 NO	SEE NOTE (1)
	---FOR NATURAL PERSON/SUBSTANTIAL LOSSES OR RISK OF LOSSES TO OTHERS	NA	\$500,000	PER VIOLATION, OR GAIN TO DEFENDANT, WHICHEVER GREATER	1990 NO	SEE NOTE (1)
	---FOR ANY OTHER PERSON/SUBSTANTIAL LOSSES OR RISK OF LOSSES TO OTHERS	NA	\$5,000	PER VIOLATION, OR GAIN TO DEFENDANT, WHICHEVER GREATER	1990 NO	SEE NOTE (1)
	---FOR NATURAL PERSON	NA	\$50,000	PER VIOLATION, OR GAIN TO DEFENDANT, WHICHEVER GREATER	1990 NO	SEE NOTE (1)

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U.S. CODE CITATION	CIVIL MONETARY PENALTY DESCRIPTION	MINIMUM PENALTY AMOUNT		MAXIMUM PENALTY AMOUNT		HOW PENALTY IS APPLIED	YEAR PENALTY AMOUNT WAS LAST SET BY LAW	LAW MODIFICATION APPROPRIATE	TEXT OF LAW MODIFICATION PROVIDED
		SET BY LAW AS OF 3/1/81	AS OF 3/1/81	SET BY LAW AS OF 3/1/81	AS OF 3/1/81				
15 USC 90b-9(a)	INVESTMENT ADVISERS ACT OF 1940/VIOLATIONS ---FOR NATURAL PERSON	NA	\$5,000	NA	\$5,000	PER ACT OR OMISSION	1990 NO	NO	SEE NOTE (1)
	---FOR ANY OTHER PERSON	NA	\$50,000	NA	\$50,000	PER ACT OR OMISSION	1990 NO	NO	SEE NOTE (1)
	---FOR NATURAL PERSON/FRAUD, RECKLESS DISREGARD OF REG. REQ.	NA	\$50,000	NA	\$50,000	PER ACT OR OMISSION	1990 NO	NO	SEE NOTE (1)
	---FOR ANY OTHER PERSON/FRAUD, RECKLESS DISREGARD OF REG. REQ.	NA	\$250,000	NA	\$250,000	PER ACT OR OMISSION	1990 NO	NO	SEE NOTE (1)
	---FOR NATURAL PERSON/SUBSTANTIAL LOSSES TO OTHERS/GAINS TO SELF	NA	\$100,000	NA	\$100,000	PER ACT OR OMISSION	1990 NO	NO	SEE NOTE (1)
	---FOR ANY OTHER PERSON/SUBSTANTIAL LOSSES TO OTHERS/GAINS TO SELF	NA	\$500,000	NA	\$500,000	PER ACT OR OMISSION	1990 NO	NO	SEE NOTE (1)
15 USC 90b-9(c)	INVESTMENT ADVISERS ACT OF 1940/VIOLATIONS (IMPOSED BY COURT) ---FOR NATURAL PERSON	NA	\$5,000	NA	\$5,000	PER VIOLATION, OR GAIN TO DEFENDANT, WHICHEVER GREATER	1990 NO	NO	SEE NOTE (1)
	---FOR ANY OTHER PERSON	NA	\$50,000	NA	\$50,000	PER VIOLATION, OR GAIN TO DEFENDANT, WHICHEVER GREATER	1990 NO	NO	SEE NOTE (1)
	---FOR NATURAL PERSON/FRAUD, RECKLESS DISREGARD OF REG. REQ.	NA	\$50,000	NA	\$50,000	PER VIOLATION, OR GAIN TO DEFENDANT, WHICHEVER GREATER	1990 NO	NO	SEE NOTE (1)
	---FOR ANY OTHER PERSON/FRAUD, RECKLESS DISREGARD OF REG. REQ.	NA	\$250,000	NA	\$250,000	PER VIOLATION, OR GAIN TO DEFENDANT, WHICHEVER GREATER	1990 NO	NO	SEE NOTE (1)
	---FOR NATURAL PERSON/SUBSTANTIAL LOSSES OR RISK OF LOSSES TO OTHERS	NA	\$100,000	NA	\$100,000	PER VIOLATION, OR GAIN TO DEFENDANT, WHICHEVER GREATER	1990 NO	NO	SEE NOTE (1)
	---FOR ANY OTHER PERSON/SUBSTANTIAL LOSSES OR RISK OF LOSSES TO OTHERS	NA	\$500,000	NA	\$500,000	PER VIOLATION, OR GAIN TO DEFENDANT, WHICHEVER GREATER	1990 NO	NO	SEE NOTE (1)
	SMALL BUSINESS ADMINISTRATION								
31 USC 3728(a)	FALSE CLAIMS	\$5,000	\$10,000	NA	\$10,000	PER CLAIM PLUS 3X DAMAGES TO GOV'T.	1965 NO	NO	SEE NOTE (1)
31 USC 3802(a)(1)	PROGRAM FRAUD CIVIL REMEDIES ACT/VIOLATION INVOLVING FALSE CLAIM	NA	\$5,000	NA	\$5,000	PER CLAIM	1985 NO	NO	SEE NOTE (1)
31 USC 3802(a)(2)	PROGRAM FRAUD CIVIL REMEDIES ACT/VIOLATION INVOLVING FALSE STATEMENT	NA	\$5,000	NA	\$5,000	PER STATEMENT	1985 NO	NO	SEE NOTE (1)
	U.S. STATE DEPARTMENT								
	OFFICE OF DEFENSE TRADE CONTROLS								
22 USC 2778(a)	VIOLATIONS INVOLVING CONTROLS ON DEFENSE ARTICLES & SVCS	NA	\$500,000	NA	\$500,000	PER VIOLATION	1985 YES	YES	SEE NOTE (1)
22 USC 2780(a)&(b)	VIOLATIONS INVOLVING CONTROLS ON DEFENSE ARTICLES & SVCS	NA	\$500,000	NA	\$500,000	PER VIOLATION	1985 NO	NO	SEE NOTE (1)
	TENNESSEE VALLEY AUTHORITY								
31 USC 1352(g)	PROHIBITED EXPENDITURE/FAILURE TO FILE OR AMEND REQ. DECLARATION	\$10,000	\$100,000	NA	\$100,000	PER EXPENDITURE, OR PER FAILURE	1989 NO	NO	SEE NOTE (1)
	U.S. DEPT. OF TRANSPORTATION								
	U.S. COAST GUARD								
33 USC 471	ANCHORAGE GROUND/HARBOR REGS: GENERAL	NA	\$100	NA	\$100	PER VIOLATION	1983 NO	NO	SEE NOTE (2)
33 USC 474	ANCHORAGE GROUND/HARBOR REGS: ST. MARY'S RIVER	NA	\$200	NA	\$200	PER VIOLATION	1985 YES	YES	SEE NOTE (2)
33 USC 495	BRIDGES/ FAILURE TO COMPLY WITH REGS.	NA	\$1,000	NA	\$1,000	PER DAY	1983 NO	NO	SEE NOTE (2)
33 USC 499	BRIDGES/ DRAWBRIDGES	NA	\$1,000	NA	\$1,000	PER VIOLATION	1985 NO	NO	SEE NOTE (1)

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U.S. CODE CITATION	CIVIL MONETARY PENALTY DESCRIPTION	MINIMUM PENALTY AMOUNT		MAXIMUM PENALTY AMOUNT	HOW PENALTY APPLIED	YEAR PENALTY AMOUNT WAS LAST SET BY LAW	LAW MODIFICATION APPROPRIATE	TEXT OF LAW MODIFICATION PROVIDED
		SET BY LAW AS OF 3/1/81	SET BY LAW AS OF 3/1/81					
33 USC 502	BRIDGES/ FAILURE TO ALTER BRDG OBSTRUCTING NAV	NA	NA	\$1,000	PER DAY	1962	NO	SEE NOTE (2)
33 USC 533	BRIDGES/ MAINTENANCE AND OPERATION	NA	NA	\$1,000	PER DAY	1963	NO	SEE NOTE (2)
33 USC 1208(a)	BRIDGE-TO-BRIDGE COMMUNICATION	NA	NA	\$500	PER VIOLATION	1971	YES	YES
33 USC 1208(b)	BRIDGE-TO-BRIDGE COMMUNICATION	NA	NA	\$500	PER VIOLATION	1971	YES	YES
33 USC 1232	PWSA REGULATIONS	NA	NA	\$25,000	PER DAY	1960	NO	SEE NOTE (1)
33 USC 1236(b)	VESSEL NAVIGATION: REGATTAS OR MARINE PARADES	NA	NA	\$5,000	PER VIOLATION	1960	NO	SEE NOTE (1)
33 USC 1236(c)	VESSEL NAVIGATION: REGATTAS OR MARINE PARADES	NA	NA	\$5,000	PER VIOLATION	1960	NO	SEE NOTE (1)
33 USC 1236(d)	VESSEL NAVIGATION: REGATTAS OR MARINE PARADES	NA	NA	\$2,500	PER VIOLATION	1960	NO	SEE NOTE (1)
33 USC 1321(b)	OIL/HAZARDOUS SUBSTANCES: DISCHARGES	NA	NA	\$25,000	PER VIOLATION	1960	NO	SEE NOTE (1)
33 USC 1321(f)	OIL/HAZARDOUS SUBSTANCES: PREVENTION REGS.	NA	NA	\$25,000	PER VIOLATION	1960	NO	SEE NOTE (1)
33 USC 1322(j)	MARINE SANITATION DEVICES	NA	NA	\$2,000	PER VIOLATION	1967	NO	SEE NOTE (1)
33 USC 1322(l)	MARINE SANITATION DEVICES	NA	NA	\$5,000	PER VIOLATION	1967	NO	SEE NOTE (1)
33 USC 1517(a)	DEEPWATER PORTS REGULATIONS	NA	NA	\$10,000	PER DAY	1969	NO	SEE NOTE (1)
46 USC 2302(a)(1)	NEGLECT OPERATIONS	NA	NA	\$1,000	PER VIOLATION	1960	NO	SEE NOTE (1)
46 USC 2302(a)(2)	NEGLECT OPERATIONS	NA	NA	\$1,000	PER VIOLATION	1960	NO	SEE NOTE (1)
46 USC 2302(b)(2)	VESSEL REPORTING REQUIREMENTS: OWNER	NA	NA	\$5,000	PER VIOLATION	1984	YES	SEE NOTE (2)
46 USC 3102(a)(1)	VESSEL REPORTING REQUIREMENTS: MASTER	NA	NA	\$1,000	PER VIOLATION	1988	NO	SEE NOTE (1)
46 USC 3102(a)(2)	IMMERSION SUITS	NA	NA	\$5,000	PER VIOLATION	1988	NO	SEE NOTE (1)
46 USC 3102(b)	INSPECTION PERMIT	NA	NA	\$1,000	PER VIOLATION	1986	NO	SEE NOTE (1)
46 USC 3116(a)	VESSEL INSPECTION	NA	NA	\$5,000	PER VIOLATION	1990	NO	SEE NOTE (1)
46 USC 3116(b)	VESSEL INSPECTION	NA	NA	\$5,000	PER VIOLATION	1990	NO	SEE NOTE (1)
46 USC 3116(c)	VESSEL INSPECTION	NA	NA	\$5,000	PER VIOLATION	1990	NO	SEE NOTE (1)
46 USC 3116(d)	VESSEL INSPECTION	NA	NA	\$1,000	PER VIOLATION	1990	NO	SEE NOTE (1)
46 USC 3116(e)	VESSEL INSPECTION	NA	NA	\$1,000	PER VIOLATION	1990	NO	SEE NOTE (1)
46 USC 3116(f)	VESSEL INSPECTION	NA	NA	\$10,000	PER DAY	1990	NO	SEE NOTE (1)
46 USC 3502(a)	LIST/COUNT OF PASSENGERS	NA	NA	\$2,000	PER DAY	1990	NO	SEE NOTE (1)
46 USC 3504(a)	NOTIFICATION TO PASSENGERS	NA	NA	\$10,000	PER VIOLATION	1990	NO	SEE NOTE (1)
46 USC 3504(b)	NOTIFICATION TO PASSENGERS	NA	NA	\$5,000	PER VIOLATION	1990	NO	SEE NOTE (1)
46 USC 3506	COPIES OF LAWS ON PASSENGER VESSELS	NA	NA	\$100	PER VIOLATION	1983	NO	SEE NOTE (2)
46 USC 3718(a)(1)	DANGEROUS CARGO CARRIAGE	NA	NA	\$500	PER TICKET	1983	YES	YES
46 USC 4108	UNINSPECTED VESSELS	NA	NA	\$200	PER VIOLATION	1983	YES	YES
46 USC 4311(b)	RECREATIONAL VESSELS	NA	NA	\$25,000	PER DAY	1988	NO	SEE NOTE (1)
46 USC 4311(c)	RECREATIONAL VESSELS	NA	NA	\$100,000	PER VIOLATION	1988	NO	SEE NOTE (1)
46 USC 4311(d)	RECREATIONAL VESSELS	NA	NA	\$2,000	PER VIOLATION	1984	YES	YES
46 USC 4507	VESSEL INSPECTION	NA	NA	\$1,000	PER VIOLATION	1984	NO	SEE NOTE (2)
46 USC 5116(a)	LOAD LINES	NA	NA	\$5,000	PER VIOLATION	1988	NO	SEE NOTE (1)
46 USC 5116(b)	LOAD LINES	NA	NA	\$10,000	PER VIOLATION	1988	NO	SEE NOTE (1)
46 USC 5116(c)	LOAD LINES	NA	NA	\$5,000	PER VIOLATION	1988	NO	SEE NOTE (1)
46 USC 5103(a)	REPORTING MARINE CASUALTIES	NA	NA	\$1,000	PER VIOLATION	1988	NO	SEE NOTE (1)
46 USC 5103(b)	REPORTING MARINE CASUALTIES	NA	NA	\$5,000	PER VIOLATION	1988	NO	SEE NOTE (1)
46 USC 5101(a)	MANNING OF INSPECTED VESSELS	NA	NA	\$1,000	PER VIOLATION	1990	NO	SEE NOTE (1)
46 USC 5101(b)	MANNING OF INSPECTED VESSELS	NA	NA	\$10,000	PER VIOLATION	1990	NO	SEE NOTE (1)

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EXHIBIT 1—CIVIL MONETARY PENALTY AUTHORITIES IDENTIFIED BY FEDERAL AGENCIES

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								1980
46 USC 8101(g)	MANNING OF INSPECTED VESSELS	NA	\$10,000	PER VIOLATION	1980	NO	SEE NOTE (1)	
46 USC 8102(a)	WATCHMEN ON PASSENGER VESSEL	NA	\$1,000	PER VIOLATION	1984	NO	SEE NOTE (2)	
46 USC 8103(f)	CITIZENSHIP REQUIREMENTS	NA	\$500	PER VIOLATION	1988	NO	SEE NOTE (1)	
46 USC 8104(f)	WATCHES ON VESSELS	NA	\$10,000	PER VIOLATION	1980	NO	SEE NOTE (1)	
46 USC 8104(f)	WATCHES ON VESSELS	NA	\$10,000	PER VIOLATION	1980	NO	SEE NOTE (1)	
46 USC 8302(e)	STAFF DEPARTMENT ON VESSELS	NA	\$100	PER VIOLATION	1985	NO	SEE NOTE (2)	
46 USC 8304(d)	OFFICERS' COMPETENCY CERTIFICATES	NA	\$100	PER VIOLATION	1983	NO	SEE NOTE (2)	
46 USC 8502(e)	COASTWISE PILOTAGE	NA	\$10,000	PER VIOLATION	1980	NO	SEE NOTE (1)	
46 USC 8502(f)	COASTWISE PILOTAGE	NA	\$10,000	PER VIOLATION	1980	NO	SEE NOTE (1)	
46 USC 8503	FEDERAL PILOTS	NA	\$25,000	PER DAY	1980	NO	SEE NOTE (1)	
46 USC 8701(c)	MERCHANT MARINERS DOCUMENTS	NA	\$500	PER VIOLATION	1986	NO	SEE NOTE (1)	
46 USC 8702(e)	CREW REQUIREMENTS	NA	\$10,000	PER VIOLATION	1980	NO	SEE NOTE (1)	
46 USC 8806	SMALL VESSEL MANNING	NA	\$1,000	PER VIOLATION	1983	NO	SEE NOTE (2)	
46 USC 9301(a)	PILOTAGE: GREAT LAKES	NA	\$10,000	PER DAY	1980	NO	SEE NOTE (1)	
46 USC 9301(b)	PILOTAGE: GREAT LAKES	NA	\$10,000	PER DAY	1980	NO	SEE NOTE (1)	
46 USC 9301(c)	PILOTAGE: GREAT LAKES	NA	\$10,000	PER DAY	1980	NO	SEE NOTE (1)	
46 USC 10104(b)	FAILURE TO REPORT SEXUAL OFFENSE	NA	\$5,000	PER VIOLATION	1989	NO	SEE NOTE (1)	
46 USC 10307	POSTING TO AGREEMENTS	NA	\$100	PER VIOLATION	1983	NO	SEE NOTE (2)	
46 USC 10308(b)	FOREIGN ENGAGEMENTS BY SEAMEN	NA	\$100	PER VIOLATION	1983	NO	SEE NOTE (2)	
46 USC 10309(b)	REPLACEMENT OF LOST/DESERTED SEAMEN	NA	\$200	PER REPORT	1983	YES	YES	
46 USC 10310	DISCHARGE OF SEAMEN	NA	\$60	PER VIOLATION	1983	YES	YES	
46 USC 10312(c)	FOREIGN/INTERCOASTAL VOYAGES	NA	\$100	PER VIOLATION	1983	NO	SEE NOTE (2)	
46 USC 10314(a)(2)	PAY ADVANCES TO SEAMEN	NA	\$500	PER VIOLATION	1983	YES	YES	
46 USC 10314(b)	PAY ADVANCES TO SEAMEN	NA	\$500	PER VIOLATION	1983	YES	YES	
46 USC 10315(c)	ALLOTMENTS TO SEAMEN	NA	\$500	PER VIOLATION	1983	YES	YES	
46 USC 10321	SEAMEN PROTECTION GENERAL ADVANCES	NA	\$200	PER VIOLATION	1983	YES	YES	
46 USC 10505(b)	SEAMEN PROTECTION GENERAL EFFECTS OF DECEASED SEAMEN	NA	\$20	PER VIOLATION	1983	YES	YES	
46 USC 10508(b)	COMPLAINTS OF UNFITNESS	NA	\$200	PER VIOLATION	1983	YES	YES	
46 USC 10711	COMPLAINTS OF UNFITNESS	NA	\$500	PER VIOLATION	1983	YES	YES	
46 USC 10802(a)(2)	PROCEEDINGS ON EXAMINATION OF VESSEL	NA	\$100	PER VIOLATION	1983	NO	SEE NOTE (2)	
46 USC 10803(d)	PERMISSION TO MAKE COMPLAINT	NA	\$500	PER VIOLATION	1983	YES	YES	
46 USC 10807(b)	ACCOMMODATIONS FOR SEAMEN	\$50	\$500	PER VIOLATION	1985	YES	YES	
46 USC 11191(f)	MEDICINE CHESTS ON VESSELS	NA	\$500	PER VIOLATION	1983	YES	YES	
46 USC 11192(b)	DESTITUTE SEAMEN	NA	\$100	PER VIOLATION	1983	NO	SEE NOTE (2)	
46 USC 11194(f)	WAGES ON DISCHARGE	NA	\$500	PER VIOLATION	1983	YES	YES	
46 USC 11195(g)	MERCHANT SEAMEN PROTECTION AND RELIEF	NA	\$200	PER VIOLATION	1983	YES	YES	
46 USC 11303(a)	MERCHANT SEAMEN PROTECTION AND RELIEF	NA	\$200	PER VIOLATION	1983	YES	YES	
46 USC 11303(b)	MERCHANT SEAMEN PROTECTION AND RELIEF	NA	\$150	PER VIOLATION	1983	YES	YES	
46 USC 11303(c)	MERCHANT SEAMEN PROTECTION AND RELIEF	NA	\$200	PER VIOLATION	1983	YES	YES	
46 USC 11303(d)	LOG BOOKS	NA	\$200	PER VIOLATION	1983	YES	YES	
46 USC 11303(e)	LOG BOOKS	NA	\$150	PER VIOLATION	1983	YES	YES	
46 USC 11303(f)	LOG BOOKS	NA	\$150	PER VIOLATION	1983	YES	YES	
46 USC 11506	CARRYING SHEATH KNIVES	NA	\$50	PER VIOLATION	1983	YES	YES	
46 USC 12122(a)	IDENTIFICATION OF VESSELS	NA	\$500	PER DAY	1986	NO	SEE NOTE (1)	

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46 USC 12309(b)	NUMBERING OF UNDOCUMENTED VESSELS	NA	\$1,000	PER VIOLATION	1983	NO	SEE NOTE (2)	
46 USC 12307(b)	VESSEL IDENTIFICATION SYSTEM	NA	\$10,000	PER VIOLATION	1988	NO	SEE NOTE (1)	
46 USC 14701	MEASUREMENT OF VESSELS	NA	\$20,000	PER DAY	1988	NO	SEE NOTE (1)	
46 USC 14701	REGISTRY/RECORDING: TONNAGE	NA	\$20,000	PER VIOLATION	1988	NO	SEE NOTE (1)	
46 USC 14702	MEASUREMENTS/FALSE STATEMENTS	NA	\$20,000	PER STATEMENT	1988	NO	SEE NOTE (1)	
49 USC 1009(a)(1)	HAZARDOUS MATERIALS - RELATING TO VESSELS	NA	\$10,000	PER DAY	1975	YES	YES	
U.S. DEPT. OF TRANSPORTATION								
FEDERAL AVIATION ADMINISTRATION								
49 APP. USC 1471(a)	VIOLATIONS/FEDERAL AVIATION ACT OF 1958	NA	\$1,000	PER VIOLATION	1986	YES	YES	
49 APP. USC 1471(a)	IMPROPER AIRCRAFT OPERATION FOR HIRE	NA	\$10,000	PER VIOLATION	1987	NO	SEE NOTE (1)	
49 APP. USC 1602(a)	VIOLATION OF HAZARDOUS MAT. REGS. OR TRANSPORT	\$250	\$25,000	PER VIOLATION PER DAY	1980	NO	SEE NOTE (1)	
U.S. DEPT. OF TRANSPORTATION								
FEDERAL HIGHWAY ADMINISTRATION								
49 USC APP. 521(b)(2)(A)	FEDERAL MOTOR CARRIER SAFETY REGULATIONS ACT --VIOLATIONS	NA	\$500	PER VIOLATION NTE	1984	YES	YES	
	--VIOLATIONS/SERIOUS PATTERN OF ABUSE	NA	\$2,500		1984	YES	YES	
	--SERIOUS HEALTH OR SAFETY VIOLATION	NA	\$10,000	PER VIOLATION	1984	YES	YES	
	--EMPLOYEE WINEGRIENT ACTIONS	NA	\$1,000	PER VIOLATION	1984	NO	SEE NOTE (2)	
49 USC APP. 621(b)(2)(B)	VIOLATIONS/COMMERCIAL DRIVERS LICENSE STANDARDS	NA	\$2,500	PER VIOLATION	1986	NO	SEE NOTE (1)	
49 USC APP. 1002(a)	VIOLATION OF HAZARDOUS MAT. REGS. OR TRANSPORT	\$250	\$25,000	PER VIOLATION PER DAY	1980	NO	SEE NOTE (1)	
49 USC APP. 10827	MOTOR CARRIER FAILURE TO MAINTAIN FIN. RESPONSIBILITY	NA	\$10,000	PER VIOLATION PER DAY	1981	YES	YES	
U.S. DEPT. OF TRANSPORTATION								
FEDERAL RAILROAD ADMINISTRATION								
45 USC 13	RAILROAD SAFETY VIOLATIONS	\$250	\$10,000	PER VIOLATION	1988	NO	SEE NOTE (1)	
	--VIOLATIONS WITH WILLFUL INTENT	NA	\$20,000	PER VIOLATION	1988	NO	SEE NOTE (1)	
45 USC 94	VIOLATIONS INVOLVING UNSAFE OPERATION, INSPECTIONS, REQ. REPORTS	\$250	\$10,000	PER VIOLATION	1988	NO	SEE NOTE (1)	
	--VIOLATIONS WITH WILLFUL INTENT	NA	\$20,000	PER VIOLATION	1988	NO	SEE NOTE (1)	
45 USC 43	REPORTING VIOLATIONS	\$250	\$10,000	PER VIOLATION	1988	NO	SEE NOTE (1)	
	--VIOLATIONS WITH WILLFUL INTENT	NA	\$20,000	PER VIOLATION	1988	NO	SEE NOTE (1)	
45 USC 64a	VIOLATIONS INVOLVING WORK HOURS	NA	\$1,000	PER VIOLATION	1988	NO	SEE NOTE (1)	
45 USC 438	VIOLATIONS INVOLVING SAFETY RULES	\$250	\$10,000	PER VIOLATION	1988	NO	SEE NOTE (1)	
	--VIOLATIONS WITH WILLFUL INTENT	NA	\$20,000	PER VIOLATION	1988	NO	SEE NOTE (1)	
49 APP. USC 26	VIOLATION INVOLVING SAFETY APPLIANCES, METHODS, SYSTEMS	\$250	\$10,000	PER VIOLATION	1988	NO	SEE NOTE (1)	
	--VIOLATIONS WITH WILLFUL INTENT	NA	\$20,000	PER VIOLATION	1988	NO	SEE NOTE (1)	

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U.S. DEPT. OF TRANSPORTATION						
MARITIME ADMINISTRATION						
46 APP. USC 1122a	FAILURE TO REPORT POSITION	NA	\$50	PER DAY	1966	YES
46 USC 31309	IMPROPER VESSEL TRANSFER	NA	\$10,000	PER VIOLATION	1960	NO
U.S. DEPT. OF TRANSPORTATION						
NATIONAL HIGHWAY TRAFFIC SAFETY ADMINISTRATION						
15 USC 1398(a)	VIOLATIONS OF MOTOR VEHICLE SAFETY ACT	NA	\$1,000	PER VIOLATION	1968	YES
	—RELATED SERIES OF VIOLATIONS	NA	\$800,000	PER RELATED SERIES OF VIOLATIONS	1974	YES
15 USC 1917(a)	NONCOMPLIANCE/BUMPER STANDARDS	NA	\$1,000	PER VIOLATION	1972	YES
	—RELATED SERIES OF VIOLATIONS	NA	\$900,000	PER RELATED SERIES OF VIOLATIONS	1972	YES
15 USC 1948(a)	FAILURE TO PROVIDE CONSUMER INFORMATION	NA	\$1,000	PER VIOLATION	1972	YES
	—RELATED SERIES OF VIOLATIONS	NA	\$400,000	PER RELATED SERIES OF VIOLATIONS	1972	YES
15 USC 1960b(a)	ODOMETER VIOLATIONS	NA	\$2,000	PER VIOLATION	1986	NO
	—RELATED SERIES OF VIOLATIONS	NA	\$100,000	PER RELATED SERIES OF VIOLATIONS	1978	YES
15 USC 2009(a)(1)	VIOLATIONS/AVG. FUEL ECONOMY STANDARDS	NA	\$5	PER 10TH MI OVER	1975	YES
15 USC 2029(a)(1)	VIOLATIONS/VEHICLE THEFT PREVENTION STAND.	NA	\$1,000	PER VIOLATION	1984	NO
15 USC 2029(a)(4)	MULTIPLE VIOLATIONS/VEHICLE THEFT PREVENTION STAND.	NA	\$250,000	PER SERIES OF RELATED VIOLATIONS	1984	YES
U.S. DEPT. OF TRANSPORTATION						
OFFICE OF THE SECRETARY						
49 USC APP. 1471(a)(1)	VIOLATIONS/TITLE 4 OF FED. AVIATION ACT	NA	\$1,000	PER VIOLATION	1962	YES
U.S. DEPT. OF TRANSPORTATION						
RESEARCH AND SPECIAL PROJECTS ADMINISTRATION						
49 USC 1679	NATURAL GAS PIPELINE SAFETY	NA	\$10,000	PER VIOLATION PER DAY	1988	NO
49 USC 1609	HAZARDOUS MATERIALS TRANSPORTATION SAFETY	\$250	\$25,000	PER VIOLATION PER DAY	1960	NO
49 USC 2007	HAZARDOUS LIQUID PIPELINE SAFETY	NA	\$10,000	PER VIOLATION PER DAY	1988	NO
U.S. DEPT. OF TRANSPORTATION						
ST. LAWRENCE SEAWAY DEVELOPMENT CORP.						
33 USC 1232	SEAWAY REGULATIONS	NA	\$25,000	PER DAY	1960	NO
U.S. DEPT. OF THE TREASURY						
BUREAU OF ALCOHOL TOBACCO AND FIREARMS						
28 USC 5194(a)(2)	NON-COMPLIANCE-SUBCHAPT. F-DRAWBACK REQS.	NA	\$1,000	PER VIOLATION	1984	NO
28 USC 5761(a)	NON-COMPLIANCE- CHAPTER 82 - TOBACCO	NA	\$1,000	PER VIOLATION	1939	YES

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									1964	1965	1966	1967	1968	1969	1970	1971	1972	1973	1974	1975	1976	1977	1978	1979	1980	1981	1982	1983	1984	1985	1986	1987	1988	1989	1990
26 USC 7268	POSSESSION OF GOODS WITH FRAUDULENT INTENT TO EVADE TAX	NA	\$500	PER POSSESSION, OR 200% OF TAXES ATTEMPTED TO BE EVADED, WHICHEVER IS GREATER	1964	YES	1964	YES																											
26 USC 7271	STAMP PENALTIES	NA	\$50	PER OFFENSE	1977	YES	1977	YES																											
26 USC 7304	FRAUDULENTLY CLAIMING A DRAWDOWN	NA	\$500	PER CLAIM, OR 300% OF AMOUNT CLAIM	1977	YES	1977	YES																											
26 USC 7342	REFUSAL TO PERMIT GOVT EMPLOYEE TO ENTER OR EXAMINE	NA	500	PER REFUSAL	1964	YES	1964	YES																											
27 USC 210	NON-COMPLIANCE-ALCOHOLIC BEV. LABELING	NA	\$10,000	PER VIOLATION PER DAY	1985	NO	1985	NO																											
U.S. DEPT. OF THE TREASURY																																			
U.S. CUSTOMS SERVICE																																			
19 USC 222	FAILURE TO COMPLY WITH PROVISIONS OR REQUIREMENTS	NA	\$20	PER FAILURE OR NEGLLECT	1970	YES	1970	YES																											
19 USC 469	DEALING IN OR USING EMPTY STAMPED IMPORTED LIQUOR CONTAINERS	NA	\$200	PER CASK OR PACKAGE	1980	YES	1980	YES																											
19 USC 4396(b)	FORGED, ALTERED, OR INCOMPLETE MANIFEST OR DOCUMENT	NA	\$5,000	PER 1ST VIOLATION	1985	NO	1985	NO																											
	FORGED, ALTERED, OR INCOMPLETE MANIFEST OR DOCUMENT	NA	\$10,000	PER SUBSEQUENT VIOLATION	1986	NO	1986	NO																											
19 USC 1439	FAILURE TO DELIVER OR CORRECT MANIFEST	NA	\$500	PER VIOLATION	1930	YES	1930	YES																											
19 USC 1440	FAILURE TO CORRECT MANIFEST	NA	\$500	PER VIOLATION	1930	YES	1930	YES																											
19 USC 1445	FAILURE TO HAVE PERMIT TO VISIT ANOTHER PORT/DISTRICT	NA	\$500	PER VIOLATION	1930	YES	1930	YES																											
19 USC 1454	UNLOADING PASSENGERS WITHOUT PERMIT	NA	\$1,000	PER 1ST PASSENGER	1985	NO	1985	NO																											
	UNLOADING PASSENGERS WITHOUT PERMIT	NA	\$500	PER SUBSEQUENT PASSENGER	1986	NO	1986	NO																											
19 USC 1455	OBSTRUCTION OR HINDERANCE OF CUSTOMS OFFICER	NA	\$500	PER VIOLATION	1930	YES	1930	YES																											
19 USC 1459	FAILURE OF INDIVIDUALS TO REPORT ARRIVAL TO CUSTOMS	NA	\$5,000	PER 1ST VIOLATION	1985	NO	1985	NO																											
	FAILURE OF INDIVIDUALS TO REPORT ARRIVAL TO CUSTOMS	NA	\$10,000	PER SUBSEQUENT VIOLATION	1986	NO	1986	NO																											
19 USC 1508	FAILURE TO RETAIN RECORDS OF EXPORTS TO CANADA	NA	\$10,000	PER VIOLATION	1985	NO	1985	NO																											
19 USC 1511(c)	PRESENTATION BY VESSEL MASTER OF FORGED, ALTERED, FALSE DOCUMENT	\$500	\$5,000	PER VIOLATION	1930	YES	1930	YES																											
19 USC 1511(d)	FAILURE OF A VESSEL OR VEHICLE TO STOP WHEN DIRECTED	\$1,000	\$5,000	PER VIOLATION	1930	YES	1930	YES																											
19 USC 1594	FALSE OR NO MANIFEST	\$1,000	\$10,000	PER VIOLATION	1930	YES	1930	YES																											
19 USC 1595	DEPARTURE OF VESSEL WHO MAKING RPT OR ENTRY	NA	\$5,000	PER VIOLATION	1985	NO	1985	NO																											
	DEPARTURE OF VESSEL WHO MAKING RPT OR ENTRY	NA	\$5,000	PER 1ST VIOLATION	1986	NO	1986	NO																											
19 USC 1595	UNLOADING BEFORE VESSEL COMES TO PROPER PLACE	NA	\$10,000	PER SUBSEQUENT VIOLATION	1985	NO	1985	NO																											
	UNLOADING BEFORE VESSEL COMES TO PROPER PLACE	NA	\$10,000	WHICHEVER IS GREATER	1986	NO	1986	NO																											
19 USC 1596(a)	TRANSHIPMENT OF PROHIBITED MERCHANDISE FOR PURPOSES OF ILLEGAL ENTRY	NA	\$10,000	OR 2X THE MERCH. VALUE, WHICHEVER IS GREATER	1985	NO	1985	NO																											
19 USC 1596(b)	TRANSHIPMENT OF PROHIBITED MERCHANDISE TO U.S. VESSEL	NA	\$10,000	OR 2X THE MERCH. VALUE, WHICHEVER IS GREATER	1985	NO	1985	NO																											
19 USC 1596(c)	MASTER RECEIVES UNLAWFULLY TRANSSHIPPED MERCHANDISE	NA	\$10,000	OR 2X THE MERCH. VALUE, WHICHEVER IS GREATER	1985	NO	1985	NO																											
19 USC 1597(a)	REFUSAL BY VESSEL MASTER TO COMPLY WITH DIRECTIONS OF CUSTOMS OFFICER OR ANS	\$500	\$5,000	PER VIOLATION	1935	YES	1935	YES																											
19 USC 1598	USE OF AIRCRAFT TO INTRODUCE MERCHANDISE	NA	\$10,000	OR 2X THE MERCH. VALUE, WHICHEVER IS GREATER	1985	NO	1985	NO																											
19 USC 1599	CUSTOMS OFFICER OWNERSHIP OR INTEREST IN VESSEL, CARGO, OR IMPORT MERCHANDISE	NA	\$500	PER VIOLATION	1974	YES	1974	YES																											
19 USC 1627-4(a)(1)	IMPORT/EXPORT VEHICLE	NA	\$10,000	PER VIOLATION	1984	YES	1984	YES																											
19 USC 1627-4(b)	VIOLATION OF REGULATIONS	NA	\$500	PER VIOLATION	1984	YES	1984	YES																											
19 USC 1641(b)(6)	CONDUCTING CUSTOMS BUSINESS W/O A LICENSE	NA	\$10,000	PER TRANSACTION	1985	NO	1985	NO																											

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EXHIBIT 1--CIVIL MONETARY PENALTY AUTHORITIES IDENTIFIED BY FEDERAL AGENCIES

U.S. CODE CITATION	CIVIL MONETARY PENALTY DESCRIPTION	MINIMUM PENALTY AMOUNT SET BY LAW AS OF 3/1/81	MAXIMUM PENALTY AMOUNT SET BY LAW AS OF 3/1/81	HOW PENALTY IS APPLIED	YEAR PENALTY AMOUNT WAS SET BY LAW	LAW MODIFICATION APPROPRIATE	TEXT OF LAW MODIFICATION PROVIDED
19 USC 1641(a)(2)(A)	CUSTOMS BROKER PENALTIES	NA	\$30,000	PER VIOLATION	1986 NO	SEE NOTE (1)	
19 USC 1709(a)	LADING VESSEL IN FOREIGN PORT WITH LIQUOR FOR IMPORTATION	NA	\$1,000	PER VIOLATION, OR VALUE OF OF MERCHANDISE, WHICHEVER IS GREATER	1986 YES	YES	
46 USC 91	VESSEL/AIRCRAFT DEPARTURE	\$1,000	\$5,000	PER VIOLATION	1986 YES	YES	
46 USC 91	VESSEL/AIRCRAFT DEPARTURE	\$500	\$1,000	PER VIOLATION	1986 YES	YES	
46 USC 91	VESSEL/AIRCRAFT DEPARTURE	NA	\$1,000	PER VIOLATION	1986 YES	YES	
46 USC 91	VESSEL/AIRCRAFT DEPARTURE	NA	\$50	PER VIOLATION	1986 YES	YES	
46 USC 91	VESSEL/AIRCRAFT DEPARTURE	NA	\$100	PER VIOLATION	1986 YES	YES	
46 USC 239	COASTWISE TRANSPORT PASSENGER	NA	\$200	PER VIOLATION	1986 YES	YES	
49 USC APP. 1474	VIOLATION BY AIRCRAFT OPERATORS OF ANY REGS IN PART 122	NA	\$5,000	PER VIOLATION	1986 NO	SEE NOTE (1)	
U.S. DEPT. OF THE TREASURY							
DEPARTMENTAL OFFICES/OFFICE OF ENFORCEMENT							
12 USC 1629b & 1961-1959, & 31 USC 5311, 5326, 5321	NEGLECTANT RECORDKEEPING AND REPORTING	NA	\$500	PER VIOLATION	1986 NO	SEE NOTE (1)	
12 USC 1629b, 1961-1959 & 31 USC 5311, 5326, 5321	FAILURE TO MAINTAIN FINANCIAL RECORDS	NA	\$10,000	PER VIOLATION	1986 NO	SEE NOTE (1)	
31 USC 5313 & 5321	FAILURE TO FILE CURRENCY TRANSACTION REPORT	\$25,000	\$100,000	PER VIOLATION	1986 NO	SEE NOTE (1)	
31 USC 5314 & 5321	FAIL TO MAINTAIN FOREIGN ACCOUNT RECORDS	\$25,000	\$100,000	PER VIOLATION	1986 NO	SEE NOTE (1)	
31 USC 5314 & 5321	FAILURE TO FILE FOREIGN BANK ACCOUNT REP	\$25,000	\$100,000	PER VIOLATION	1986 NO	SEE NOTE (1)	
U.S. DEPT. OF THE TREASURY							
INTERNAL REVENUE SERVICE							
26 USC 6652(a)	FAILURE TO FILE INFO RETURN ON LESS THAN \$10	\$1	\$1,000	MIN PER STMT; MAX PER YEAR	1986 NO	SEE NOTE (1)	
26 USC 6652(a)(1)(A)	FAILURE TO FILE RETURN BY EXEMPT ORGANIZATION	\$10	\$5,000	MIN PER DAY; MAX PER RTN, OR 5% OF RECEIPTS	1987 NO	SEE NOTE (1)	
26 USC 6652(a)(1)(B)	FAILURE TO FILE RETURN BY MGR OF EXEMPT ORGAN	\$10	\$6,000	MIN PER DAY; MAX PER RTN	1987 NO	SEE NOTE (1)	
26 USC 6652(a)(1)(C)	FAILURE TO ALLOW PUBLIC INSPECTION OF EXEMPT ORG RTN	\$10	\$5,000	MIN PER DAY; MAX PER RTN	1987 NO	SEE NOTE (1)	
26 USC 6652(a)(1)(D)	FAILURE TO ALLOW PUBLIC INSPECTION OF APPL FOR EXEMPTION	NA	\$10	PER DAY	1989 YES	YES	
26 USC 6652(a)(2)(A)	FAILURE TO FILE RETURN BY EXEMPT ORG OR TRUST	\$10	\$5,000	MIN PER DAY; MAX PER RTN	1987 NO	SEE NOTE (1)	
26 USC 6652(a)(2)(B)	FAILURE TO FILE RETURN BY MGR OF EXEMPT ORG OR TRUST	\$10	\$5,000	MIN PER DAY; MAX PER RTN	1987 NO	SEE NOTE (1)	
26 USC 6652(a)(1)	FAILURE TO REGISTER EMPLOYEE PLAN	\$1	\$5,000	MIN PER PARTIC PER DAY; MAX PER PLAN YEAR	1986 NO	SEE NOTE (1)	
26 USC 6652(a)(2)	FAILURE TO NOTIFY OF CHANGE IN PLAN STATUS	\$1	\$1,000	MIN PER DAY; MAX PER NOTICE	1986 NO	SEE NOTE (1)	
26 USC 6652(b)	FAILURE TO FILE INFO ON EMPLOYEE PLAN	\$25	\$16,000	MIN PER DAY; MAX PER RTN	1986 NO	SEE NOTE (1)	
26 USC 6652(c)	FAILURE TO FILE RTN OF FOREIGN INVESTMENT IN U.S. REALTY	\$25	\$25,000	MIN PER DAY	1986 NO	SEE NOTE (1)	
26 USC 6652(d)	FAILURE TO FILE INFO ON EMPLOYEE CONTRIBUTIONS	\$25	\$10,000	MAX PER YEAR (\$25,000 OR 5% OF FMV) MIN PER PARTIC PER DAY; MAX PER REP	1986 NO	SEE NOTE (1)	
26 USC 6652(h)	FAILURE TO NOTIFY RECIPIENTS OF PLAN DISTRIBUTIONS	\$10	\$5,000	MIN PER NOTICE; MAX PER YEAR	1986 NO	SEE NOTE (1)	
26 USC 6652(i)	FAILURE TO NOTIFY RECIPIENTS OF ROLLOVER DISTRIBUTIONS	\$10	\$5,000	MIN PER NOTICE; MAX PER YEAR	1986 NO	SEE NOTE (1)	
26 USC 6652(j)	FAILURE TO FILE RESIDENTIAL RENTAL PROPERTY CERTIFICATE	NA	\$100	PER CERTIFICATE	1986 NO	SEE NOTE (1)	

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EXHIBIT 1—CIVIL MONETARY PENALTY AUTHORITIES IDENTIFIED BY FEDERAL AGENCIES

U.S. CODE CITATION	CIVIL MONETARY PENALTY DESCRIPTION	MINIMUM PENALTY AMOUNT SET BY LAW AS OF 3/1/81	MAXIMUM PENALTY AMOUNT SET BY LAW AS OF 3/1/81	HOW PENALTY IS APPLIED	YEAR PENALTY AMOUNT WAS LAST SET BY LAW	LAW MODIFICATION APPROPRIATE	TEXT OF LAW MODIFICATION PROVIDED
26 USC 6652(k)	FAILURE TO FILE MISCELLANEOUS CORP RETURNS	\$500	\$100,000	MIN PER DAY; MAX PER RTN	1980	NO	SEE NOTE (1)
26 USC 6657	PAYMENT OF TAX WITH BAD CHECK (IF CHECK AMOUNT < \$750)	NA	\$15	PER CHECK, OR AMOUNT OF CHECK, WHICHEVER IS LESS	1989	NO	SEE NOTE (1)
26 USC 6673(a)	FRIVOLOUS SUIT BEFORE TAX COURT	NA	\$25,000	PER PROCEEDING	1989	NO	SEE NOTE (1)
26 USC 6673(b)	FRIVOLOUS SUITS BEFORE OTHER COURTS	NA	\$10,000	PER PROCEEDING	1989	NO	SEE NOTE (1)
26 USC 6674	FURNISHING FALSE WAGE WITHHOLDING CERTIFICATE	NA	\$50	PER STATEMENT	1985	YES	YES
26 USC 6675	EXCESSIVE CLAIMS RE USE OF FUELS	NA	\$10	PER CLAIM, OR DOUBLE THE EXCESSIVE AMOUNT	1984	YES	YES
26 USC 6677	FAILURE TO FILE RETURN FOR FOREIGN TRUST	NA	\$1,000	PER RTN	1975	YES	YES
26 USC 6679	FAILURE TO FILE RETURN FOR FOREIGN CORP OR PARTNERSHIP	NA	\$1,000	PER RTN	1982	NO	SEE NOTE (2)
26 USC 6682	FALSE WITHHOLDING CERTIFICATE: BACKUP OR OTHER WITHHOLDING (NON-WAGE)	NA	\$500	PER STATEMENT	1983	YES	YES
26 USC 6685	WILLFUL FAILURE TO ALLOW PUBLIC INSPECTION OF EXEMPT ORG RTN	NA	\$1,000	PER RTN	1986	NO	SEE NOTE (1)
26 USC 6686	FAILURE TO FILE RETURN BY DISC OR FSC	\$100	\$25,000	PER YEAR	1984	YES	YES
26 USC 6688	FAILURE TO FURNISH INFO RE U.S. POSSESSIONS	NA	\$1,000	PER RETURN	1984	NO	SEE NOTE (2)
26 USC 6689	FRAUDULENT STATEMENT TO EMPLOYEE PLAN PARTICIPANT	NA	\$100	PER STATEMENT	1972	YES	YES
26 USC 6692	FAILURE TO FILE ACTUARIAL REPORT	NA	\$50	PER REPORT	1974	YES	YES
26 USC 6693(a)	FAILURE TO FILE IRA REPORT	NA	\$50	PER REPORT	1985	NO	SEE NOTE (1)
26 USC 6693(b)(1)	OVERSTATED NON-DEDUCTIBLE IRA CONTRIBUTIONS	NA	\$100	PER OVERSTATEMENT	1985	NO	SEE NOTE (1)
26 USC 6693(b)(2)	FAILURE TO FILE FORMS FOR IRA	NA	\$50	PER FORM	1986	NO	SEE NOTE (1)
26 USC 6694(a)	PREPARER UNDERSTATEMENT DUE TO UNREALISTIC POSITION	NA	\$250	PER RTN	1989	NO	SEE NOTE (1)
26 USC 6694(b)	PREPARER WILLFUL UNDERSTATEMENT	NA	\$1,000	PER RTN	1989	NO	SEE NOTE (1)
26 USC 6695(a)	PREPARER FAILURE TO FURNISH COPY OF RTN TO TAXPAYER	\$50	\$25,000	MIN PER RTN; MAX PER YEAR	1989	NO	SEE NOTE (1)
26 USC 6695(b)	PREPARER FAILURE TO SIGN RETURN	\$50	\$25,000	MIN PER RTN; MAX PER YEAR	1989	NO	SEE NOTE (1)
26 USC 6695(c)	PREPARER FAILURE TO FURNISH HIS ID NUMBER ON RTN	\$50	\$25,000	MIN PER RTN; MAX PER YEAR	1989	NO	SEE NOTE (1)
26 USC 6695(d)	PREPARER FAILURE TO RETAIN COPY OF RETURN	\$50	\$25,000	MIN PER RTN; MAX PER RTN PERIOD	1989	NO	SEE NOTE (1)
26 USC 6695(e)	PREPARER FAILURE TO FILE INFO RETURNS	\$50	\$25,000	MIN PER RTN; MAX PER RTN PERIOD	1989	NO	SEE NOTE (1)
26 USC 6695(f)	PREPARER NEGOTIATION OF REFUND CHECK	NA	\$500	PER CHECK	1978	YES	YES
26 USC 6698	FAILURE TO FILE PARTNERSHIP RETURN	NA	\$50	PER RTN x PARTNERS x MOS. (UP TO 5)	1978	YES	YES
26 USC 6700	PROMOTING ABUSIVE TAX SHELTERS	NA	\$1,000	PER ACTIVITY, OR GROSS INCOME FROM ACTIVITY, WHICHEVER IS LESS	1989	NO	SEE NOTE (1)
26 USC 6701	AIDING AND ABETTING UNDERSTATEMENT OF TAX — IF A CORPORATION	NA	\$1,000	PER DOCUMENT	1989	NO	SEE NOTE (1)
26 USC 6702	FILING FRIVOLOUS INCOME TAX RETURN	NA	\$500	PER RTN	1982	YES	YES
26 USC 6704	FAILURE TO KEEP EMPLOYEE PLAN RECORDS	\$50	\$50,000	PER YEAR (\$50 PER # OF INDIVIDUALS FOR WHICH THERE IS FAILURE)	1985	YES	YES
26 USC 6705	FAILURE BY BROKER TO PROVIDE NOTICE TO PAYORS	NA	\$500	PER NOTICE	1983	YES	YES
26 USC 6706(a)	FAILURE TO PUT OID LEGEND ON DEBT INSTRUMENT	NA	\$50	PER INSTRUMENT	1984	YES	YES
26 USC 6706(b)	FAILURE TO FURNISH OID INFO TO SECRETARY	NA	\$50,000	PER ISSUE (MIN. IS 1% OF ISSUE PRICE)	1984	YES	YES
26 USC 6707(a)	FAILURE TO REGISTER TAX SHELTER	NA	\$500	PER SHELTER, OR 1% OF INVESTMENT, WHICHEVER IS GREATER	1988	NO	SEE NOTE (1)
26 USC 6707(b)(1)	FAILURE OF SELLER TO FURNISH TAX SHELTER NUMBER TO INVESTOR	NA	\$100	PER FAILURE	1988	NO	SEE NOTE (1)
26 USC 6707(b)(2)	FAILURE TO INCLUDE TAX SHELTER NUMBER ON RETURN	NA	\$250	PER FAILURE	1986	NO	SEE NOTE (1)
26 USC 6708	FAILURE TO MAINTAIN LIST OF SHELTER INVESTORS	\$50	\$100,000	MIN PER INVESTOR; MAX PER YEAR	1986	NO	SEE NOTE (1)

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26 USC 6708(a)	NEGLECT STATEMENT RE MORTGAGE CREDIT CERTIFICATE	NA	\$1,000	PER CERTIFICATE	1987 NO	SEE NOTE (1)
26 USC 6708(b)	FRAUDULENT STATEMENT RE MORTGAGE CREDIT CERTIFICATE	NA	\$10,000	PER CERTIFICATE	1987 NO	SEE NOTE (1)
26 USC 6708(c)	FAILURE TO FILE MORTGAGE CREDIT REPORTS —IF ISSUER	NA	\$200	PER REPORT	1987 NO	SEE NOTE (1)
26 USC 6710	FAILURE BY ORG TO DISCLOSE THAT CONTRIBUTIONS ARE NOT DEDUCTIBLE	NA	\$2,000	PER REPORT	1987 NO	SEE NOTE (1)
26 USC 6711	FAILURE BY EXEMPT ORG TO DISCLOSE THAT INFO IS AVAILABLE FROM GOVT	\$1,000	\$10,000	MIN PER DAY; MAX PER YEAR	1988 NO	SEE NOTE (1)
26 USC 6712	FAILURE TO DISCLOSE TREATY-BASED RETURN POSITION —IF C CORPORATION	NA	\$1,000	WHICHEVER IS GREATER	1988 NO	SEE NOTE (1)
26 USC 6713	DISCLOSURE OF INFO BY PREPARERS	NA	\$10,000	PER FAILURE	1988 NO	SEE NOTE (1)
26 USC 6721(a)	FAILURE TO FILE INFO RETURNS —IF CORRECT BEFORE AUG 1	\$50	\$250,000	MIN PER RTN; MAX PER YEAR	1989 NO	SEE NOTE (1)
	—IF CORRECT WITHIN 30 DAYS	\$15	\$150,000	MIN PER RTN; MAX PER YEAR	1989 NO	SEE NOTE (1)
	—IF GROSS RECEIPTS NOT MORE THAN \$5,000,000	NA	\$75,000	MIN PER RTN; MAX PER YEAR	1989 NO	SEE NOTE (1)
	—IF CORRECT BEFORE AUG 1	NA	\$100,000	MIN PER RTN; MAX PER YEAR	1989 NO	SEE NOTE (1)
	—IF CORRECT WITHIN 30 DAYS	NA	\$50,000	MIN PER RTN; MAX PER YEAR	1989 NO	SEE NOTE (1)
26 USC 6721(b)(2)	INTENTIONAL FAILURE TO FILE INFO RETURNS	NA	\$25,000	MIN PER RTN; MAX PER YEAR	1989 NO	SEE NOTE (1)
			\$100	PER RTN, OR PERCENTAGE OF ITEMS TO BE REPORTED CORRECTLY, WHICHEVER IS GREATER	1989 NO	SEE NOTE (1)
26 USC 6721(c)(2)(C)	INTENTIONAL FAILURE TO FILE CASH TRANSACTION REPORT	NA	\$25,000	PER RTN, OR CASH AMT REC'D, UP TO WHICH EVER IS GREATER	1989 NO	SEE NOTE (1)
26 USC 6722(a)	FAILURE TO FURNISH PAYEE STATEMENTS	\$50	\$100,000	WHICHEVER IS GREATER	1989 NO	SEE NOTE (1)
26 USC 6722(c)	INTENTIONAL FAILURE TO FURNISH PAYEE STATEMENTS	NA	\$100	MIN PER STMT; MAX PER YEAR	1989 NO	SEE NOTE (1)
			\$100	PER STMT, OR PERCENTAGE OF ITEMS TO BE REPORTED CORRECTLY, WHICHEVER IS GREATER	1989 NO	SEE NOTE (1)
26 USC 6723	FAILURE TO COMPLY WITH OTHER INFO RPTING (INCL FAILURE TO SUPPLY SSN)	\$50	\$100,000	WHICHEVER IS GREATER	1989 NO	SEE NOTE (1)
26 USC 7268	POSSESSION OF GOODS WITH FRAUDULENT INTENT TO EVADE TAX	NA	\$500	MIN PER FAILURE; MAX PER YEAR PER POSSESSION, OR 200% OF TAXES ATTEMPTED TO BE EVADED, WHICHEVER IS GREATER	1984 YES	SEE NOTE (1)
26 USC 7269	FAILURE TO PRODUCE RECORDS	NA	\$600	PER FAILURE	1977 YES	YES
26 USC 7271	STAMP PENALTIES	NA	\$50	PER OFFENSE	1977 YES	YES
26 USC 7272	FAILURE TO REGISTER	NA	\$50	PER FAILURE	1954 YES	YES
26 USC 7273	FAILURE TO KEEP STAMPS RE PAYMENT OF SPECIAL TAX —IF WILLFUL	NA	\$10	PER FAILURE, OR SPECIAL TAX, WHICHEVER IS GREATER	1968 YES	YES
26 USC 7304	FRAUDULENTLY CLAIMING A DRAWBACK	NA	\$20	PER FAILURE, OR 2X SPECIAL TAX, WHICHEVER IS GREATER	1968 YES	YES
26 USC 7342	REFUSAL TO PERMIT GOVT EMPLOYEE TO ENTER OR EXAMINE	NA	\$500	WHICHEVER IS GREATER	1977 YES	YES
			500	PER CLAIM, OR 300% OF AMT CLAIMED PER REFUSAL	1954 YES	YES
U.S. DEPT. OF THE TREASURY OFFICE OF THE COMPTROLLER OF THE CURRENCY						
12 USC 93(b)	VIOLATION-NAT'L BANK ACT-AMINDD BY FIRREA-1ST TIER	NA	\$5,000	PER DAY	1989 NO	SEE NOTE (1)
12 USC 93(b)	VIOLATION-NAT'L BANK ACT-AMINDD BY FIRREA-2ND TIER	NA	\$25,000	PER DAY	1989 NO	SEE NOTE (1)

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12 USC 93(b)	VIOLATION-NAT'L BANK ACT-AMINDD BY FIRREA-3RD TIER	NA	\$1,000,000	PER DAY	1989	NO SEE NOTE (1)
				OR 1% OF TOTAL BANK ASSETS, WHICHEVER IS LESS		
12 USC 164	VIOLATION-NAT'L BANK ACT-AMINDD BY FIRREA-1ST TIER	NA	\$2,000	PER DAY	1989	NO SEE NOTE (1)
12 USC 164	VIOLATION-NAT'L BANK ACT-AMINDD BY FIRREA-2ND TIER	NA	\$20,000	PER DAY	1989	NO SEE NOTE (1)
12 USC 164	VIOLATION-NAT'L BANK ACT-AMINDD BY FIRREA-3RD TIER	NA	\$1,000,000	PER DAY	1989	NO SEE NOTE (1)
				OR 1% OF TOTAL BANK ASSETS, WHICHEVER IS LESS		
12 USC 504	VIOLATION-NAT'L BANK ACT-AMINDD BY FIRREA-1ST TIER	NA	\$5,000	PER DAY	1989	NO SEE NOTE (1)
12 USC 504	VIOLATION-NAT'L BANK ACT-AMINDD BY FIRREA-2ND TIER	NA	\$25,000	PER DAY	1989	NO SEE NOTE (1)
12 USC 504	VIOLATION-NAT'L BANK ACT-AMINDD BY FIRREA-3RD TIER	NA	\$1,000,000	PER DAY	1989	NO SEE NOTE (1)
				OR 1% OF TOTAL BANK ASSETS, WHICHEVER IS LESS		
12 USC 505	VIOLATION-NAT'L BANK ACT-AMINDD BY FIRREA-1ST TIER	NA	\$5,000	PER DAY	1989	NO SEE NOTE (1)
12 USC 505	VIOLATION-NAT'L BANK ACT-AMINDD BY FIRREA-2ND TIER	NA	\$25,000	PER DAY	1989	NO SEE NOTE (1)
12 USC 505	VIOLATION-NAT'L BANK ACT-AMINDD BY FIRREA-3RD TIER	NA	\$1,000,000	PER DAY	1989	NO SEE NOTE (1)
				OR 1% OF TOTAL BANK ASSETS, WHICHEVER IS LESS		
12 USC 1817(f)(19)	VIOLATION-NAT'L BANK ACT-AMINDD BY FIRREA-1ST TIER	NA	\$5,000	PER DAY	1989	NO SEE NOTE (1)
12 USC 1817(f)(19)	VIOLATION-NAT'L BANK ACT-AMINDD BY FIRREA-2ND TIER	NA	\$25,000	PER DAY	1989	NO SEE NOTE (1)
12 USC 1817(f)(19)	VIOLATION-NAT'L BANK ACT-AMINDD BY FIRREA-3RD TIER	NA	\$1,000,000	PER DAY	1989	NO SEE NOTE (1)
				OR 1% OF TOTAL BANK ASSETS, WHICHEVER IS LESS		
12 USC 1818(f)(2)	VIOLATION-NAT'L BANK ACT-AMINDD BY FIRREA-1ST TIER	NA	\$5,000	PER DAY	1989	NO SEE NOTE (1)
12 USC 1818(f)(2)	VIOLATION-NAT'L BANK ACT-AMINDD BY FIRREA-2ND TIER	NA	\$25,000	PER DAY	1989	NO SEE NOTE (1)
12 USC 1818(f)(2)	VIOLATION-NAT'L BANK ACT-AMINDD BY FIRREA-3RD TIER	NA	\$1,000,000	PER DAY	1989	NO SEE NOTE (1)
				OR 1% OF TOTAL BANK ASSETS, WHICHEVER IS LESS		
12 USC 1832	BANKING LAW VIOLATION	NA	\$5,000	PER DAY	1989	NO SEE NOTE (1)
12 USC 1972(a)(F)	BANKING LAW VIOLATION-1ST TIER	NA	\$5,000	PER DAY	1989	NO SEE NOTE (1)
12 USC 1972(a)(F)	BANKING LAW VIOLATION-2ND TIER	NA	\$25,000	PER DAY	1989	NO SEE NOTE (1)
12 USC 1972(a)(F)	BANKING LAW VIOLATION-3RD TIER	NA	\$1,000,000	PER DAY	1989	NO SEE NOTE (1)
				OR 1% OF TOTAL BANK ASSETS, WHICHEVER IS LESS		
12 USC 3909	BANKING LAW VIOLATION	NA	\$1,000	PER VIOLATION	1973	YES SEE NOTE (1)
12 USC 78u-2	SECURITIES LAW VIOLATION-1ST TIER (NATURAL PERSON)	NA	\$5,000	PER DAY	1989	NO SEE NOTE (1)
12 USC 78u-2	SECURITIES LAW VIOLATION-1ST TIER (ANY OTHER)	NA	\$50,000	PER VIOLATION	1989	NO SEE NOTE (1)
12 USC 78u-2	SECURITIES LAW VIOLATION-2ND TIER (NATURAL PERSON)	NA	\$25,000	PER VIOLATION	1989	NO SEE NOTE (1)
12 USC 78u-2	SECURITIES LAW VIOLATION-2ND TIER (ANY OTHER)	NA	\$250,000	PER VIOLATION	1989	NO SEE NOTE (1)
12 USC 78u-2	SECURITIES LAW VIOLATION-3RD TIER (NATURAL PERSON)	NA	\$100,000	PER VIOLATION	1989	NO SEE NOTE (1)
12 USC 78u-2	SECURITIES LAW VIOLATION-3RD TIER (ANY OTHER)	NA	\$500,000	PER VIOLATION	1989	NO SEE NOTE (1)

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U.S. DEPT. OF THE TREASURY							
OFFICE OF THIEF SUPERVISION							
12 USC 1462(a) & 1467(a)(1)	BANKING LAW VIOLATION - 1ST TIER	NA	\$2,000	PER VIOLATION PER DAY	1989	NO	SEE NOTE (1)
12 USC 1462(a) & 1467(a)(2)	BANKING LAW VIOLATION - 2ND TIER	NA	\$20,000	PER VIOLATION PER DAY	1989	NO	SEE NOTE (1)
12 USC 1462(a) & 1467(a)(3)	BANKING LAW VIOLATION - 3RD TIER	NA	\$1,000,000	PER VIOLATION PER DAY	1989	NO	SEE NOTE (1)
12 USC 1467(a)(3)	VIOLATIONS OF HOLDING COMPANY ACT	NA	\$25,000	PER VIOLATION PER DAY	1989	NO	SEE NOTE (1)
12 USC 1467(c)	BANKING LAW VIOLATION	NA	\$5,000	PER VIOLATION PER DAY	1989	NO	SEE NOTE (1)
12 USC 18170(k)(1)(A)	CHANGE IN CONTROL VIOLATION - 1ST TIER	NA	\$5,000	PER VIOLATION PER DAY	1989	NO	SEE NOTE (1)
12 USC 18170(k)(1)(B)	CHANGE IN CONTROL VIOLATION - 2ND TIER	NA	\$25,000	PER VIOLATION PER DAY	1989	NO	SEE NOTE (1)
12 USC 18170(k)(1)(C)	CHANGE IN CONTROL VIOLATION - 3RD TIER	NA	\$1,000,000	PER VIOLATION PER DAY	1989	NO	SEE NOTE (1)
OR 1% OF TOTAL BANK ASSETS, WHICHEVER IS LESS							
12 USC 18180(c)	BANKING LAW VIOLATION - 1ST TIER	NA	\$5,000	PER VIOLATION PER DAY	1989	NO	SEE NOTE (1)
12 USC 18180(d)	BANKING LAW VIOLATION - 2ND TIER	NA	\$25,000	PER VIOLATION PER DAY	1989	NO	SEE NOTE (1)
12 USC 18180(e)	BANKING LAW VIOLATION - 3RD TIER	NA	\$1,000,000	PER VIOLATION PER DAY	1989	NO	SEE NOTE (1)
12 USC 18280(a)	BANKING LAW VIOLATION - 1ST TIER	NA	\$5,000	PER VIOLATION PER DAY	1989	NO	SEE NOTE (1)
12 USC 18280(b)	BANKING LAW VIOLATION - 2ND TIER	NA	\$25,000	PER VIOLATION PER DAY	1989	NO	SEE NOTE (1)
12 USC 18280(c)	BANKING LAW VIOLATION - 3RD TIER	NA	\$1,000,000	PER VIOLATION PER DAY	1989	NO	SEE NOTE (1)
12 USC 3349(b)	BANKING LAW VIOLATION - 1ST TIER	NA	\$5,000	PER VIOLATION PER DAY	1989	NO	SEE NOTE (1)
12 USC 3349(c)	BANKING LAW VIOLATION - 2ND TIER	NA	\$25,000	PER VIOLATION PER DAY	1989	NO	SEE NOTE (1)
12 USC 3349(d)	BANKING LAW VIOLATION - 3RD TIER	NA	\$1,000,000	PER VIOLATION PER DAY	1989	NO	SEE NOTE (1)
U.S. DEPT. OF VETERANS AFFAIRS							
31 USC 3802(a)(1)	PROGRAM FRAUD CIVIL REMEDIES ACT/VIOLETION INVOLVING FALSE CLAIM	NA	\$5,000	PER CLAIM	1986	NO	SEE NOTE (1)
31 USC 3802(a)(2)	PROGRAM FRAUD CIVIL REMEDIES ACT/VIOLETION INVOLVING FALSE STATEMENT	NA	\$5,000	PER STATEMENT	1986	NO	SEE NOTE (1)
38 USC 1610(g)(4)(B)	FALSE HOUSING LOAN CERTIFICATION	NA	\$10,000	PER VIOLATION	1986	NO	SEE NOTE (1)
ADDITIONAL PENALTIES IDENTIFIED BY THE DEPARTMENT OF JUSTICE							
12 USC 1833a	FIRREA VIOLATION —CONTINUING VIOLATION	NA	\$1,000,000	PER VIOLATION PER DAY, OR \$5,000,000 WHICHEVER IS LESS	1989	NO	SEE NOTE (1)
15 USC 1104(e)	FLAMMABLE FABRICS ACT VIOLATION —RELATED SERIES OF VIOLATIONS	NA	\$5,000	PER VIOLATION	1989	NO	SEE NOTE (1)
22 USC 2399(a)	FOREIGN ASSISTANCE ACT/FRAUDULENT CLAIM FOR ASSISTANCE	NA	\$1,250,000	PER VIOLATION	1980	NO	SEE NOTE (1)
40 USC 4800(k)	VIOLETION INVOLVING SURPLUS GOVERNMENT PROPERTY	NA	\$2,000	PER VIOLATION PLUS 2X DAMAGES	1983	YES	SEE NOTE (1)
41 USC 55(a)(1)	VIOLETION INVOLVING KICKBACKS TO EMPLOYEES OR SUBCONTRACTORS	NA	\$2,000	PER ACT	1949	YES	YES
		NA	\$10,000	PER OCCURRENCE PLUS 2X VALUE OF THE KICKBACK	1986	NO	SEE NOTE (1)

NOTES: (1) PENALTY HAS BEEN ADJUSTED IN LAST FIVE YEARS; LAW MODIFICATION TEXT NOT REQUIRED. (2) INFLATION-ADJUSTED VALUE EQUALS THE ORIGINAL PENALTY DUE TO ROUNDING; MODIFICATION TEXT UNNECESSARY.

Exhibit 2

Civil Monetary Penalty Inflation Adjustments

EXHIBIT 2—CIVIL MONETARY PENALTY INFLATION ADJUSTMENTS

U.S. CODE CITATION	CIVIL MONETARY PENALTY DESCRIPTION	MINIMUM PENALTY AMOUNT SET BY LAW AS OF 3/1/81	MAXIMUM PENALTY AMOUNT SET BY LAW AS OF 3/1/81	INFLATION FACTOR CALCULATION	INFLATION FACTOR	MINIMUM PENALTY AMOUNT		MAXIMUM PENALTY AMOUNT	
						AFTER RAW INFLATION ADJUSTMENT	AFTER	AFTER RAW INFLATION ADJUSTMENT	AFTER
U.S. DEPT. OF AGRICULTURE									
AGRICULTURAL MARKETING SERVICE									
7 USC 4996(f)	VIOLATION OF UNFAIR CONDUCT	NA	\$2,000	399.111463	2.649	NA	\$5,297	NA	\$5,000
7 USC 4996(g)	VIOLATION OF LICENSING REQUIREMENTS	NA	\$25	399.111463	9.028	NA	\$228	NA	\$200
7 USC 4996(h)	VIOLATION OF LICENSING REQUIREMENTS	NA	\$500	399.111463	1.992	NA	\$996	NA	\$1,000
7 USC 608c(1)(B)	VIOLATION OF PROGRAM	NA	\$25	399.111463	1.992	NA	\$996	NA	\$50
7 USC 610(f)	FEDERAL TRADE COMMISSION ACT/FAILURE TO FILE CERTAIN REPORTS	NA	\$1,000	399.111463	1.144	NA	\$1,144	NA	\$1,000
7 USC 1698(f)	VIOLATION OF PROGRAM	\$25	\$500	399.111463	13.057	NA	\$6,508	NA	\$2,000
7 USC 2112(f)	VIOLATION OF PROGRAM	NA	\$1,000	399.111463	4.007	NA	\$4,007	NA	\$4,000
7 USC 2621(f)(1)	FAILURE TO PAY ASSESSMENTS	NA	\$5,000	399.111463	1.339	NA	\$6,695	NA	\$7,000
7 USC 2621(f)(3)	FAILURE TO OBEY CEASE & DESIST	NA	\$500	399.111463	1.339	NA	\$668	NA	\$700
7 USC 2714(f)(1)	VIOLATION OF PROGRAM	NA	\$5,000	399.111463	1.571	NA	\$7,857	NA	\$8,000
7 USC 2714(f)(3)	FAILURE TO OBEY CEASE & DESIST	NA	\$500	399.111463	1.571	NA	\$788	NA	\$800
7 USC 2908(f)(2)	VIOLATION OF PROGRAM	NA	\$5,000	399.111463	2.287	NA	\$11,437	NA	\$10,000
7 USC 3410(f)	VIOLATION OF PROGRAM	NA	\$1,000	399.111463	2.140	NA	\$2,140	NA	\$2,000
7 USC 4314(f)(1)	VIOLATION OF PROGRAM	\$500	\$5,000	399.111463	1.434	NA	\$7,171	NA	\$7,000
7 USC 4314(f)(3)	FAILURE TO OBEY CEASE & DESIST	NA	\$500	399.111463	1.434	NA	\$717	NA	\$700
7 USC 4314(f)(3)	FAILURE TO OBEY CEASE & DESIST	NA	\$1,000	399.111463	1.305	NA	\$1,305	NA	\$1,000
7 USC 4510(f)	VIOLATION OF PROGRAM	\$500	\$5,000	399.111463	1.262	NA	\$6,262	NA	\$6,000
7 USC 4510(f)(1)	VIOLATION OF PROGRAM	NA	\$500	399.111463	1.262	NA	\$628	NA	\$600
7 USC 4510(f)(3)	FAILURE TO OBEY CEASE & DESIST	NA	\$1,000	399.111463	1.207	NA	\$1,207	NA	\$1,000
7 USC 4815(f)(1)(A)(i)	VIOLATION OF PROGRAM	NA	\$5,000	399.111463	1.207	NA	\$6,036	NA	\$6,000
7 USC 4815(f)(3)(A)	FAILURE TO OBEY CEASE & DESIST	NA	\$500	399.111463	1.207	NA	\$604	NA	\$600
7 USC 4910(f)(1)	FEDERAL TRADE COMMISSION ACT/FAILURE TO FILE CERTAIN REPORTS	NA	\$100	399.111463	13.057	NA	\$1,306	NA	\$1,000
7 USC 4910(f)(3)	FEDERAL TRADE COMMISSION ACT/FAILURE TO FILE CERTAIN REPORTS	NA	\$100	399.111463	13.057	NA	\$1,306	NA	\$1,000
U.S. DEPT. OF AGRICULTURE									
ANIMAL AND PLANT HEALTH INSPECTION SERVICE									
7 USC 1469(f)(2)	VIOLATION OF PLANT & PEST QUARANTINE REGS.	NA	\$1,000	399.111463	1.305	NA	\$1,305	NA	\$1,000
7 USC 1502(f)	VIOLATIONS OF THE FEDERAL PLANT PEST ACT	NA	\$1,000	399.111463	1.305	NA	\$1,305	NA	\$1,000
7 USC 163	ALTERATIONS OF CERTIFICATES	NA	\$1,000	399.111463	1.305	NA	\$1,305	NA	\$1,000
7 USC 1698(f)	VIOLATIONS OF FEDERAL SEED ACT	\$25	\$500	399.111463	4.780	NA	\$2,390	NA	\$2,000
7 USC 2149(f)	FAILURE TO OBEY CEASE & DESIST ORDER	NA	\$1,500	399.111463	1.207	NA	\$1,811	NA	\$2,000
7 USC 2149(f)	VIOLATION OF ANIMAL WELFARE ACT	NA	\$2,000	399.111463	1.207	NA	\$2,414	NA	\$3,000
7 USC 3605(f)	VIOLATIONS OF SWINE HEALTH PROTECTION ACT	NA	\$10,000	399.111463	1.571	NA	\$15,715	NA	\$15,000
16 USC 1825(f)(1)	HORSE PROTECTION ACT VIOLATIONS	NA	\$2,000	399.111463	2.287	NA	\$4,576	NA	\$5,000
16 USC 1825(f)	FAILURE TO OBEY HORSE PROTECT. ACT DISQUALS.	NA	\$3,000	399.111463	2.287	NA	\$6,862	NA	\$7,000
16 USC 1825(f)	EXHIBITION OF DISQUALIFIED HORSE	NA	\$3,000	399.111463	2.287	NA	\$6,862	NA	\$7,000
21 USC 104	VIOLATIONS OF ANIMAL QUARANTINE REGULATIONS	NA	\$1,000	399.111463	1.305	NA	\$1,305	NA	\$1,000
21 USC 117(f)	VIOLATIONS OF ANIMAL INDUSTRY ACT	NA	\$1,000	399.111463	1.305	NA	\$1,305	NA	\$1,000
21 USC 122	VIOLATIONS OF CATTLE CONTAGIOUS DISEASE ACT	NA	\$1,000	399.111463	1.305	NA	\$1,305	NA	\$1,000

NOTES: (1) THE "INFLATION FACTOR" IS THE RESULT OF DIVIDING THE JUNE 1980 CPI BY THE CPI FOR JUNE OF THE YEAR OF LAST ADJUSTMENT.

EXHIBIT 2—CIVIL MONETARY PENALTY INFLATION ADJUSTMENTS

U.S. CODE CITATION	CIVIL MONETARY PENALTY DESCRIPTION	MINIMUM PENALTY AMOUNT SET BY LAW AS OF 3/1/91	MAXIMUM PENALTY AMOUNT SET BY LAW AS OF 3/1/91	INFLATION FACTOR CALCULATION	MINIMUM PENALTY AMOUNT AFTER RAW INFLATION ADJUSTMENT		MAXIMUM PENALTY AMOUNT AFTER RAW INFLATION ADJUSTMENT	
					MINIMUM PENALTY AMOUNT	MAXIMUM PENALTY AMOUNT	MINIMUM PENALTY AMOUNT	MAXIMUM PENALTY AMOUNT
21 USC 127	TRANSPORTATION OF QUARANTINED ANIMALS	NA	\$1,000	389.1 / 298.1	1,305	NA	NA	\$1,000
21 USC 164(a)(2)	TRANSPORTATION OF QUARANTINED PRODUCTS	NA	\$1,000	389.1 / 298.1	1,305	NA	NA	\$1,000
21 USC 1954(b)	MOVEMENT OF QUARANTINED ANIMALS	NA	\$1,000	389.1 / 298.1	1,305	NA	NA	\$1,000
U.S. DEPT. OF AGRICULTURE FEDERAL GRAIN INSPECTION SERVICE								
7 USC 86(e)	TO DEFER VIOLATIONS OF SEC. 19 OF USGSA.	NA	\$75,000	389.1 / 170.1	2,287	NA	\$171,561	NA
U.S. DEPT. OF AGRICULTURE FOOD AND NUTRITION SERVICE								
7 USC 2011-2031	TRANSFER OF OWNERSHIP TO AVOID A SANCTION	NA	\$10,000	389.1 / 340.1	1,144	NA	\$11,441	NA
7 USC 2021(a)	HARDSHIP FINE IN LIEU OF DISQUALIFICATION	NA	\$10,000	389.1 / 340.1	1,339	NA	\$13,390	NA
7 USC 2021(b)(9)(B)	TRAFFICKING IN FOOD COUPONS	NA	\$20,000	389.1 / 353.5	1,101	NA	\$22,014	NA
U.S. DEPT. OF AGRICULTURE FOOD SAFETY AND INSPECTION SERVICE								
21 USC 467(d)	FEDERAL TRADE COMMISSION ACT/FAILURE TO FILE CERTAIN REPORTS	NA	\$100	389.1 / 29.1	13,057	NA	\$1,306	NA
21 USC 677	FEDERAL TRADE COMMISSION ACT/FAILURE TO FILE CERTAIN REPORTS	NA	\$100	389.1 / 29.1	13,057	NA	\$1,306	NA
U.S. DEPT. OF AGRICULTURE FOREST SERVICE								
16 USC 620d(e)	VIOLATIONS OF EXPORT OF UNPROCESSED TIMBER	NA	\$500,000	389.1 / 919.1	1,000	NA	\$500,000	NA
16 USC 620d(f)	OTHER VIOLATIONS	NA	\$75,000	389.1 / 359.1	1,000	NA	\$75,000	NA
16 USC 620d(g)	OTHER VIOLATIONS	NA	\$50,000	389.1 / 359.1	1,000	NA	\$50,000	NA
16 USC 620d(h)	OTHER VIOLATIONS	NA	\$500,000	389.1 / 359.1	1,000	NA	\$500,000	NA
16 USC 4307	VIOLATIONS INVOLVING PROTECTION OF CAVES	NA	\$10,000	389.1 / 353.5	1,101	NA	\$11,007	NA
U.S. DEPT. OF AGRICULTURE PACKERS AND STOCKYARDS ADMINISTRATION								
7 USC 193(b)	PACKER VARIOUS VIOLATIONS	NA	\$10,000	389.1 / 170.1	2,287	NA	\$22,875	NA
7 USC 283	LIVESTOCK MARKET AGENCY, DEALER, FAILURE TO REGISTER	NA	\$500	389.1 / 52.1	7,369	NA	\$3,695	NA
		NA	\$25	389.1 / 52.1	7,369	NA	\$184	NA
7 USC 287(g)	STOCKYARD RATE, REGULATION OR PRACTICE VIOLATIONS	NA	\$500	389.1 / 52.1	7,369	NA	\$3,695	NA
		NA	\$25	389.1 / 52.1	7,369	NA	\$184	NA
7 USC 215(b)	STOCKYARD OWNER, LIVESTOCK MARKET AGENCY, DEALER VARIOUS VIOLATIONS	NA	\$10,000	389.1 / 170.1	2,287	NA	\$22,875	NA
7 USC 215(a)	STOCKYARD OWNER, LIVESTOCK MARKET AGENCY, DEALER COMPLIANCE ORDER VIOLATION	NA	\$500	389.1 / 52.1	7,369	NA	\$3,695	NA
7 USC 222	FAILURE TO FILE REQUIRED REPORTS	NA	\$100	389.1 / 29.1	13,057	NA	\$1,306	NA
7 USC 228b-2(b)	LIVE POULTRY DEALER VARIOUS VIOLATIONS	NA	\$20,000	389.1 / 340.1	1,144	NA	\$22,882	NA

NOTES: (1) THE "INFLATION FACTOR" IS THE RESULT OF DIVIDING THE JUNE 1990 CPI BY THE CPI FOR JUNE OF THE YEAR OF LAST ADJUSTMENT.

EXHIBIT 2—CIVIL MONETARY PENALTY INFLATION ADJUSTMENTS

U.S. CODE CITATION	CIVIL MONETARY PENALTY DESCRIPTION	MINIMUM PENALTY AMOUNT		MAXIMUM PENALTY AMOUNT		INFLATION FACTOR CALCULATION	MINIMUM PENALTY AMOUNT AFTER INFLATION ADJUSTMENT		MAXIMUM PENALTY AMOUNT AFTER INFLATION ADJUSTMENT		
		SET BY LAW AS OF 3/1/81	SET BY LAW AS OF 3/1/81	SET BY LAW AS OF 3/1/81	SET BY LAW AS OF 3/1/81		INFLATION FACTOR	INFLATION ADJUSTMENT	INFLATION ADJUSTMENT	INFLATION ADJUSTMENT	
U.S. DEPT. OF AGRICULTURE ALL BUREAUS											
40 USC 328	WORK HOURS AND SAFETY VIOLATIONS	NA	\$10	NA	\$10	385.17/80.5	4.289	NA	\$43	NA	\$40
ALASKA NATURAL GAS TRANSPORTATION SYSTEM											
16 USC 719(e)(2)	COMPLIANCE ORDER VIOLATION	NA	\$25,000	NA	\$25,000	385.17/170.5	2.287	NA	\$57,187	NA	\$55,000
U.S. DEPT. OF COMMERCE BUREAU OF EXPORT ADMINISTRATION											
50 USC APP. 2410(c)	NON-NATL. SECURITY VIOLATION/EXPORT ADMINISTRATION ACT	NA	\$10,000	NA	\$10,000	385.17/181.5	2.140	NA	\$21,403	NA	\$20,000
50 USC APP. 2410(c)	NATL. SECURITY VIOLATION/EXPORT ADMIN. ACT & SECT 38 ARMS EXPORT CNTL. ACT	NA	\$100,000	NA	\$100,000	385.17/273.5	1.434	NA	\$143,421	NA	\$140,000
U.S. DEPT. OF COMMERCE ECONOMIC DEVELOPMENT ADMINISTRATION											
19 USC 2349	TRADE ACT OF 1974/FALSE STATEMENTS OR SUBMISSIONS W/APPLICATIONS FOR ASSISTANT PUBLIC WORKS & ECON. DEV. ACT OF 1965/FALSE STATEMENT OR SUBMISSION W/APPLICATI	NA	\$5,000	NA	\$5,000	385.17/181.5	2.423	NA	\$12,114	NA	\$10,000
42 USC 3220(a)	PUBLIC WORKS & ECON. DEV. ACT OF 1965/FALSE STATEMENT OR SUBMISSION W/APPLICATI	NA	\$10,000	NA	\$10,000	385.17/244.7	4.109	NA	\$41,086	NA	\$40,000
42 USC 3220(b)	PUBLIC WORKS & ECON. DEV. ACT OF 1965/FRAUD OR RELEASE OF UNAUTHORIZED INFO	NA	\$10,000	NA	\$10,000	385.17/244.7	4.109	NA	\$41,086	NA	\$40,000
U.S. DEPT. OF COMMERCE ECONOMICS AND STATISTICS ADMINISTRATION											
19 USC 304	DELINQUENCY ON DELAYED FILING OF EXPORT DOCUMENTATION	NA	\$100	NA	\$100	385.17/90.5	4.289	NA	\$430	NA	\$400
19 USC 305	COLLECTION OF FOREIGN TRADE STATISTICS/VIOLATIONS	NA	\$1,000	NA	\$1,000	385.17/90.5	4.289	NA	\$4,289	NA	\$4,000
22 USC 3105(a)	INTL. INVESTMENT & TRADE IN SERVICES ACT/FAILURE TO FURNISH INFO	\$2,500	\$25,000	\$2,500	\$25,000	385.17/355.7	1.000	\$2,500	\$25,000	\$3,000	\$25,000
U.S. DEPT. OF COMMERCE IMPORT ADMINISTRATION											
19 USC 816	FOREIGN TRADE ZONE VIOLATION	NA	\$1,000	NA	\$1,000	385.17/40.0	9.728	NA	\$9,728	NA	\$10,000
19 USC 1677(d)(4)	U.S.-CANADA FTA PROTECTIVE ORDER VIOLATION	NA	\$100,000	NA	\$100,000	385.17/353.5	1.101	NA	\$110,071	NA	\$110,000
U.S. DEPT. OF COMMERCE NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION											
16 USC 4243(g)(3)	LAND REMOTE-SENSING COMMERCIALIZATION ACT/VIOLATIONS	NA	\$10,000	NA	\$10,000	385.17/310.7	1.252	NA	\$12,523	NA	\$15,000
16 USC 772(b)	NORTHERN PACIFIC HALIBUT ACT OF 1982/VIOLATIONS	NA	\$25,000	NA	\$25,000	385.17/250.5	1.339	NA	\$33,474	NA	\$35,000
19 USC 783	SPONGE ACT VIOLATIONS/CATCHING OR TAKING W/IN SPEC. AREAS	NA	\$500	NA	\$500	385.17/29.8	13.057	NA	\$6,529	NA	\$7,000

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EXHIBIT 2—CIVIL MONETARY PENALTY INFLATION ADJUSTMENTS

U.S. CODE CITATION	CIVIL MONETARY PENALTY DESCRIPTION	MINIMUM PENALTY AMOUNT		MAXIMUM PENALTY AMOUNT		INFLATION FACTOR	INFLATION CALCULATION	MINIMUM PENALTY AMOUNT AFTER INFLATION ADJUSTMENT		MAXIMUM PENALTY AMOUNT AFTER INFLATION ADJUSTMENT	
		3/1/81	AS OF 3/1/81	3/1/81	AS OF 3/1/81			FL 101-410 AFTER ROUNDING	FL 101-410 AFTER ROUNDING		
16 USC 957	TUNA CONVENTION ACT OF 1950										
	—VIOLATION/SUBSECTION (c)	NA	\$100,000	NA	\$100,000	389.17/30.5	389.17/30.5	NA	\$429,845	NA	\$429,845
	—VIOLATION/SUBSECTION (a)	NA	\$25,000	NA	\$25,000	389.17/30.5	389.17/30.5	NA	\$107,496	NA	\$107,496
	—VIOLATION/SUBSECTION (b)	NA	\$1,000	NA	\$1,000	389.17/30.5	389.17/30.5	NA	\$4,299	NA	\$4,299
	—SUBSEQUENT VIOLATION/SUBSECTION (a)	NA	\$50,000	NA	\$50,000	389.17/30.5	389.17/30.5	NA	\$214,972	NA	\$225,000
	—SUBSEQUENT VIOLATION/SUBSECTION (b)	NA	\$5,000	NA	\$5,000	389.17/30.5	389.17/30.5	NA	\$21,497	NA	\$20,000
16 USC 971e(a)(1)	ATLANTIC TUNA CONVENTION ACT OF 1975										
	—SUBSEQUENT VIOLATION/SUBSECTION (a)	NA	\$50,000	NA	\$50,000	389.17/160.5	389.17/160.5	NA	\$121,139	NA	\$120,000
	—VIOLATION/SUBSECTION (d)	NA	\$100,000	NA	\$100,000	389.17/160.5	389.17/160.5	NA	\$242,279	NA	\$250,000
	—VIOLATION/SUBSECTION (a)	NA	\$25,000	NA	\$25,000	389.17/160.5	389.17/160.5	NA	\$98,570	NA	\$98,000
	—SUBSEQUENT VIOLATION/SUBSECTION (a) OR (c)	NA	\$5,000	NA	\$5,000	389.17/160.5	389.17/160.5	NA	\$12,114	NA	\$10,000
	—VIOLATION/SUBSECTION (b) OR (e)	NA	\$1,000	NA	\$1,000	389.17/160.5	389.17/160.5	NA	\$2,423	NA	\$2,000
16 USC 972(b)	EASTERN PACIFIC TUNA LICENSING ACT										
	—VIOLATIONS/SUBSECTIONS (a)(1)-(3)	NA	\$25,000	NA	\$25,000	389.17/310.7	389.17/310.7	NA	\$31,308	NA	\$30,000
	—VIOLATIONS/SUBSECTIONS (a)(4)-(6)	NA	\$5,000	NA	\$5,000	389.17/310.7	389.17/310.7	NA	\$6,262	NA	\$6,000
	—SUBSEQUENT VIOLATIONS/SUBSECTIONS (a)(1)-(3)	NA	\$50,000	NA	\$50,000	389.17/310.7	389.17/310.7	NA	\$62,617	NA	\$65,000
	—VIOLATIONS/SUBSECTIONS (a)(5)	NA	\$100,000	NA	\$100,000	389.17/310.7	389.17/310.7	NA	\$125,233	NA	\$130,000
16 USC 973f	SOUTH PACIFIC TUNA FISHING VIOLATIONS										
16 USC 1030(a)(1)	NORTH PACIFIC FISHERIES ACT OF 1964 VIOLATIONS										
16 USC 1375(a)(1)	MARINE MAMMAL PROTECTION ACT OF 1972 VIOLATIONS										
16 USC 1437(a)(1)	MARINE PROTECTION, RESEARCH & SANCTUARIES ACT VIOLATIONS										
16 USC 1462(a)	DEEP SEABED HARD MINERAL RESOURCES ACT VIOLATION										
16 USC 1640(a)(1)	ENDANGERED SPECIES ACT OF 1973										
	—VIOLATIONS	NA	\$25,000	NA	\$25,000	389.17/247.6	389.17/247.6	NA	\$27,616	NA	\$30,000
	—OTHER VIOLATIONS	NA	\$500	NA	\$500	389.17/353.2	389.17/353.2	NA	\$550	NA	\$600
	—VIOLATIONS	NA	\$12,000	NA	\$12,000	389.17/353.2	389.17/353.2	NA	\$13,288	NA	\$15,000
16 USC 1851 Note (Sec. 9)(a)(1)	ATLANTIC STRIPED BASS CONSERVATION ACT VIOLATION										
16 USC 1858	MAGNUSON FISHERY CONSERVATION & MGMT. ACT VIOLATIONS										
16 USC 2437(a)(1)	ANTARCTIC MARINE LIVING RESOURCES CONVENTION ACT										
	—KNOWING VIOLATION	NA	\$100,000	NA	\$100,000	389.17/386.3	389.17/386.3	NA	\$100,000	NA	\$100,000
	—VIOLATION	NA	\$10,000	NA	\$10,000	389.17/310.7	389.17/310.7	NA	\$12,623	NA	\$15,000
16 USC 3373(a)	LACEY ACT										
	—VIOLATIONS/POSSESSION, SALE, TRANSPORT OF FISH/PLANTS/WILDLIFE	NA	\$10,000	NA	\$10,000	389.17/271.3	389.17/271.3	NA	\$14,342	NA	\$15,000
	—MARKING VIOLATIONS OF FISH/PLANTS/WILDLIFE	NA	\$250	NA	\$250	389.17/271.3	389.17/271.3	NA	\$359	NA	\$400
16 USC 3608	ATLANTIC SALMON CONVENTION ACT OF 1982 VIOLATION										
16 USC 3637	PACIFIC SALMON TREATY ACT OF 1985 VIOLATIONS										
	—VIOLATIONS	NA	\$100,000	NA	\$100,000	389.17/386.3	389.17/386.3	NA	\$100,000	NA	\$100,000
U.S. DEPT. OF COMMERCE U.S. TRAVEL AND TOURISM ADMINISTRATION											
22 USC 2123(a)	INTL. TRAVEL ACT OF 1961 AS AMENDED/PENALTY										
		NA	\$5,000	NA	\$5,000	389.17/386.3	389.17/386.3	NA	\$5,000	NA	\$5,000

NOTES: (1) THE "INFLATION FACTOR" IS THE RESULT OF DIVIDING THE JUNE 1980 CPI BY THE CPI FOR JUNE OF THE YEAR OF LAST ADJUSTMENT.

EXHIBIT 2—CIVIL MONETARY PENALTY INFLATION ADJUSTMENTS

U.S. CODE CITATION	CIVIL MONETARY PENALTY DESCRIPTION	COMMODITY FUTURES TRADING COMMISSION	MINIMUM PENALTY AMOUNT		MAXIMUM PENALTY AMOUNT		INFLATION FACTOR	INFLATION CALCULATION		MINIMUM PENALTY AMOUNT AFTER INFLATION ADJUSTMENT	MAXIMUM PENALTY AMOUNT AFTER INFLATION ADJUSTMENT	
			AS OF 3/1/81	SET BY LAW	AS OF 3/1/81	AS OF 3/1/81		PL 101-410	PL 101-410			
7 USC 9	VIOLETION OF THE ACT OR RULES THEREUNDER	NA	\$100,000	NA	\$264,974	NA	2.649	389.1 / 145.9	NA	\$275,000	NA	\$275,000
7 USC 15a	SIMILAR VIOLATIONS BY CONTRACT MARKETS	NA	\$100,000	NA	\$264,974	NA	2.649	389.1 / 145.9	NA	\$275,000	NA	\$275,000
CONSUMER PRODUCT SAFETY COMMISSION												
15 USC 461	VIOLETION OF CEASE AND DESIST ORDER	NA	\$10,000	NA	\$29,388	NA	2.939	389.1 / 132.4	NA	\$30,000	NA	\$30,000
15 USC 45(m)	VIOLETION OF CEASE AND DESIST ORDER	NA	\$10,000	NA	\$29,388	NA	2.939	389.1 / 132.4	NA	\$30,000	NA	\$30,000
15 USC 1164(f)	VIOLETION OF REGULATION OR STANDARD	NA	\$1,250,000	NA	\$1,250,000	NA	1.000	389.1 / 389.1	NA	\$1,250,000	NA	\$1,250,000
15 USC 1294(f)	VIOLETION OF PROHIBITED ACT	NA	\$1,250,000	NA	\$1,250,000	NA	1.000	389.1 / 389.1	NA	\$1,250,000	NA	\$1,250,000
15 USC 2069	VIOLETION OF PROHIBITED ACT	NA	\$1,250,000	NA	\$1,250,000	NA	1.000	389.1 / 389.1	NA	\$1,250,000	NA	\$1,250,000
U.S. DEPT. OF DEFENSE												
5 USC APP 6 104	FAILURE TO FILE FILING FALSE REPTS (FIN DISCLOSURE)	NA	\$10,000	NA	\$10,468	NA	1.047	389.1 / 371.7	NA	\$10,468	NA	\$10,000
10 USC 1094(g)(1)	UNLAWFUL PROVISION OF HEALTH CARE	NA	\$5,000	NA	\$5,036	NA	1.207	389.1 / 322.3	NA	\$5,036	NA	\$5,000
10 USC 1102(c)	WRONGFUL DISCLOSURE—MEDICAL RECORDS	NA	\$3,000	NA	\$3,560	NA	1.187	389.1 / 327.9	NA	\$3,560	NA	\$3,000
10 USC 2324	SETTLEMENT OF UNALLOWABLE COSTS	NA	\$20,000	NA	\$23,733	NA	1.187	389.1 / 327.9	NA	\$23,733	NA	\$20,000
10 USC 2397A	FAILURE TO REPORT DEFENSE CONTRACTOR EMPLOYMENT	NA	\$10,000	NA	\$12,073	NA	1.207	389.1 / 322.3	NA	\$12,073	NA	\$10,000
10 USC 2397b(1)	FORMER PROCUREMENT OFFICIAL ACCEPTING COMPENSATION	NA	\$250,000	NA	\$301,515	NA	1.207	389.1 / 322.3	NA	\$301,515	NA	\$250,000
10 USC 2397b(2)	CONTRACTOR OFFERING COMPENSATION TO PROC. OFFICIAL	NA	\$250,000	NA	\$301,515	NA	1.207	389.1 / 322.3	NA	\$301,515	NA	\$250,000
10 USC 2397c	CONTRACTOR NOT REPORTING EMPLOYMENT OF GOV OFF	NA	\$10,000	NA	\$11,866	NA	1.207	389.1 / 327.9	NA	\$11,866	NA	\$10,000
31 USC 1962	USE OF APPROPRIATED FUNDS TO INFLUENCE CONTRACT	\$10,000	\$10,000	\$10,468	\$10,468	NA	1.047	389.1 / 371.7	\$10,468	\$10,468	\$10,000	
31 USC 3721(f)	PERSONAL PROPERTY LOSS CLAIMS FROM GOV'T PERSONNEL	NA	\$1,000	NA	\$4,109	NA	4.109	389.1 / 94.7	NA	\$4,109	NA	\$4,000
31 USC 3602(a)(1)	PROGRAM FRAUD CIVIL REMEDIES ACT/VIOLATION INVOLVING FALSE CLAIM	NA	\$5,000	NA	\$5,933	NA	1.187	389.1 / 327.9	NA	\$5,933	NA	\$5,000
31 USC 3602(a)(2)	PROGRAM FRAUD CIVIL REMEDIES ACT/VIOLATION INVOLVING FALSE STATEMENT	NA	\$5,000	NA	\$5,933	NA	1.187	389.1 / 327.9	NA	\$5,933	NA	\$5,000
U.S. DEPT. OF DEFENSE												
U.S. ARMY CORPS OF ENGINEERS												
33 USC 1319(g)(2)(A)	SEC. 404 PERMIT CONDITION VIOLATION, CLASS I	NA	\$10,000	NA	\$11,441	NA	1.144	389.1 / 340.1	NA	\$11,441	NA	\$10,000
33 USC 1319(g)(2)(A)	SEC. 404 PERMIT CONDITION VIOLATION, CLASS I	NA	\$25,000	NA	\$28,602	NA	1.144	389.1 / 340.1	NA	\$28,602	NA	\$25,000
33 USC 1319(g)(2)(B)	SEC. 404 PERMIT CONDITION VIOLATION, CLASS II	NA	\$10,000	NA	\$11,441	NA	1.144	389.1 / 340.1	NA	\$11,441	NA	\$10,000
33 USC 1319(g)(2)(E)	SEC. 404 PERMIT CONDITION VIOLATION, CLASS II	NA	\$125,000	NA	\$143,008	NA	1.144	389.1 / 340.1	NA	\$143,008	NA	\$140,000
U.S. DEPT. OF EDUCATION												
20 USC 1094(a)(2)(B)(i)	INSTITUTION VIOLATING TITLE IV OF HIGHER EDUCATION ACT OR MISREPRESENTATION OF	NA	\$25,000	NA	\$39,287	NA	1.571	389.1 / 247.5	NA	\$39,287	NA	\$40,000
20 USC 1092(G)	LENDERS & GUARANTEE AGENCIES/UNCORRECTED VIOLATIONS, FAILURES, MISREPRESENT	NA	\$25,000	NA	\$39,287	NA	1.187	389.1 / 327.9	NA	\$39,287	NA	\$30,000

NOTES: (1) THE "INFLATION FACTOR" IS THE RESULT OF DIVIDING THE JUNE 1980 CPI BY THE CPI FOR JUNE OF THE YEAR OF LAST ADJUSTMENT.

EXHIBIT 2—CIVIL MONETARY PENALTY INFLATION ADJUSTMENTS

U.S. CODE CITATION	CIVIL MONETARY PENALTY DESCRIPTION	MINIMUM PENALTY AMOUNT SET BY LAW AS OF 3/1/81	MAXIMUM PENALTY AMOUNT SET BY LAW AS OF 3/1/81	INFLATION FACTOR CALCULATION	INFLATION FACTOR	MINIMUM PENALTY AMOUNT		MAXIMUM PENALTY AMOUNT	
						AFTER RAW INFLATION ADJUSTMENT	AFTER ROUNDING	AFTER RAW INFLATION ADJUSTMENT	AFTER ROUNDING
U.S. DEPT. OF ENERGY									
FEDERAL ENERGY REGULATORY COMMISSION									
15 USC 3414(d)(6)(A)(1988)	NATURAL GAS POLICY ACT/Violations	NA	\$5,000	389.17185.3	1.982	NA	\$9,982	NA	\$10,000
16 USC 825(a)(1988)	FEDERAL POWER ACT/Violations INVOLVING WILLFUL FAILURE TO WIN PRESCRIBED TIME	NA	\$1,000	389.17141.3	9.487	NA	\$9,487	NA	\$9,000
17 USC 823b(6)(1988)	FEDERAL POWER ACT/Violation BY LICENSEE, PERMITTEE, EXEMPTEE	NA	\$10,000	389.17127.9	1.187	NA	\$11,856	NA	\$10,000
49 USC 610X(1988)	INTERSTATE COMMERCE ACT/Violations INVOLVING OIL PIPELINES	NA	\$500	389.17129.3	19.280	NA	\$6,640	NA	\$7,000
		NA	\$25	389.17129.3	13.280	NA	\$332	NA	\$300
ENVIRONMENTAL PROTECTION AGENCY									
7 USC 136	FEDERAL INSECTICIDE, FUNGICIDE, & ROBOTICIDE ACT CIVIL PENALTY	NA	\$5,000	389.17165.3	1.982	NA	\$9,982	NA	\$10,000
15 USC 2401	ASBESTOS HAZARD EMERGENCY RESPONSE ACT CIVIL PENALTY	NA	\$5,000	389.17127.9	1.187	NA	\$5,923	NA	\$5,000
15 USC 2401	TOXIC SUBSTANCES CONTROL ACT CIVIL PENALTY	NA	\$25,000	389.17170.1	2.287	NA	\$57,187	NA	\$55,000
31 USC 3602(a)(1)	PROGRAM FRAUD CIVIL REMEDIES ACT/Violation INVOLVING FALSE CLAIM	NA	\$5,000	389.17127.9	1.187	NA	\$5,923	NA	\$5,000
31 USC 3802(a)(2)	PROGRAM FRAUD CIVIL REMEDIES ACT/Violation INVOLVING FALSE STATEMENT	NA	\$5,000	389.17127.9	1.187	NA	\$5,923	NA	\$5,000
33 USC 1318(c)	CLEAN WATER ACT VIOLATION/CIVIL JUDICIAL PENALTY	NA	\$25,000	389.17140.1	1.144	NA	\$28,692	NA	\$30,000
33 USC 1319(g)(2)(A)	CLEAN WATER ACT VIOLATION/ADMINISTRATIVE PENALTY	NA	\$125,000	389.17140.1	1.144	NA	\$143,008	NA	\$140,000
33 USC 1319(g)(2)(B)	CLEAN WATER ACT VIOLATION/ADMINISTRATIVE PENALTY	NA	\$25,000	389.17140.1	1.000	NA	\$25,000	NA	\$25,000
33 USC 1321(b)(6)(B)(i)	CLEAN WATER ACT VIOLATION/ADMINISTRATIVE PENALTY OF SEC 311(b)(3)&(4)	NA	\$25,000	389.17140.1	1.000	NA	\$25,000	NA	\$25,000
33 USC 1321(b)(6)(B)(ii)	CLEAN WATER ACT VIOLATION/ADMINISTRATIVE PENALTY OF SEC 311(b)(3)&(4)	NA	\$25,000	389.17140.1	1.000	NA	\$25,000	NA	\$25,000
33 USC 1321(b)(7)(A)	CLEAN WATER ACT VIOLATION/CIVIL JUDICIAL PENALTY OF SEC 311(b)(3)	NA	\$25,000	389.17140.1	1.000	NA	\$25,000	NA	\$25,000
33 USC 1321(b)(7)(B)	CLEAN WATER ACT VIOLATION/CIVIL JUDICIAL PENALTY OF SEC 311(b)(3)	NA	\$25,000	389.17140.1	1.000	NA	\$25,000	NA	\$25,000
33 USC 1321(b)(7)(C)	CLEAN WATER ACT VIOLATION/CIVIL JUDICIAL PENALTY OF SEC 311(b)(3)	NA	\$25,000	389.17140.1	1.000	NA	\$25,000	NA	\$25,000
33 USC 1321(b)(7)(D)	CLEAN WATER ACT VIOLATION/CIVIL JUDICIAL PENALTY OF SEC 311(b)(3)	NA	\$100,000	389.17140.1	1.000	NA	\$100,000	NA	\$100,000
42 USC 300g-3(c)	SAFE DRINKING WATER ACT/CIVIL JUDICIAL PENALTY OF SEC 311(b)(3)	NA	\$25,000	389.17127.9	1.187	NA	\$29,668	NA	\$30,000
42 USC 300g-3(d)	SAFE DRINKING WATER ACT/CIVIL JUDICIAL PENALTY OF SEC 311(b)(3)	NA	\$25,000	389.17127.9	1.187	NA	\$29,668	NA	\$30,000
42 USC 300h-2(b)	SAFE DRINKING WATER ACT/CIVIL JUDICIAL PENALTY OF SEC 1414(c)	NA	\$25,000	389.17127.9	1.187	NA	\$29,668	NA	\$30,000
42 USC 300h-2(c)(1)	CIVIL JUDICIAL PENALTY/Violations OF REGS -- UNDERGROUND INJECTION CONTROL	NA	\$125,000	389.17127.9	1.187	NA	\$148,330	NA	\$150,000
42 USC 300h-2(c)(2)	CIVIL ADMIN PENALTY/Violations OF REGS -- UNDERGROUND INJECTION CONTROL	NA	\$125,000	389.17127.9	1.187	NA	\$148,330	NA	\$150,000
42 USC 300h-3(c)	CIVIL ADMIN PENALTY/Violations OF REGS -- UNDERGROUND INJECTION CONTROL	NA	\$50,000	389.17140.5	2.649	NA	\$26,467	NA	\$25,000
42 USC 300h-3(c)	WILLFUL VIOLATION/OPERATION OF NEW UNDERGROUND INJECTION WELL	NA	\$5,000	389.17140.5	2.649	NA	\$13,244	NA	\$15,000
42 USC 300h-3(c)	VIOLATION/OPERATION OF NEW UNDERGROUND INJECTION WELL	NA	\$50,000	389.17140.5	2.649	NA	\$59,332	NA	\$60,000
42 USC 300l-1(c)	TAMPERING WITH PUBLIC WATER SYSTEM/CIVIL JUDICIAL PENALTY	NA	\$5,000	389.17140.5	2.649	NA	\$13,244	NA	\$15,000
42 USC 300l-1(c)	KNOWING FAILURE TO COMPLY WITH SEC. 1411(a)(1)	NA	\$2,500	389.17140.5	2.649	NA	\$6,622	NA	\$7,000
42 USC 300l-2(a)	FAILURE TO COMPLY WITH SEC. 1411(a)(1)	NA	\$25,000	389.17140.5	1.187	NA	\$29,668	NA	\$30,000
42 USC 300l-4(c)	REFUSAL TO COMPLY WITH REGS. OF SEC. 1445(a) OR (b)	NA	\$50,000	389.17140.5	1.101	NA	\$55,035	NA	\$55,000
42 USC 300l-22(d)	VIOLATIONS/SECTION 1489(b)	NA	\$800	389.17140.5	1.101	NA	\$890	NA	\$700
42 USC 1414a(b)	MARINE PROTECTION RESEARCH & SANCTUARIES ACT VIOL SEC 104(b)(1)(B)	NA	\$125,000	389.17140.5	1.101	NA	\$137,588	NA	\$140,000
42 USC 1415(a)	MARINE PROTECTION RESEARCH AND SANCTUARIES ACT VIOLATIONS	NA	\$5,000	389.17140.5	1.101	NA	\$5,500	NA	\$5,000
42 USC 1431(c)	FAILURE TO COMPLY WITH SEC. 1431(a) ORDER	NA	\$5,000	389.17140.5	2.649	NA	\$13,244	NA	\$15,000
42 USC 6922(a)(3)	RESOURCE CONSERVATION & RECOVERY ACT/Violation SUBTITLE C ASSESSED PER ORDER	NA	\$25,000	389.17107.7	1.252	NA	\$31,308	NA	\$30,000
42 USC 6922(b)	RES. CONSERV. & REC. ACT/CONTINUED NONCOMPLIANCE OF COMPLIANCE ORDER	NA	\$25,000	389.17107.7	1.252	NA	\$31,308	NA	\$30,000
42 USC 6922(c)	RESOURCE CONSERVATION & RECOVERY ACT/Violation SUBTITLE C	NA	\$25,000	389.17107.7	2.287	NA	\$57,187	NA	\$55,000
42 USC 6922(d)	RES. CONSERV. & REC. ACT/Noncompliance OF CORRECTIVE ACTION ORDER	NA	\$25,000	389.17107.7	1.252	NA	\$31,308	NA	\$30,000

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EXHIBIT 2--CIVIL MONETARY PENALTY INFLATION ADJUSTMENTS

U.S. CODE CITATION	CIVIL MONETARY PENALTY DESCRIPTION	MINIMUM PENALTY AMOUNT		MAXIMUM PENALTY AMOUNT		INFLATION FACTOR CALCULATION	MINIMUM PENALTY AMOUNT AFTER INFLATION ADJUSTMENT		MAXIMUM PENALTY AMOUNT AFTER INFLATION ADJUSTMENT		MINIMUM PENALTY AMOUNT AFTER ROUNDING	MAXIMUM PENALTY AMOUNT AFTER ROUNDING
		SET BY LAW AS OF 3/1/81	SET BY LAW AS OF 3/1/81	SET BY LAW AS OF 3/1/81	SET BY LAW AS OF 3/1/81		INFLATION FACTOR	INFLATION ADJUSTMENT	INFLATION ADJUSTMENT	INFLATION ADJUSTMENT		
42 USC 6934(f)	RES. CONS. & REC. ACT/NONCOMPLIANCE WITH SECTION 3013 ORDER	NA	\$5,000	NA	\$5,000	385.1 / 371.7	2.287	NA	\$11,437	NA	NA	\$10,000
42 USC 6975(b)	RES. CONS. & REC. ACT/NONCOMPLIANCE WITH ADMINISTRATIVE ORDER	NA	\$5,000	NA	\$5,000	385.1 / 371.7	2.287	NA	\$11,437	NA	NA	\$10,000
42 USC 6991(a)(3)	RES. CONS. & REC. ACT/NONCOMPLIANCE WITH UST ADMIN. ORDER	NA	\$25,000	NA	\$25,000	385.1 / 371.7	1.252	NA	\$31,308	NA	NA	\$30,000
42 USC 6991(a)(1)	RES. CONS. & REC. ACT/FAILURE TO NOTIFY OR SUBMIT FALSE INFO	NA	\$10,000	NA	\$10,000	385.1 / 371.7	1.252	NA	\$12,623	NA	NA	\$15,000
42 USC 6991(a)(2)	VIOLATIONS OF SPECIFIED UST REGULATORY REQUIREMENTS	NA	\$10,000	NA	\$10,000	385.1 / 371.7	1.252	NA	\$12,623	NA	NA	\$15,000
42 USC 6992(a)(2)	NONCOMPLIANCE WITH REGULATORY REQUIREMENTS	NA	\$25,000	NA	\$25,000	385.1 / 371.7	1.101	NA	\$27,516	NA	NA	\$30,000
42 USC 6992(a)(4)	NONCOMPLIANCE WITH REGULATORY REQUIREMENTS	NA	\$25,000	NA	\$25,000	385.1 / 371.7	1.101	NA	\$27,516	NA	NA	\$30,000
42 USC 6992(d)	NONCOMPLIANCE WITH REGULATORY REQUIREMENTS	NA	\$25,000	NA	\$25,000	385.1 / 371.7	1.101	NA	\$27,516	NA	NA	\$30,000
42 USC 7413(b)	AMEND BY PL1 CLEAN AIR ACT/VIOLATION/OWNERS & OPS OF STATIONARY AIR POLLUTION SOURCES	NA	\$25,000	NA	\$25,000	385.1 / 371.7	2.140	NA	\$69,507	NA	NA	\$65,000
42 USC 752A	TAMPERING OR MANUFACTURE/SALE OF DEFEAT DEVICES	NA	\$25,000	NA	\$25,000	385.1 / 371.7	1.000	NA	\$25,000	NA	NA	\$25,000
42 USC 7545(d)	VIOLATIONS OF FUELS REGULATIONS	NA	\$25,000	NA	\$25,000	385.1 / 371.7	1.000	NA	\$25,000	NA	NA	\$25,000
42 USC 9604(a)(9)(B)	SUPERFUND AMEND. & REAUTHORIZATION ACT/NONCOMPLIANCE WITH REQUEST	NA	\$25,000	NA	\$25,000	385.1 / 371.7	1.000	NA	\$25,000	NA	NA	\$25,000
42 USC 9608(b)	SUPERFUND/WORK NOT PERFORMED WITH IMMINENT, SUBSTANTIAL ENDANGERMENT	NA	\$25,000	NA	\$25,000	385.1 / 371.7	1.000	NA	\$25,000	NA	NA	\$25,000
42 USC 9609(a)(3)&(c)	SUPERFUND/ADMIN. PENALTY VIOLATIONS--SUBSEQUENT	NA	\$75,000	NA	\$75,000	385.1 / 371.7	1.000	NA	\$75,000	NA	NA	\$75,000
42 USC 9609(a)(3)&(c)	SUPERFUND/ADMIN. PENALTY VIOLATIONS UNDER 42 USC SECT. 9603, 9608, OR 9622	NA	\$75,000	NA	\$75,000	385.1 / 371.7	1.000	NA	\$75,000	NA	NA	\$75,000
42 USC 9609(a)(3)&(c)	SUPERFUND/CIVIL JUDICIAL PENALTY VIOLATIONS OF SECT. 9603, 9608, 9622	NA	\$75,000	NA	\$75,000	385.1 / 371.7	1.000	NA	\$75,000	NA	NA	\$75,000
42 USC 11001	EMERGENCY PLANNING AND COMMUNITY RIGHT-TO-KNOW ACT CIVIL PENALTY	NA	\$75,000	NA	\$75,000	385.1 / 371.7	1.187	NA	\$88,998	NA	NA	\$90,000
EQUAL EMPLOYMENT OPPORTUNITY COMMISSION												
42 USC 20004--10(b)	FAILURE TO POST NOTICE/APPLICABILITY OF TITLE VII	NA	\$100	NA	\$100	385.1 / 371.7	4.188	NA	\$419	NA	NA	\$400
FARM CREDIT ADMINISTRATION												
12 USC 2268(e)	VIOLATION/FAIR CREDIT ACT OR ANY REGULATION UNDER THE ACT	NA	\$500	NA	\$500	385.1 / 371.7	1.101	NA	\$550	NA	NA	\$600
FEDERAL COMMUNICATIONS COMMISSION												
47 USC 202(C)	VIOLATION/COMMON CARRIER DISCRIMINATION OR PREFERENCE UNLIKE COMM. SVC.	NA	\$6,000	NA	\$6,000	385.1 / 371.7	1.047	NA	\$6,281	NA	NA	\$6,000
47 USC 203(e)	COMMON CARRIER TARIFFS	NA	\$300	NA	\$300	385.1 / 371.7	1.047	NA	\$314	NA	NA	\$300
47 USC 205(b)	ANY CHARGE OR PROVISION IN VIOLATION OF COMMON CARRIER PRESCRIPTION	NA	\$12,000	NA	\$12,000	385.1 / 371.7	1.047	NA	\$12,562	NA	NA	\$15,000
47 USC 214(c)	COMMON CARRIER FAILURE TO PROVIDE ADEQUATE FACILITY, EXTEND LINE, OR EST. PUBLI	NA	\$1,200	NA	\$1,200	385.1 / 371.7	1.047	NA	\$1,256	NA	NA	\$1,000
47 USC 210(b)	COMMON CARRIER REPORTS	NA	\$1,200	NA	\$1,200	385.1 / 371.7	1.047	NA	\$1,256	NA	NA	\$1,000
47 USC 220(d)	COMMON CARRIER FAILURE/REFUSAL TO KEEP/SUBMIT ACCTS, RECORDS, MEMORANDA	NA	\$6,000	NA	\$6,000	385.1 / 371.7	1.047	NA	\$6,281	NA	NA	\$6,000
47 USC 223	OBSCENE/INDECENT TELEPHONE COMMUNICATIONS	NA	\$5,000	NA	\$5,000	385.1 / 371.7	1.047	NA	\$5,234	NA	NA	\$5,000
47 USC 362, 386	SHIP RADIO/FINE FOR OWNER	NA	\$5,000	NA	\$5,000	385.1 / 371.7	1.047	NA	\$5,234	NA	NA	\$5,000
47 USC 362, 386	SHIP RADIO/FINE FOR MASTER	NA	\$1,000	NA	\$1,000	385.1 / 371.7	1.047	NA	\$1,047	NA	NA	\$1,000

NOTES: (1) THE "INFLATION FACTOR" IS THE RESULT OF DIVIDING THE JUNE 1990 CPI BY THE CPI FOR JUNE OF THE YEAR OF LAST ADJUSTMENT.

EXHIBIT 2--CIVIL MONETARY PENALTY INFLATION ADJUSTMENTS

U.S. CODE CITATION	CIVIL MONETARY PENALTY DESCRIPTION	MINIMUM PENALTY AMOUNT		MAXIMUM PENALTY AMOUNT		INFLATION FACTOR	MINIMUM PENALTY AMOUNT AFTER INFLATION ADJUSTMENT		MAXIMUM PENALTY AMOUNT AFTER INFLATION ADJUSTMENT		
		SET BY LAW AS OF 3/1/91	SET BY LAW AS OF 6/1/91	SET BY LAW AS OF 3/1/91	SET BY LAW AS OF 6/1/91		FL 101-410 ROUNDING	FL 101-410 ROUNDING	FL 101-410 ROUNDING	FL 101-410 ROUNDING	
47 USC 503	VOLATIONS/COMMUNICATIONS ACT, RULES, ORDERS OR AUTHORIZATIONS -- COMMON CARRIERS & APPLICANTS	NA	\$100,000	NA	\$1,046,612	1.047	NA	\$104,661	NA	\$100,000	
		389.1/371.7		389.1/371.7		1.047	NA	\$1,046,612	NA	\$1,050,000	
		389.1/371.7		389.1/371.7		1.047	NA	\$26,170	NA	\$26,000	
		389.1/371.7		389.1/371.7		1.047	NA	\$251,763	NA	\$250,000	
		389.1/371.7		389.1/371.7		1.047	NA	\$10,468	NA	\$10,000	
47 USC 507	GREAT LAKES AGREEMENT/FINE FOR OWNER	NA	\$75,000	NA	\$78,611	1.047	NA	\$78,611	NA	\$80,000	
47 USC 507	GREAT LAKES AGREEMENT/FINE FOR MASTER	NA	\$500	NA	\$482	4.822	NA	\$482	NA	\$2,000	
47 USC 554	CABLE EEO	NA	\$100	NA	\$482	4.822	NA	\$482	NA	\$500	
		389.1/307.7		389.1/307.7		1.252	NA	\$250	NA	\$300	
		389.1/310.7		389.1/310.7							
FEDERAL DEPOSIT INSURANCE CORPORATION											
12 USC 1817(a)	FILING LATE OR MISLEADING BANK CALL REPORTS	NA	\$2,000	NA	\$2,094	1.047	NA	\$2,094	NA	\$2,000	
		389.1/371.7		389.1/371.7		1.047	NA	\$20,938	NA	\$20,000	
		389.1/371.7		389.1/371.7		1.047	NA	\$1,046,612	NA	\$1,050,000	
		389.1/371.7		389.1/371.7							
12 USC 1817(b)(15)	VOLATIONS/CHANGE IN BANK CONTROL ACT (CBCA)	NA	\$5,000	NA	\$5,284	1.047	NA	\$5,284	NA	\$5,000	
		389.1/371.7		389.1/371.7		1.047	NA	\$26,170	NA	\$25,000	
		389.1/371.7		389.1/371.7		1.047	NA	\$1,046,612	NA	\$1,050,000	
		389.1/371.7		389.1/371.7							
12 USC 1818(n)(2)	VOLATIONS/ANY LAW, FINAL ORDER, OR APPLICATION	NA	\$5,000	NA	\$5,284	1.047	NA	\$5,284	NA	\$5,000	
		389.1/371.7		389.1/371.7		1.047	NA	\$26,170	NA	\$25,000	
		389.1/371.7		389.1/371.7		1.047	NA	\$1,046,612	NA	\$1,050,000	
		389.1/371.7		389.1/371.7							
12 USC 1828(a)	INCORRECT DISPLAY OF INSURANCE LOGO	NA	\$100	NA	\$545	5.450	NA	\$545	NA	\$500	
12 USC 1828(b)	REFUSAL TO FILE CERT. STATEMENT OR PAY ASSESSMENT	NA	\$100	NA	\$545	5.450	NA	\$545	NA	\$500	
		389.1/771.4		389.1/771.4							
12 USC 1828(j)(4)	VOLATIONS OF FEDERAL RESERVE ACT (FRA)	NA	\$5,000	NA	\$5,284	1.047	NA	\$5,284	NA	\$5,000	
		389.1/371.7		389.1/371.7		1.047	NA	\$26,170	NA	\$25,000	
		389.1/371.7		389.1/371.7		1.047	NA	\$1,046,612	NA	\$1,050,000	
		389.1/371.7		389.1/371.7							
12 USC 1828k(j)	VIOLATION OF 1828b - RETENTION OF RECORDS	NA	\$10,000	NA	\$11,007	1.101	NA	\$11,007	NA	\$10,000	
		389.1/353.5		389.1/353.5							

NOTES: (1) THE "INFLATION FACTOR" IS THE RESULT OF DIVIDING THE JUNE 1990 CPI BY THE CPI FOR JUNE OF THE YEAR OF LAST ADJUSTMENT.

EXHIBIT 2—CIVIL MONETARY PENALTY INFLATION ADJUSTMENTS

U.S. CODE CITATION	CIVIL MONETARY PENALTY DESCRIPTION	MINIMUM PENALTY AMOUNT		MAXIMUM PENALTY AMOUNT		INFLATION FACTOR CALCULATION	MINIMUM PENALTY AMOUNT AFTER RAW INFLATION ADJUSTMENT		MAXIMUM PENALTY AMOUNT AFTER RAW INFLATION ADJUSTMENT		MINIMUM PENALTY AMOUNT AFTER ROUNDING		MAXIMUM PENALTY AMOUNT AFTER ROUNDING	
		3/1/81	AS OF 3/1/81	3/1/81	SET BY LAW		3/1/81	AS OF 3/1/81	1.047	NA	1.047	NA	1.047	NA
12 USC 1972a(f)	VIOLATIONS/BANK COMPANY ACT ("1970 ACT")													
	— VIOLATIONS OF 1970 ACT	NA	\$5,000	NA	\$5,000	399.173717	1.047	NA	\$5,284	NA	NA	NA	\$5,000	
	— VIOLATIONS OF 1970 ACT W/MORE THAN MIN. LOSS	NA	\$25,000	NA	\$25,000	399.173717	1.047	NA	\$26,170	NA	NA	NA	\$25,000	
	— VIOLATIONS OF 1970 ACT W/SUBSTANTIAL LOSS	NA	\$1,000,000	NA	\$1,000,000	399.173717	1.047	NA	\$1,046,812	NA	NA	NA	\$1,050,000	
12 USC 3108(b)	VIOLATIONS/INTL. BANKING ACT OF 1978 (IBA)													
	— VIOLATIONS OF IBA	NA	\$5,000	NA	\$5,000	399.173717	1.047	NA	\$5,284	NA	NA	NA	\$5,000	
	— VIOLATIONS OF IBA W/MORE THAN MIN. LOSS	NA	\$25,000	NA	\$25,000	399.173717	1.047	NA	\$26,170	NA	NA	NA	\$25,000	
	— VIOLATIONS OF IBA W/SUBSTANTIAL LOSS	NA	\$1,000,000	NA	\$1,000,000	399.173717	1.047	NA	\$1,046,812	NA	NA	NA	\$1,050,000	
12 USC 3809	VIOLATIONS/INTL. LENDING SUPERVISION ACT (ILSA)													
	— VIOLATIONS OF ILSA	NA	\$5,000	NA	\$5,000	399.173717	1.047	NA	\$5,284	NA	NA	NA	\$5,000	
	— VIOLATIONS OF ILSA W/MORE THAN MIN. LOSS	NA	\$25,000	NA	\$25,000	399.173717	1.047	NA	\$26,170	NA	NA	NA	\$25,000	
	— VIOLATIONS OF ILSA W/SUBSTANTIAL LOSS	NA	\$1,000,000	NA	\$1,000,000	399.173717	1.047	NA	\$1,046,812	NA	NA	NA	\$1,050,000	
12 USC 3849	VIOLATIONS OF FRB'S APPRAISER REGULATIONS													
	— VIOLATIONS OF REGS.	NA	\$5,000	NA	\$5,000	399.173717	1.047	NA	\$5,284	NA	NA	NA	\$5,000	
	— VIOLATIONS OF REGS. W/MORE THAN MIN. LOSS	NA	\$25,000	NA	\$25,000	399.173717	1.047	NA	\$26,170	NA	NA	NA	\$25,000	
	— VIOLATIONS OF REGS. W/SUBSTANTIAL LOSS	NA	\$1,000,000	NA	\$1,000,000	399.173717	1.047	NA	\$1,046,812	NA	NA	NA	\$1,050,000	
15 USC 78j-2	WILLFUL VIOLATIONS OF FEDERAL SECURITIES LAWS													
	— VIOLATIONS/NATURAL PERSON	NA	\$5,000	NA	\$5,000	399.173851	1.000	NA	\$5,000	NA	NA	NA	\$5,000	
	— VIOLATIONS/ALL OTHERS	NA	\$50,000	NA	\$50,000	399.173851	1.000	NA	\$50,000	NA	NA	NA	\$50,000	
	— RECKLESS VIOLATIONS/NATURAL PERSONS	NA	\$50,000	NA	\$50,000	399.173851	1.000	NA	\$50,000	NA	NA	NA	\$50,000	
	— RECKLESS VIOLATIONS/ALL OTHERS	NA	\$250,000	NA	\$250,000	399.173851	1.000	NA	\$250,000	NA	NA	NA	\$250,000	
	— DELIBERATE VIOLATIONS/NATURAL PERSONS	NA	\$100,000	NA	\$100,000	399.173851	1.000	NA	\$100,000	NA	NA	NA	\$100,000	
	— DELIBERATE VIOLATIONS/ALL OTHERS	NA	\$500,000	NA	\$500,000	399.173851	1.000	NA	\$500,000	NA	NA	NA	\$500,000	
FEDERAL EMERGENCY MANAGEMENT AGENCY														
42 USC 5157(b)(7)	VIOLATIONS/STAFFORD ACT PL. 100-707	NA	\$6,000	NA	\$6,000	399.173535	1.101	NA	\$6,604	NA	NA	NA	\$6,000	
FEDERAL MARITIME COMMISSION														
46 USC APP. S. 814	FILING OF AGREEMENTS, ETC. UNDER SHIPPING ACT, 1916	NA	\$1,000	NA	\$1,000	399.172500	3.113	NA	\$3,113	NA	NA	NA	\$3,000	
46 USC APP. S. 815	UNDUE PREFERENCE/INFLUENCE INSURANCE COS. TO DISCRIMINATE/SHIPPING ACT, 1916	NA	\$5,000	NA	\$5,000	399.172500	3.113	NA	\$15,964	NA	NA	NA	\$15,000	
46 USC APP. S. 815	UNFAIR MEANS TO OBTAIN LOWER RATES/SHIPPING ACT, 1916	NA	\$25,000	NA	\$25,000	399.172500	1.786	NA	\$44,910	NA	NA	NA	\$45,000	

NOTES: (1) THE "INFLATION FACTOR" IS THE RESULT OF DIVIDING THE JUNE 1980 CPI BY THE CPI FOR JUNE OF THE YEAR OF LAST ADJUSTMENT.

EXHIBIT 2--CIVIL MONETARY PENALTY INFLATION ADJUSTMENTS

U.S. CODE CITATION	CIVIL MONETARY PENALTY DESCRIPTION	MINIMUM PENALTY AMOUNT SET BY LAW AS OF 3/1/81	MAXIMUM PENALTY AMOUNT SET BY LAW AS OF 3/1/81	INFLATION FACTOR CALCULATION	MINIMUM PENALTY AMOUNT		MAXIMUM PENALTY AMOUNT		
					AFTER RAW INFLATION ADJUSTMENT	AFTER ROUNDING	AFTER RAW INFLATION ADJUSTMENT	AFTER ROUNDING	
46 USC APP. S. 8174 & *	FAILURE TO ESTABLISH FIN. RESPS. FOR DEATH/INJURY OR NONPERFORMANCE	NA	\$5,000	395.7/371.7	NA	\$20,036	NA	\$20,000	
46 USC APP. S. 820	FAILURE TO FILE REQ. DOCUMENTATION/SHIPPING ACT, 1916	NA	\$200	395.7/371.7	4.007	\$801	NA	\$800	
46 USC APP. S. 820	FAILURE TO FILE ANTI-REBATE CERTIFICATION/SHIPPING ACT, 1916	NA	\$100	395.7/371.7	12.009	\$1,201	NA	\$1,000	
46 USC APP. S. 831	VIOLATION/RULES, REGS., ORDERS/SHIPPING ACT, 1916	NA	\$5,000	395.7/371.7	1.786	\$8,982	NA	\$9,000	
46 USC APP. S. 831	VIOLATION/SECT. 14--21 OF SHIPPING ACT, 1916	NA	\$1,000	395.7/371.7	3.113	\$3,113	NA	\$3,000	
46 USC APP. S. 844	VIOLATION/INTERCOASTAL SHIPPING ACT OF 1933	NA	\$5,000	395.7/371.7	3.113	\$15,564	NA	\$15,000	
46 USC APP. S. 876	FAILURE TO PROVIDE REQ. REPTS/RESPOND TO SUBPOENA/MERCHANT MARINE ACT, 1920	NA	\$1,000	395.7/371.7	1.000	\$3,113	NA	\$3,000	
46 USC APP. S. 876	ADVERSE SHIPPING CONDITIONS DETERMINATION/MERCHANT MARINE ACT, 1920	NA	\$5,000,000	395.7/371.7	1.000	\$1,000,000	NA	\$1,000,000	
46 USC APP. S. 878	OPERATING WHERE TARIFF SUSPENDED/MERCHANT MARINE ACT, 1920	NA	\$50,000	395.7/371.7	1.000	\$50,000	NA	\$50,000	
46 USC APP. S. 1710a	ADVERSE IMPACT ON US CARRIERS BY FOREIGN SHIPPING PRACTICES	NA	\$1,000,000	395.7/371.7	1.101	\$1,100,707	NA	\$1,100,000	
46 USC APP. S. 1712	OPERATING IN U.S. FOREIGN COMMERCE AFTER TARIFF SUSPENSION	NA	\$50,000	395.7/371.7	1.252	\$62,617	NA	\$65,000	
46 USC APP. S. 1712	VIOLATION/KNOWING & WILLFUL/SHIPPING ACT OF 1984	NA	\$25,000	395.7/371.7	1.252	\$31,308	NA	\$30,000	
46 USC APP. S. 1712	VIOLATION/NOT KNOWING & WILLFUL/SHIPPING ACT OF 1984	NA	\$5,000	395.7/371.7	1.252	\$6,262	NA	\$6,000	
46 USC APP. S. 1714	FAILURE TO FILE ANTI-REBATE CERT. PER SHIPPING ACT OF 1984	NA	\$5,000	395.7/371.7	1.252	\$6,262	NA	\$6,000	
FEDERAL RESERVE BOARD									
12 USC 342	FILEING LATE OR MISLEADING BANK CALL REPORTS	NA	\$2,000	395.7/371.7	1.047	\$2,094	NA	\$2,000	
	--INADVERTENT LATE OR MISLEADING REPORTS	NA	\$20,000	395.7/371.7	1.047	\$20,898	NA	\$20,000	
	--KNOWING, RECKLESS LATE, OR MISLEADING REPTS	NA	\$1,000,000	395.7/371.7	1.047	\$1,046,812	NA	\$1,050,000	
12 USC 604 & 605	VIOLATIONS OF FEDERAL RESERVE ACT (FRA)	NA	\$5,000	395.7/371.7	1.047	\$5,234	NA	\$5,000	
	-- VIOLATIONS OF FRA	NA	\$25,000	395.7/371.7	1.047	\$26,170	NA	\$25,000	
	-- VIOLATIONS OF FRA W/MORE THAN MIN. LOSS	NA	\$1,000,000	395.7/371.7	1.047	\$1,046,812	NA	\$1,050,000	
12 USC 1617 (1)(9)	VIOLATIONS/CHANGE IN BANK CONTROL ACT (GBCA)	NA	\$5,000	395.7/371.7	1.047	\$5,234	NA	\$5,000	
	-- VIOLATIONS OF GBCA	NA	\$25,000	395.7/371.7	1.047	\$26,170	NA	\$25,000	
	-- VIOLATIONS OF GBCA W/MORE THAN MIN. LOSS	NA	\$1,000,000	395.7/371.7	1.047	\$1,046,812	NA	\$1,050,000	
12 USC 1616 (1)(2)	VIOLATIONS/ANY LAW, FINAL ORDER, OR APPLICATION	NA	\$5,000	395.7/371.7	1.047	\$5,234	NA	\$5,000	
	-- VIOLATION	NA	\$25,000	395.7/371.7	1.047	\$26,170	NA	\$25,000	
	-- VIOLATION RESULTING IN MORE THAN MIN. LOSS	NA	\$1,000,000	395.7/371.7	1.047	\$1,046,812	NA	\$1,050,000	
	-- VIOLATION RESULTING IN SUBSTANTIAL LOSS	NA	\$1,000,000	395.7/371.7	1.047	\$1,046,812	NA	\$1,050,000	
12 USC 1647 (b)	VIOLATIONS/BANK HOLDING COMPANY ACT	NA	\$25,000	395.7/371.7	1.047	\$26,170	NA	\$25,000	

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EXHIBIT 2—CIVIL MONETARY PENALTY INFLATION ADJUSTMENTS

U.S. CODE CITATION	CIVIL MONETARY PENALTY DESCRIPTION	MINIMUM PENALTY AMOUNT		MAXIMUM PENALTY AMOUNT		INFLATION FACTOR	MINIMUM PENALTY AMOUNT		MAXIMUM PENALTY AMOUNT	
		AS OF 3/1/91	SET BY LAW AS OF 3/1/91	AS OF 3/1/91	SET BY LAW AS OF 3/1/91		AFTER RAW INFLATION ADJUSTMENT	AFTER PL 101-410 ROUNDING	AFTER RAW INFLATION ADJUSTMENT	AFTER PL 101-410 ROUNDING
12 USC 1847(d)	FILING LATE OR MISLEADING HOLDING COMPANY REPTS --INADVERTENT LATE OR MISLEADING REPORTS --LATE OR MISLEADING REPORTS --KNOWING, RECKLESS LATE, OR MISLEADING REPTS	NA	\$2,000	389.1/371.7	NA	1.047	NA	\$2,094	NA	\$2,000
		NA	\$20,000	389.1/371.7	NA	1.047	NA	\$20,898	NA	\$20,000
		NA	\$1,000,000	389.1/371.7	NA	1.047	NA	\$1,046,812	NA	\$1,050,000
12 USC 1972(f)	VIOLATIONS/BANK COMPANY ACT ("1970 ACT") -- VIOLATIONS OF 1970 ACT -- VIOLATIONS OF 1970 ACT W/MORE THAN MIN. LOSS -- VIOLATIONS OF 1970 ACT W/SUBSTANTIAL LOSS	NA	\$5,000	389.1/371.7	NA	1.047	NA	\$5,234	NA	\$5,000
		NA	\$25,000	389.1/371.7	NA	1.047	NA	\$26,170	NA	\$25,000
		NA	\$1,000,000	389.1/371.7	NA	1.047	NA	\$1,046,812	NA	\$1,050,000
12 USC 3105(b)	VIOLATIONS/INTL. BANKING ACT OF 1978 (IBA) -- VIOLATIONS OF IBA -- VIOLATIONS OF IBA W/MORE THAN MIN. LOSS -- VIOLATIONS OF IBA W/SUBSTANTIAL LOSS	NA	\$5,000	389.1/371.7	NA	1.047	NA	\$5,234	NA	\$5,000
		NA	\$25,000	389.1/371.7	NA	1.047	NA	\$26,170	NA	\$25,000
		NA	\$1,000,000	389.1/371.7	NA	1.047	NA	\$1,046,812	NA	\$1,050,000
12 USC 6349	VIOLATIONS OF FRE'S APPRAISER REGULATIONS -- VIOLATIONS OF REGS. -- VIOLATIONS OF REGS. W/MORE THAN MIN. LOSS -- VIOLATIONS OF REGS. W/SUBSTANTIAL LOSS	NA	\$5,000	389.1/371.7	NA	1.047	NA	\$5,234	NA	\$5,000
		NA	\$25,000	389.1/371.7	NA	1.047	NA	\$26,170	NA	\$25,000
		NA	\$1,000,000	389.1/371.7	NA	1.047	NA	\$1,046,812	NA	\$1,050,000
12 USC 3009	VIOLATIONS/INTL. LENDING SUPERVISION ACT (ILSA) -- VIOLATIONS OF ILSA -- VIOLATIONS OF ILSA W/MORE THAN MIN. LOSS -- VIOLATIONS OF ILSA W/SUBSTANTIAL LOSS	NA	\$5,000	389.1/371.7	NA	1.047	NA	\$5,234	NA	\$5,000
		NA	\$25,000	389.1/371.7	NA	1.047	NA	\$26,170	NA	\$25,000
		NA	\$1,000,000	389.1/371.7	NA	1.047	NA	\$1,046,812	NA	\$1,050,000
16 USC 78j--2	WILLFUL VIOLATIONS OF FEDERAL SECURITIES LAWS -- VIOLATIONS/NATURAL PERSON -- VIOLATIONS/ALL OTHERS -- RECKLESS VIOLATIONS/NATURAL PERSONS -- RECKLESS VIOLATIONS/ALL OTHERS -- DELIBERATE VIOLATIONS/NATURAL PERSONS -- DELIBERATE VIOLATIONS/ALL OTHERS	NA	\$5,000	389.1/389.1	NA	1.000	NA	\$5,000	NA	\$5,000
		NA	\$50,000	389.1/389.1	NA	1.000	NA	\$50,000	NA	\$50,000
		NA	\$250,000	389.1/389.1	NA	1.000	NA	\$250,000	NA	\$250,000
FEDERAL TRADE COMMISSION BUREAU OF COMPETITION	VIOLATIONS/HART-SCOTT-RODINO ACT/PREMERGER NOTIFICATION RECS. VIOLATIONS OF FTC ORDERS ENTERED PURSUANT TO CLAYTON ACT VIOLATIONS OF FTC ORDERS/ASSESSED AGAINST RESPONDENTS NAMED IN ORDERS	NA	\$10,000	389.1/170.1	NA	2.287	NA	\$22,875	NA	\$25,000
		NA	\$5,000	389.1/177.3	NA	4.457	NA	\$22,285	NA	\$20,000
		NA	\$10,000	389.1/182.4	NA	2.898	NA	\$29,388	NA	\$30,000

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EXHIBIT 2—CIVIL MONETARY PENALTY INFLATION ADJUSTMENTS

U.S. CODE CITATION	CIVIL MONETARY PENALTY DESCRIPTION	MINIMUM PENALTY AMOUNT SET BY LAW AS OF 3/1/81	MAXIMUM PENALTY AMOUNT AS OF 3/1/81	INFLATION FACTOR CALCULATION	INFLATION FACTOR	MINIMUM PENALTY AMOUNT		MAXIMUM PENALTY AMOUNT	
						AFTER RAW INFLATION ADJUSTMENT	AFTER ROUNDING	AFTER RAW INFLATION ADJUSTMENT	AFTER ROUNDING
15 USC 50	FAILURE TO FILE ANNUAL OR SPECIAL REPT REQ. BY COMMISSION	NA	\$100	395.1 / 327.9	1.057	NA	\$1,308	NA	\$1,000
FEDERAL TRADE COMMISSION BUREAU OF CONSUMER PROTECTION									
15 USC 45(f)	VIOLATIONS OF FTC ORDERS/ASSESSED AGAINST RESPONDENTS NAMED IN ORDERS	NA	\$10,000	395.1 / 327.9	2.639	NA	\$28,388	NA	\$20,000
15 USC 45(m)(1)(A)	VIOLATIONS OF FTC RULES	NA	\$10,000	395.1 / 327.9	2.423	NA	\$24,228	NA	\$25,000
15 USC 45(m)(1)(B)	VIOLATIONS OF FTC ORDERS/ASSESSED AGAINST OTHER THAN THOSE NAMED AS RESPOND	NA	\$10,000	395.1 / 327.9	2.423	NA	\$24,228	NA	\$25,000
15 USC 50	FAILURE TO FILE ANNUAL OR SPECIAL REPT REQ. BY COMMISSION	NA	\$100	395.1 / 327.9	13.057	NA	\$1,308	NA	\$1,000
15 USC 1691(c)	VIOLATIONS/EQUAL CREDIT OPPORTUNITY ACT	NA	\$10,000	395.1 / 327.9	2.287	NA	\$22,875	NA	\$25,000
15 USC 1692(a)	VIOLATIONS/FAIR DEBT COLL. PRACTICES ACT	NA	\$10,000	395.1 / 327.9	1.892	NA	\$18,923	NA	\$20,000
GENERAL SERVICES ADMINISTRATION									
31 USC 3602(a)(1)	PROGRAM FRAUD CIVIL REMEDIES ACT/VIOLATION INVOLVING FALSE CLAIM	NA	\$5,000	395.1 / 327.9	1.187	NA	\$5,933	NA	\$6,000
31 USC 3602(a)(2)	PROGRAM FRAUD CIVIL REMEDIES ACT/VIOLATION INVOLVING FALSE STATEMENT	NA	\$5,000	395.1 / 327.9	1.187	NA	\$5,933	NA	\$6,000
41 USC 423	PROCUREMENT INTEGRITY ACT (INDIVIDUAL)	NA	\$100,000	395.1 / 327.9	1.101	NA	\$110,071	NA	\$110,000
41 USC 423	PROCUREMENT INTEGRITY ACT (ALL OTHER)	NA	\$1,000,000	395.1 / 327.9	1.101	NA	\$1,100,707	NA	\$1,100,000
5 APP 8 (104)(c)	ETHICS REFORM ACT	NA	\$200	395.1 / 327.9	1.047	NA	\$209	NA	\$200
U.S. DEPT. OF HEALTH AND HUMAN SERVICES									
21 USC 360(b)	FEDERAL FOOD, DRUG, AND COSMETIC ACT ---IMPROPER DISTRIBUTION OF DRUG SAMPLE ---IMPROPER DISTRIBUTION AFTER 2ND CONVICTION ---FAILURE TO REPORT CONVICTION RE: DRUG SAMPLE DISTRIBUTION	NA	\$50,000	395.1 / 327.9	1.101	NA	\$55,035	NA	\$55,000
		NA	\$100,000	395.1 / 327.9	1.101	NA	\$110,071	NA	\$110,000
		NA	\$15,000	395.1 / 327.9	1.000	NA	\$15,000	NA	\$15,000
21 USC 363(f)	FEDERAL FOOD, DRUG, AND COSMETIC ACT/VIOLATION RE: DEVICES	NA	\$1,000,000	395.1 / 327.9	1.000	NA	\$1,000,000	NA	\$1,000,000
21 USC 360pp(b)	FEDERAL FOOD, DRUG, AND COSMETIC ACT/VIOLATION RE: ELECTRONIC PRODUCT	NA	\$1,000	395.1 / 327.9	3.741	NA	\$3,741	NA	\$4,000
		NA	\$900,000	395.1 / 327.9	3.741	NA	\$1,122,404	NA	\$1,125,000
31 USC 3602(a)(1)	PROGRAM FRAUD CIVIL REMEDIES ACT/VIOLATION INVOLVING FALSE CLAIM	NA	\$5,000	395.1 / 327.9	1.187	NA	\$5,933	NA	\$6,000
31 USC 3602(a)(2)	PROGRAM FRAUD CIVIL REMEDIES ACT/VIOLATION INVOLVING FALSE STATEMENT	NA	\$5,000	395.1 / 327.9	1.187	NA	\$5,933	NA	\$6,000
42 USC 262(a)	PUBLIC HEALTH SERVICE ACT/VIOLATION OF BIOLOGICS RECALL	NA	\$100,000	395.1 / 327.9	1.187	NA	\$118,894	NA	\$120,000
42 USC 263(f)	PUBLIC HEALTH SERVICE ACT/VIOLATION RE: CLINICAL LAB	NA	\$10,000	395.1 / 327.9	1.101	NA	\$11,007	NA	\$10,000
42 USC 1200a-7a	FRAUDULENT MEDICARE/CAID CLAIMS	NA	\$2,000	395.1 / 327.9	1.434	NA	\$2,868	NA	\$3,000
42 USC 1200a-7a(a)(3)	GIVING MISLEADING INFO RE: PPS	NA	\$15,000	395.1 / 327.9	1.144	NA	\$17,151	NA	\$15,000
42 USC 1200a-7a(b)	HOSP/HMO IMPROPER LIMIT SERVICES	NA	\$2,000	395.1 / 327.9	1.187	NA	\$2,373	NA	\$2,000
42 USC 1200b-10(a)(1)	MISUSE OF TERMS SOCIAL SEC/HCPA	NA	\$5,000	395.1 / 327.9	1.101	NA	\$5,504	NA	\$6,000
		NA	\$100,000	395.1 / 327.9	1.101	NA	\$110,071	NA	\$110,000
42 USC 1200b-10(a)(1)	BROADCAST MISUSE OF TERMS SSHCPA	NA	\$25,000	395.1 / 327.9	1.101	NA	\$27,516	NA	\$30,000
42 USC 1200b-10(a)(2)	MISUSE OF HCPA/SSA SYMBOLS	NA	\$5,000	395.1 / 327.9	1.101	NA	\$5,504	NA	\$6,000
		NA	\$100,000	395.1 / 327.9	1.101	NA	\$110,071	NA	\$110,000
		NA	\$5,000	395.1 / 327.9	1.101	NA	\$5,504	NA	\$6,000
		NA	\$100,000	395.1 / 327.9	1.101	NA	\$110,071	NA	\$110,000

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EXHIBIT 2--CIVIL MONETARY PENALTY INFLATION ADJUSTMENTS

U.S. CODE CITATION	CIVIL MONETARY PENALTY DESCRIPTION	MINIMUM PENALTY AMOUNT SET BY LAW AS OF		MAXIMUM PENALTY AMOUNT SET BY LAW AS OF	INFLATION FACTOR CALCULATION	MINIMUM PENALTY AMOUNT AFTER RAW INFLATION ADJUSTMENT		MAXIMUM PENALTY AMOUNT AFTER RAW INFLATION ADJUSTMENT		MINIMUM PENALTY AMOUNT AFTER PL 101-410 ROUNDING	MAXIMUM PENALTY AMOUNT AFTER PL 101-410 ROUNDING
		3/1/81	3/1/81			INFLATION FACTOR	INFLATION ADJUSTMENT	INFLATION FACTOR	INFLATION ADJUSTMENT		
42 USC 1395b-10(a)(2)	BROADCAST MISUSE OF HCFA/SSA SYMBOLS	NA	NA	\$25,000	395.173535	1,101	NA	\$27,618	NA	NA	\$30,000
42 USC 1395bbb(b)(1)	NOTIFY HOME HEALTH AGENCY OF SURVEY	NA	NA	\$100,000	395.173535	1,101	NA	\$110,071	NA	NA	\$110,000
42 USC 1395ccc(d)	HOSP UNBUNDLING OUTPATIENT SURGERY COSTS	NA	NA	\$2,000	395.173401	1,144	NA	\$2,288	NA	NA	\$2,000
42 USC 1395ddd	HOSPITAL ANTI-DUMPING	NA	NA	\$2,000	395.173279	1,187	NA	\$2,373	NA	NA	\$2,000
42 USC 1395e-3(b)	FALSE CERT. RE: SNF RESIDENT ASSESSMENT	NA	NA	\$50,000	395.173401	1,144	NA	\$57,204	NA	NA	\$55,000
42 USC 1395e-3(b)	CAUSE ANOTHER'S FALSE CERT. RE: ASSESSMENT	NA	NA	\$5,000	395.173401	1,144	NA	\$5,720	NA	NA	\$5,000
42 USC 1395e-3(b)	NOTIFY SNF OF SURVEY	NA	NA	\$2,000	395.173401	1,144	NA	\$2,288	NA	NA	\$2,000
42 USC 1395e-3(b)	SNF NONCOMPLIANCE W/CONDITIONS OF PARTICIPATION	NA	NA	\$10,000	395.173401	1,144	NA	\$11,441	NA	NA	\$10,000
42 USC 1395e-3(b)	NON-ASSIGNED CLAIM FRD CLINICAL LAB TEST	NA	NA	\$2,000	395.173401	1,144	NA	\$2,288	NA	NA	\$2,000
42 USC 1395e-3(b)	CLAIM FOR INTRAOCULAR LENS IN CATARACT SURGERY	NA	NA	\$2,000	395.173535	1,101	NA	\$2,201	NA	NA	\$2,000
42 USC 1395e-3(b)	ASSIGNMENT REQUIRE NURSE ANESTHETIST SERV	NA	NA	\$2,000	395.173279	1,187	NA	\$2,373	NA	NA	\$2,000
42 USC 1395e-3(b)	NON-ASSIGNED CLAIM FOR MIDWIFE, ETC. SERVICES	NA	NA	\$2,000	395.173535	1,101	NA	\$2,201	NA	NA	\$2,000
42 USC 1395e-3(b)	FAILURE TO PROVIDE INFO ON REFERRING PHYSICIAN	NA	NA	\$2,000	395.173757	1,047	NA	\$2,084	NA	NA	\$2,000
42 USC 1395e-3(b)	FAILURE TO SUPPLY RENTAL DME W/O CHARGE	NA	NA	\$2,000	395.173401	1,144	NA	\$2,288	NA	NA	\$2,000
42 USC 1395e-3(b)	NON-PARTIC MID VIOL RADIOLOG CHARGE LIMIT	NA	NA	\$2,000	395.173401	1,144	NA	\$2,288	NA	NA	\$2,000
42 USC 1395e-3(b)	FAILURE TO PROVIDE HMO CARE	NA	NA	\$25,000	395.173401	1,144	NA	\$28,692	NA	NA	\$30,000
42 USC 1395e-3(b)	HMO CHARGING EXCESSIVE PREMIUMS	NA	NA	\$25,000	395.173401	1,144	NA	\$28,692	NA	NA	\$30,000
42 USC 1395e-3(b)	HMO WRONGLY EXPELS INDIVIDUALS	NA	NA	\$25,000	395.173401	1,144	NA	\$28,692	NA	NA	\$30,000
42 USC 1395e-3(b)	HMO DISCOURAGING ENROLLMENT	NA	NA	\$100,000	395.173401	1,144	NA	\$114,408	NA	NA	\$110,000
42 USC 1395e-3(b)	HMO DISCOURAGING ENROLLMENT	NA	NA	\$15,000	395.173535	1,101	NA	\$16,511	NA	NA	\$15,000
42 USC 1395e-3(b)	HMO PROVIDING FALSE INFO TO SECRETARY	NA	NA	\$100,000	395.173401	1,144	NA	\$114,408	NA	NA	\$110,000
42 USC 1395e-3(b)	HMO FALSIFYING INFO	NA	NA	\$25,000	395.173401	1,144	NA	\$28,692	NA	NA	\$30,000
42 USC 1395e-3(b)	HMO FAILS TO ENSURE SUPPLIER PROMPT PAY	NA	NA	\$25,000	395.173401	1,144	NA	\$28,692	NA	NA	\$30,000
42 USC 1395e-3(b)	HMO EMPLOYEES EXCLUDED INDIVIDUAL/ENTITY	NA	NA	\$25,000	395.173717	1,047	NA	\$26,170	NA	NA	\$25,000
42 USC 1395e-3(b)	CLINICAL LAB REFERRAL VIOLATION	NA	NA	\$16,000	395.173717	1,047	NA	\$16,702	NA	NA	\$15,000
42 USC 1395e-3(b)	IMPROPER PHYSICIAN REFERRAL OR SCHEME	NA	NA	\$100,000	395.173717	1,047	NA	\$104,981	NA	NA	\$100,000
42 USC 1395e-3(b)	FAILURE TO REPORT OWNERSHIP ARRANGEMENTS	NA	NA	\$10,000	395.173717	1,047	NA	\$10,468	NA	NA	\$10,000
42 USC 1395e-3(b)	MISREPRESENTATION OF MEDIGAP INS POLICY	NA	NA	\$5,000	395.173535	1,101	NA	\$5,504	NA	NA	\$5,000
42 USC 1395e-3(b)	SELLING MEDIGAP UNDER MEDICARE AUTH	NA	NA	\$5,000	395.173535	1,101	NA	\$5,504	NA	NA	\$5,000
42 USC 1395e-3(b)	SELL MEDIGAP DUPLICATE MEDICARE COVERAGE	NA	NA	\$5,000	395.173535	1,101	NA	\$5,504	NA	NA	\$5,000
42 USC 1395e-3(b)	USE MAIL TO SALE NON-APPROV MEDIGAP POL	NA	NA	\$2,000	395.173535	1,101	NA	\$2,201	NA	NA	\$2,000
42 USC 1395e-3(b)	PHYSICIAN ASSISTANT'S ASSIGNMENT VIOL	NA	NA	\$2,000	395.173107	1,252	NA	\$2,503	NA	NA	\$2,000
42 USC 1395e-3(b)	PHYSICIAN FEE FREEZE VIOLATION	NA	NA	\$2,000	395.173107	1,252	NA	\$2,503	NA	NA	\$2,000
42 USC 1395e-3(b)	MAXIMUM ALLOWABLE ACTUAL CHARGE	NA	NA	\$2,000	395.173279	1,187	NA	\$2,373	NA	NA	\$2,000
42 USC 1395e-3(b)	NON-PARTIC MID OVERCHARGE-DESIGNAT PROC	NA	NA	\$2,000	395.173401	1,144	NA	\$2,288	NA	NA	\$2,000
42 USC 1395e-3(b)	BILLING CATARACT SURGERY - NO APPROVAL	NA	NA	\$2,000	395.173279	1,187	NA	\$2,373	NA	NA	\$2,000
42 USC 1395e-3(b)	VIOL REFUND REQUIRE - UNNECESSARY SERVIC	NA	NA	\$2,000	395.173279	1,187	NA	\$2,373	NA	NA	\$2,000
42 USC 1395e-3(b)	REFUND - ELECTIVE SURGERY NOT COVERED	NA	NA	\$2,000	395.173279	1,187	NA	\$2,373	NA	NA	\$2,000
42 USC 1395e-3(b)	DIAGNOSTIC TEST MARKUP PROHIBITION	NA	NA	\$2,000	395.173401	1,144	NA	\$2,288	NA	NA	\$2,000
42 USC 1395e-3(b)	INTERMEDIATE CLINICAL LAB SANCTIONS	NA	NA	\$2,000	395.173535	1,101	NA	\$2,201	NA	NA	\$2,000
42 USC 1395e-3(b)	NON-ASSIGNED CLAIMS LIMITED CHARGES	NA	NA	\$10,000	395.173401	1,144	NA	\$11,441	NA	NA	\$10,000
42 USC 1395e-4(b)	ASSIGNMENT VIOL - MEDICAID ELIGIBLES	NA	NA	\$2,000	395.173717	1,047	NA	\$2,084	NA	NA	\$2,000
42 USC 1395e-4(b)	ASSIGNMENT VIOL - MEDICAID ELIGIBLES	NA	NA	\$2,000	395.173717	1,047	NA	\$2,084	NA	NA	\$2,000

NOTES: (1) THE "INFLATION FACTOR" IS THE RESULT OF DIVIDING THE JUNE 1990 CPI BY THE CPI FOR JUNE OF THE YEAR OF LAST ADJUSTMENT.

EXHIBIT 2--CIVIL MONETARY PENALTY INFLATION ADJUSTMENTS

U.S. CODE CITATION	CIVIL MONETARY PENALTY DESCRIPTION	MINIMUM PENALTY AMOUNT SET BY LAW AS OF 3/1/91	MAXIMUM PENALTY AMOUNT SET BY LAW AS OF 3/1/91	INFLATION FACTOR CALCULATION		MINIMUM PENALTY AMOUNT AFTER RAW INFLATION ADJUSTMENT		MAXIMUM PENALTY AMOUNT AFTER RAW INFLATION ADJUSTMENT		MINIMUM PENALTY AMOUNT AFTER PL 101-410 ROUNDING		MAXIMUM PENALTY AMOUNT AFTER PL 101-410 ROUNDING	
				385.1/371.7	385.1/327.9	1.047	1.047	1.047	1.047	1.047	1.047	1.047	1.047
42 USC 1395a-4(g)(4)	CLAIM FORM COMPLETION VIOLATION	NA	\$2,000	385.1/371.7	NA	NA	\$2,094	NA	\$2,094	NA	NA	NA	\$2,000
42 USC 1395b(d)(9)(C)(i)	FAILURE TO PROVIDE GROUP PLAN INFO	NA	\$1,000	385.1/371.7	NA	NA	\$1,047	NA	\$1,047	NA	NA	NA	\$1,000
42 USC 1395b(m)	FAILURE TO PROVIDE HMO CARE	NA	\$10,000	385.1/327.9	NA	NA	\$11,866	NA	\$11,866	NA	NA	NA	\$10,000
42 USC 1396f(d)(9)(B)(ii)	FALSE CERT-CAPACITY OF SNF PATIENT	NA	\$1,000	385.1/340.1	NA	NA	\$1,144	NA	\$1,144	NA	NA	NA	\$1,000
42 USC 1396f(d)(9)(B)(iii)	CAUSE OTHER TO MAKE FALSE CERTIFICATION	NA	\$5,000	385.1/340.1	NA	NA	\$5,720	NA	\$5,720	NA	NA	NA	\$5,000
42 USC 1396f(d)(9)(B)(iv)	NOTIFY SKILL NURSE FACILITY OF SURVEY	NA	\$2,000	385.1/340.1	NA	NA	\$2,288	NA	\$2,288	NA	NA	NA	\$2,000
42 USC 1396f(h)	INF NONCOMPLIANCE WITH CONDITIONS OF PARTIC	NA	\$10,000	385.1/340.1	NA	NA	\$11,441	NA	\$11,441	NA	NA	NA	\$10,000
42 USC 11131(c)	FAILURE TO REPORT MEDICAL MALPRACTICE LIABILITY	NA	\$10,000	385.1/327.9	NA	NA	\$11,866	NA	\$11,866	NA	NA	NA	\$10,000
42 USC 11137(b)(2)	CONFIDENTIALITY BREACH RE: MALPRACTICE INFO	NA	\$10,000	385.1/327.9	NA	NA	\$11,866	NA	\$11,866	NA	NA	NA	\$10,000
U.S. DEPT. OF HOUSING AND URBAN DEVELOPMENT													
12 USC 1701q-1	UNLAWFUL CONDUCT BY ELDERLY PROJECT MORTGAGORS	NA	\$25,000	385.1/371.7	NA	NA	\$28,170	NA	\$28,170	NA	NA	NA	\$25,000
12 USC 1703	FALSE INFO PROVIDED BY TITLE I DEALER/BROKERS	NA	\$5,000	385.1/371.7	NA	NA	\$5,234	NA	\$5,234	NA	NA	NA	\$5,000
12 USC 1723i	UNLAWFUL CONDUCT BY GNMA ISSUERS/CUSTODIANS	NA	\$5,000	385.1/371.7	NA	NA	\$5,234	NA	\$5,234	NA	NA	NA	\$5,000
12 USC 1723i-14	UNLAWFUL CONDUCT BY LENDERS/MORTGAGEES	NA	\$5,000	385.1/371.7	NA	NA	\$5,234	NA	\$5,234	NA	NA	NA	\$5,000
12 USC 1735f-15	UNLAWFUL CONDUCT BY PROJECT MORTGAGOR	NA	\$25,000	385.1/371.7	NA	NA	\$28,170	NA	\$28,170	NA	NA	NA	\$25,000
15 USC 1777(a)	UNLAWFUL CONDUCT BY INTERSTATE LAND SALE DEVELOPERS	NA	\$1,000,000	385.1/371.7	NA	NA	\$1,047,000	NA	\$1,047,000	NA	NA	NA	\$1,000,000
31 USC 3602(a)(1)	PROGRAM FRAUD CIVIL REMEDIES ACT/VIOLATION INVOLVING FALSE CLAIM	NA	\$5,000	385.1/327.9	NA	NA	\$5,833	NA	\$5,833	NA	NA	NA	\$5,000
31 USC 3602(a)(2)	PROGRAM FRAUD CIVIL REMEDIES ACT/VIOLATION INVOLVING FALSE STATEMENT	NA	\$5,000	385.1/327.9	NA	NA	\$5,833	NA	\$5,833	NA	NA	NA	\$5,000
42 USC 3537(a)	EMPLOYEE DISCLOSURE OF FUNDING DECISION	NA	\$10,000	385.1/371.7	NA	NA	\$10,468	NA	\$10,468	NA	NA	NA	\$10,000
42 USC 3537(b)	CONSULTANTS FAILURE TO REGISTER/REPORT TO HUD	NA	\$10,000	385.1/371.7	NA	NA	\$10,468	NA	\$10,468	NA	NA	NA	\$10,000
42 USC 3545(f)	APPLICANTS FOR ASSISTANCE FAILURE TO DISCLOSE INFO	NA	\$10,000	385.1/371.7	NA	NA	\$10,468	NA	\$10,468	NA	NA	NA	\$10,000
42 USC 5410(a)	UNLAWFUL CONDUCT BY MANUFACTURED HOME MANUFACTURERS	NA	\$1,000,000	385.1/340.9	NA	NA	\$2,649,741	NA	\$2,649,741	NA	NA	NA	\$2,650,000
U.S. DEPT. OF THE INTERIOR													
BUREAU OF LAND MANAGEMENT													
30 USC 1718(a)(2)	LESS THAN 40 DAY NONCOMPLIANCE - OIL & GAS LAWS	NA	\$500	385.1/286.1	NA	NA	\$453	NA	\$453	NA	NA	NA	\$700
30 USC 1718(b)	MORE THAN 40 DAY NONCOMPLIANCE - OIL & GAS LAWS	NA	\$5,000	385.1/286.1	NA	NA	\$4,528	NA	\$4,528	NA	NA	NA	\$7,000
30 USC 1718(c)(3)	VIOLATIONS/OIL & GAS/KNOWING, WILLFUL ACTS	NA	\$10,000	385.1/286.1	NA	NA	\$13,053	NA	\$13,053	NA	NA	NA	\$15,000
30 USC 1718(d)(3)	FALSE INFO/STOLEN OR UNLAWFUL REMOVED OIL & GAS	NA	\$25,000	385.1/286.1	NA	NA	\$22,632	NA	\$22,632	NA	NA	NA	\$35,000
U.S. DEPT. OF THE INTERIOR													
FISH AND WILDLIFE SERVICE													
16 USC 668(b)	VIOLATIONS/SALE, POSSESSION, ETC OF BALD EAGLE	NA	\$5,000	385.1/125.0	NA	NA	\$4,760	NA	\$4,760	NA	NA	NA	\$15,000
16 USC 1375(a)(1)	VIOLATIONS/CONSERVATION REGS./MARINE MAMMAL PROTECTION ACT	NA	\$10,000	385.1/125.0	NA	NA	\$9,520	NA	\$9,520	NA	NA	NA	\$30,000
16 USC 1540(a)(1)	VIOLATIONS/ENDANGERED SPECIES	NA	\$25,000	385.1/353.5	NA	NA	\$27,518	NA	\$27,518	NA	NA	NA	\$50,000
16 USC 1540(a)(1)	VIOLATIONS/ENDANGERED SPECIES	NA	\$12,000	385.1/353.5	NA	NA	\$13,208	NA	\$13,208	NA	NA	NA	\$15,000

NOTES: (1) THE "INFLATION FACTOR" IS THE RESULT OF DIVIDING THE JUNE 1990 CPI BY THE CPI FOR JUNE OF THE YEAR OF LAST ADJUSTMENT.

EXHIBIT 2—CIVIL MONETARY PENALTY INFLATION ADJUSTMENTS

U.S. CODE CITATION	CIVIL MONETARY PENALTY DESCRIPTION	MINIMUM PENALTY AMOUNT SET BY LAW AS OF 3/1/91	MAXIMUM PENALTY AMOUNT SET BY LAW AS OF 3/1/91	INFLATION FACTOR CALCULATION	MINIMUM PENALTY AMOUNT		MAXIMUM PENALTY AMOUNT		MINIMUM PENALTY AMOUNT		MAXIMUM PENALTY AMOUNT		
					AFTER RAW INFLATION ADJUSTMENT	INFLATION FACTOR	AFTER RAW INFLATION ADJUSTMENT	INFLATION FACTOR	AFTER RAW INFLATION ADJUSTMENT	INFLATION FACTOR			
16 USC 1540(a)(1)	VIOLATIONS/ENDANGERED SPECIES	NA	\$500	389.1 / 362.3	1.862	NA	\$998	NA	\$998	NA	\$1,000	NA	\$1,000
16 USC 3373(a)(1)	SALE, POSSESSION OR TRANSP. - FISH/WILDLIFE/PLANTS	NA	\$10,000	389.1 / 371.3	1.434	NA	\$14,342	NA	\$14,342	NA	\$15,000	NA	\$15,000
U.S. DEPT. OF THE INTERIOR													
MINERALS MANAGEMENT SERVICE													
30 USC 1719(a)(2)	LESS THAN 40 DAY NONCOMPLIANCE - OIL & GAS LAWS	NA	\$500	389.1 / 386.1	1.305	NA	\$653	NA	\$653	NA	\$700	NA	\$700
30 USC 1719(b)	MORE THAN 40 DAY NONCOMPLIANCE - OIL & GAS LAWS	NA	\$5,000	389.1 / 386.1	1.305	NA	\$6,528	NA	\$6,528	NA	\$7,000	NA	\$7,000
30 USC 1719(c)(3)	VIOLATIONS/OIL & GAS/KINDING, WILLFUL ACTS	NA	\$10,000	389.1 / 386.1	1.305	NA	\$13,053	NA	\$13,053	NA	\$15,000	NA	\$15,000
30 USC 1719(d)(3)	FALSE INFO/STOLEN OR UNLAWFUL REMOVED OIL & GAS	NA	\$25,000	389.1 / 386.1	1.305	NA	\$32,632	NA	\$32,632	NA	\$35,000	NA	\$35,000
43 USC 1350	NONCOMPLIANCE/OUTER CNTRL. SHELF LANDS ACT	NA	\$20,000	389.1 / 386.1	1.000	NA	\$20,000	NA	\$20,000	NA	\$20,000	NA	\$20,000
U.S. DEPT. OF THE INTERIOR													
OFFICE OF SURFACE MINING													
30 USC 1288(a)	NOTICE OF VIOLATION/SURFACE MINING CONTROL & RECL. ACT	NA	\$5,000	389.1 / 383.5	2.140	NA	\$10,701	NA	\$10,701	NA	\$10,000	NA	\$10,000
30 USC 1288(b)	FAILURE TO CORRECT ACTION/SURFACE MINING CONTROL & RECL. ACT	NA	\$750	389.1 / 383.5	2.140	NA	\$1,605	NA	\$1,605	NA	\$2,000	NA	\$2,000
INTERNATIONAL TRADE COMMISSION													
19 USC 1337(f)	VIOL-REMEDIATION ORDERS IN INVESTIGATIONS	NA	\$100,000	389.1 / 353.5	1.101	NA	\$110,071	NA	\$110,071	NA	\$110,000	NA	\$110,000
INTERSTATE COMMERCE COMMISSION													
49 USC 10527(b)	LOADING AND UNLOADING	NA	\$10,000	389.1 / 347.4	1.571	NA	\$15,715	NA	\$15,715	NA	\$15,000	NA	\$15,000
49 USC 11901(a)	FAILURE TO OBEY COMMISSION ORDER	NA	\$5,000	389.1 / 343.3	13.280	NA	\$66,399	NA	\$66,399	NA	\$65,000	NA	\$65,000
49 USC 11901(b)	VIOLATION OF REGULATION OR ORDER WITH RESPECT TO RATES, TARIFFS BY RAILROADS	NA	\$500	389.1 / 343.3	13.280	NA	\$6,640	NA	\$6,640	NA	\$7,000	NA	\$7,000
49 USC 11901(c)	VIOLATION OF REGULATION OR ORDER BY RAILROAD WITH RESPECT TO PROPERTY VALUATI	NA	\$500	389.1 / 343.3	13.280	NA	\$6,640	NA	\$6,640	NA	\$7,000	NA	\$7,000
49 USC 11901(d)	UNAUTHORIZED OPERATION OR ABANDONMENT BY RAILROAD	NA	\$5,000	389.1 / 327.7	6.206	NA	\$31,029	NA	\$31,029	NA	\$30,000	NA	\$30,000
49 USC 11901(e)(1)	VIOLATION OF COMMISSION ORDER REGARDING EMERGENCY SITUATION	\$100	\$500	389.1 / 363.8	10.028	\$100	\$5,014	\$1,000	\$5,014	\$1,000	\$5,000	\$1,000	\$5,000
49 USC 11901(e)(2)	FAILURE TO MAKE PROPER CAR DISTRIBUTION BY RAILROAD	NA	\$100	389.1 / 327.7	10.028	NA	\$901	NA	\$901	NA	\$900	NA	\$900
49 USC 11901(f)(1)	FAILURE TO PRESERVE AND SUBMIT RECORD BY RAILROAD	NA	\$500	389.1 / 327.7	6.206	NA	\$3,103	NA	\$3,103	NA	\$3,000	NA	\$3,000
49 USC 11901(f)(2)	FAILURE TO PERMIT INSPECTION BY RAILROAD	NA	\$100	389.1 / 327.7	9.242	NA	\$924	NA	\$924	NA	\$900	NA	\$900
49 USC 11901(f)(3)	FAILURE TO MAKE COMPLETE REPORT BY RAILROAD	NA	\$100	389.1 / 327.7	9.242	NA	\$924	NA	\$924	NA	\$900	NA	\$900
49 USC 11901(g)	FOREIGN CARRIER OPERATING WITHOUT AUTHORITY AND RECORDS	NA	\$250	389.1 / 311.5	5.442	NA	\$2,721	NA	\$2,721	NA	\$3,000	NA	\$3,000
UNAUTHORIZED PASSENGER OPERATIONS													
49 USC 11901(h)	UNAUTHORIZED PASSENGER OPERATIONS	NA	\$1,000	389.1 / 290.8	1.339	NA	\$1,339	NA	\$1,339	NA	\$1,000	NA	\$1,000
49 USC 11901(i)	UNAUTHORIZED MOTOR TRANSPORTATION; HAZARDOUS WASTE	NA	\$500	389.1 / 290.8	1.339	NA	\$669	NA	\$669	NA	\$700	NA	\$700
49 USC 11901(j)	FAILURE TO KEEP RECORDS; UNAUTHORIZED OPERATIONS BY HHS CARRIER	NA	\$20,000	389.1 / 347.4	1.571	NA	\$31,450	NA	\$31,450	NA	\$30,000	NA	\$30,000
49 USC 11901(k)	FAILURE TO KEEP RECORDS; UNAUTHORIZED OPERATIONS BY HHS CARRIER	NA	\$500	389.1 / 347.4	1.571	NA	\$785	NA	\$785	NA	\$800	NA	\$800
49 USC 11901(l)	FAILURE TO KEEP RECORDS; UNAUTHORIZED OPERATIONS BY HHS CARRIER	NA	\$250	389.1 / 347.4	1.571	NA	\$393	NA	\$393	NA	\$400	NA	\$400

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EXHIBIT 2—CIVIL MONETARY PENALTY INFLATION ADJUSTMENTS

U.S. CODE CITATION	CIVIL MONETARY PENALTY DESCRIPTION	MINIMUM PENALTY AMOUNT		MAXIMUM PENALTY AMOUNT		INFLATION FACTOR	INFLATION CALCULATION	MINIMUM PENALTY AMOUNT AFTER RAW INFLATION ADJUSTMENT		MAXIMUM PENALTY AMOUNT AFTER RAW INFLATION ADJUSTMENT		MINIMUM PENALTY AMOUNT AFTER ROUNDING	MAXIMUM PENALTY AMOUNT AFTER ROUNDING
		AS OF 3/1/91	SET BY LAW AS OF 3/1/91	AS OF 3/1/91	SET BY LAW AS OF 3/1/91			INFLATION FACTOR	INFLATION ADJUSTMENT	INFLATION ADJUSTMENT	INFLATION ADJUSTMENT		
49 USC 1190-10(x)	FAILURE TO COMPLY WITH REGULATIONS BY HHG CARRIER	NA	\$1,000	1.571	NA	1.571	385.1 / 247.5	NA	\$1,571	NA	\$1,571	NA	\$2,000
49 USC 1190-10(x)(2)(b)	VIOLATIONS OF HHG REGULATIONS BY FAILURE OR REFUSAL TO COMPLY	NA	\$500	1.571	NA	1.571	385.1 / 247.5	NA	\$786	NA	\$786	NA	\$800
49 USC 1190-10(x)	FALSIFYING HHG DOCUMENTS	NA	\$500	1.571	NA	1.571	385.1 / 247.5	NA	\$786	NA	\$786	NA	\$800
49 USC 1190-10(x)	FALSIFYING HHG DOCUMENTS	NA	\$500	1.571	NA	1.571	385.1 / 247.5	NA	\$786	NA	\$786	NA	\$800
49 USC 1190-10(x)	FALSIFYING HHG DOCUMENTS/SUBSEQUENT VIOLATIONS	NA	\$2,000	1.571	NA	1.571	385.1 / 247.5	NA	\$3,143	NA	\$3,143	NA	\$3,000
49 USC 1190-24(a)	LOADING AND UNLOADING VIOLATION (LUMPING)	NA	\$5,000	1.571	NA	1.571	385.1 / 247.5	NA	\$7,857	NA	\$7,857	NA	\$8,000
49 USC 1190-24(a)	LOADING AND UNLOADING VIOLATION (LUMPING)	NA	\$10,000	1.571	NA	1.571	385.1 / 247.5	NA	\$15,715	NA	\$15,715	NA	\$15,000
U.S. DEPT. OF JUSTICE ANTITRUST DIVISION													
15 USC 18(a)(1)(K)	ACQUIRING VOTING SECURITIES OR ASSETS WITHOUT FILING NOTIFICATION, OR DURING WAIT	NA	\$10,000	2.267	NA	2.267	385.1 / 170.1	NA	\$22,875	NA	\$22,875	NA	\$25,000
U.S. DEPT. OF JUSTICE CIVIL DIVISION													
31 USC 3729	VIOLATIONS/FALSE CLAIMS ACT INVOLVING DOD CONTRACT	NA	\$2,000	1.187	NA	1.187	385.1 / 327.9	NA	\$2,373	NA	\$2,373	NA	\$2,000
31 USC 3729	VIOLATIONS/FALSE CLAIMS ACT	\$5,000	\$10,000	1.187	\$5,000	1.187	385.1 / 327.9	\$5,933	\$11,866	\$5,933	\$11,866	\$6,000	\$10,000
U.S. DEPT. OF JUSTICE IMMIGRATION AND NATURALIZATION SERVICE													
8 USC 1221	FAILURE TO DELIVER LIST OF PERSONS ON VESSEL OR AIRCRAFT	NA	\$300	1.000	NA	1.000	385.1 / 385.1	NA	\$300	NA	\$300	NA	\$300
8 USC 1227	IMMEDIATE DEPORTATION OF ALIENS EXCLUDED FROM ADMISSION	NA	\$2,000	1.000	NA	1.000	385.1 / 385.1	NA	\$2,000	NA	\$2,000	NA	\$2,000
8 USC 1229	DESIGNATION OF PORTS OF ENTRY FOR ALIENS ARR. BY CIVIL AIRCRAFT	NA	\$2,000	1.000	NA	1.000	385.1 / 385.1	NA	\$2,000	NA	\$2,000	NA	\$2,000
8 USC 1281	LIST OF ALIEN CREWMEN/REPTS. OF ILLEGAL LANDINGS	NA	\$200	1.000	NA	1.000	385.1 / 385.1	NA	\$200	NA	\$200	NA	\$200
8 USC 1284	CONTROL OF ALIEN CREWMEN	NA	\$3,000	1.000	NA	1.000	385.1 / 385.1	NA	\$3,000	NA	\$3,000	NA	\$3,000
8 USC 1286	EMPLOYMENT OF ALIENS W/CERTAIN DISABILITIES	NA	\$1,000	1.000	NA	1.000	385.1 / 385.1	NA	\$1,000	NA	\$1,000	NA	\$1,000
8 USC 1287	DISCHARGE OF ALIEN CREWMEN	NA	\$3,000	1.000	NA	1.000	385.1 / 385.1	NA	\$3,000	NA	\$3,000	NA	\$3,000
8 USC 1288	BRINGING ALIEN CREWMEN TO U.S. WITHOUT TO EVADE IMM. LAWS	NA	\$10,000	1.000	NA	1.000	385.1 / 385.1	NA	\$10,000	NA	\$10,000	NA	\$10,000
8 USC 1321	INS USER FEE ACCT/PREVENTION OF UNAUTHORIZED LANDING OF ALIENS	NA	\$3,000	1.000	NA	1.000	385.1 / 385.1	NA	\$3,000	NA	\$3,000	NA	\$3,000
8 USC 1322	BRINGING ALIEN TO U.S. W/UNSPECIFIED MENTAL/PHYSICAL DEFECTS	NA	\$3,000	1.000	NA	1.000	385.1 / 385.1	NA	\$3,000	NA	\$3,000	NA	\$3,000
8 USC 1322	BRINGING ALIEN TO U.S. W/OTHER MENTAL/PHYSICAL DEFECTS	NA	\$3,000	1.000	NA	1.000	385.1 / 385.1	NA	\$3,000	NA	\$3,000	NA	\$3,000
8 USC 1322	BRINGING ALIEN TO U.S. WITHOUT MENTAL/PHYSICAL DEFECTS	NA	\$3,000	1.000	NA	1.000	385.1 / 385.1	NA	\$3,000	NA	\$3,000	NA	\$3,000
8 USC 1323	INS USER FEE ACCT/UNLAWFUL BRINGING ALIEN TO U.S. W/EXPIRED VISA	NA	\$3,000	1.000	NA	1.000	385.1 / 385.1	NA	\$3,000	NA	\$3,000	NA	\$3,000
8 USC 1323	INS USER FEE ACCT/FAILURE TO DETAIN/DEPORT ALIEN STOWAWAY	NA	\$3,000	1.000	NA	1.000	385.1 / 385.1	NA	\$3,000	NA	\$3,000	NA	\$3,000
8 USC 1324	EMPLOYER SANCTION/INCREASE & DESIST ORDER FOR HIRING, RECRUITING & REFERRAL VIOLATIONS												
8 USC 1324(a)	-- 1ST ORDER	\$250	\$2,000	1.187	\$250	1.187	385.1 / 327.9	\$297	\$2,373	\$297	\$2,373	\$300	\$2,000
8 USC 1324(a)	-- 2ND ORDER	\$2,000	\$5,000	1.187	\$2,000	1.187	385.1 / 327.9	\$2,373	\$5,933	\$2,373	\$5,933	\$2,000	\$6,000
8 USC 1324(a)	-- 3RD OR SUBSEQUENT ORDER	\$3,000	\$10,000	1.187	\$3,000	1.187	385.1 / 327.9	\$3,560	\$11,866	\$3,560	\$11,866	\$4,000	\$10,000
8 USC 1324(a)	PAPERWORK VIOLATIONS	\$100	\$1,000	1.187	\$100	1.187	385.1 / 327.9	\$119	\$1,187	\$119	\$1,187	\$100	\$1,000
8 USC 1324(a)	VIOLATION/PROHIBITION OF INDEMNITY BONDS	NA	\$1,000	1.187	NA	1.187	385.1 / 327.9	NA	\$1,187	NA	\$1,187	NA	\$1,000

NOTES: (1) THE "INFLATION FACTOR" IS THE RESULT OF DIVIDING THE JUNE 1990 CPI BY THE CPI FOR JUNE OF THE YEAR OF LAST ADJUSTMENT.

EXHIBIT 2—CIVIL MONETARY PENALTY INFLATION ADJUSTMENTS

U.S. CODE CITATION	CIVIL MONETARY PENALTY DESCRIPTION	MINIMUM PENALTY AMOUNT		MAXIMUM PENALTY AMOUNT	INFLATION FACTOR CALCULATION	MINIMUM PENALTY AMOUNT AFTER RAW ADJUSTMENT		MAXIMUM PENALTY AMOUNT AFTER RAW ADJUSTMENT		MINIMUM PENALTY AMOUNT AFTER ROUNDING	MAXIMUM PENALTY AMOUNT AFTER ROUNDING
		AS OF 3/1/81	SET BY LAW AS OF 3/1/81			INFLATION FACTOR	INFLATION ADJUSTMENT	INFLATION FACTOR	INFLATION ADJUSTMENT		
9 USC 1324(e)	DOCUMENT FRAUD		\$250	\$2,000	395.173951	1,000	\$250	\$2,000	\$2,000	\$300	\$2,000
9 USC 1324(f)	— 1ST ORDER	\$2,000		\$5,000	395.173951	1,000	\$2,000	\$5,000	\$5,000	\$2,000	\$5,000
9 USC 1324(g)	— 2ND OR SUBSEQUENT ORDER	\$2,000		\$5,000	395.173951	1,000	\$2,000	\$5,000	\$5,000	\$2,000	\$5,000
9 USC 1325(b)	ANTI-DISCRIMINATION VIOLATIONS		\$250	\$2,000	395.173951	1,000	\$250	\$2,000	\$2,000	\$300	\$2,000
9 USC 1325(c)	— 1ST ORDER	\$2,000		\$5,000	395.173951	1,000	\$2,000	\$5,000	\$5,000	\$2,000	\$5,000
9 USC 1325(d)	— 2ND ORDER	\$3,000		\$10,000	395.173951	1,000	\$3,000	\$10,000	\$10,000	\$3,000	\$10,000
9 USC 1325(e)	— 3RD OR SUBSEQUENT ORDER	\$100		\$1,000	395.173951	1,000	\$100	\$1,000	\$1,000	\$100	\$1,000
9 USC 1325(f)	— CERTAIN DOCUMENTARY PRACTICES		\$100	\$1,000	395.173951	1,000	\$100	\$1,000	\$1,000	\$100	\$1,000
U.S. DEPT. OF LABOR											
EMPLOYMENT STANDARDS ADMINISTRATION											
8 USC 1168	VIOLATION OF EACH WORK CONTRACT OR H-2A REGULATIONS	NA	NA	\$1,000	395.173463	1,144	NA	\$1,144	NA	NA	\$1,000
8 USC 1168	VIOLATION/DISCRIMINATION OR INTERFERENCE WITH-2A INVESTIGATIONS	NA	NA	\$1,000	395.173463	1,144	NA	\$1,144	NA	NA	\$1,000
29 USC 211(e)	VIOLATION OF HOMEWORKER REGULATIONS	NA	NA	\$500	395.173523	1,101	NA	\$500	NA	NA	\$500
29 USC 214(a)	WILLFUL OR REPEATED VIOLATION OF FLSA, MW & OT PAY PROVISIONS	NA	NA	\$1,000	395.173737	1,947	NA	\$1,047	NA	NA	\$1,000
29 USC 1853	VIOLATION OF MFLSA OR THE REGULATIONS	NA	NA	\$1,000	395.173523	1,305	NA	\$1,305	NA	NA	\$1,000
29 USC 2005	VIOLATION OF ANY PROVISION OF ERPA	NA	NA	\$10,000	395.173523	1,101	NA	\$11,007	NA	NA	\$10,000
30 USC 833(a)(1)	FAILURE TO SECURE BENEFIT	NA	NA	\$1,000	395.171818	2,140	NA	\$2,140	NA	NA	\$2,000
30 USC 842(b)	FAILURE TO SUBMIT REPORT	NA	NA	\$500	395.171818	2,140	NA	\$1,070	NA	NA	\$1,000
33 USC 914(b)	FAILURE TO REPORT FINAL PAYMENT OF COMPENSATION	NA	NA	\$100	395.173227	7,393	NA	\$739	NA	NA	\$700
33 USC 942a	FAILURE TO REPORT INJURY	NA	NA	\$10,000	395.173107	1,252	NA	\$12,523	NA	NA	\$10,000
33 USC 942a	DISCRIMINATION AGAINST EMPLOYEES	\$1,000		\$5,000	395.173107	1,252	\$1,252	\$5,262	\$5,262	\$1,000	\$5,000
PL 101-228	NONIMMIGRANT ALIEN NURSES	NA	NA	\$10,000	395.173757	1,047	NA	\$10,000	NA	NA	\$10,000
PL 101-546	VIOLATION OF FLSA CHILD LABOR PROVISIONS	NA	NA	\$5,000	395.173951	1,000	NA	\$5,000	NA	NA	\$5,000
PL 101-649	LIMITATIONS ON PERFORMANCE OF LONGSHORE WORK BY ALIEN CREWMEN	NA	NA	\$1,000	395.173951	1,000	NA	\$1,000	NA	NA	\$1,000
PL 101-649	H-1B SPECIALTY OCCUPATIONS	NA	NA	\$1,000	395.173951	1,000	NA	\$1,000	NA	NA	\$1,000
U.S. DEPT. OF LABOR											
MINE SAFETY AND HEALTH ADMINISTRATION											
30 USC 820(a)	VIOLATIONS OF HEALTH STDS. AND MINE ACT	NA	NA	\$50,000	395.173951	1,000	NA	\$50,000	NA	NA	\$50,000
30 USC 820(b)	FAILURE TO CORRECT	NA	NA	\$5,000	395.173951	1,000	NA	\$5,000	NA	NA	\$5,000
30 USC 820(c)	VIOLATIONS COMMITTED BY MINER	NA	NA	\$250	395.171818	2,140	NA	\$535	NA	NA	\$500
U.S. DEPT. OF LABOR											
OCCUPATIONAL SAFETY AND HEALTH ADMINISTRATION											
29 USC 669(a)	REPEATED VIOLATION OF OSHA STANDARD	NA	NA	\$70,000	395.173951	1,000	NA	\$70,000	NA	NA	\$70,000
29 USC 669(b)	SERIOUS VIOLATION OF OSHA STANDARD	\$1		\$7,000	395.173951	1,000	\$1	\$7,000	\$7,000	\$0	\$7,000
29 USC 669(c)	OTHER THAN SERIOUS VIOLATION OF OSHA STANDARD	NA	NA	\$7,000	395.173951	1,000	NA	\$7,000	NA	NA	\$7,000
29 USC 669(d)	FAILURE TO CORRECT OSHA STANDARD	NA	NA	\$7,000	395.173951	1,000	NA	\$7,000	NA	NA	\$7,000
29 USC 669(e)	WILLFUL VIOLATION OF OSHA STANDARD	\$5,000		\$70,000	395.173951	1,000	\$5,000	\$70,000	\$70,000	\$5,000	\$70,000

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EXHIBIT 2—CIVIL MONETARY PENALTY INFLATION ADJUSTMENTS

U.S. CODE CITATION	CIVIL MONETARY PENALTY DESCRIPTION	MINIMUM PENALTY AMOUNT SET BY LAW AS OF 3/1/81	MAXIMUM PENALTY AMOUNT SET BY LAW AS OF 3/1/81	INFLATION FACTOR CALCULATION	INFLATION FACTOR	MINIMUM PENALTY AMOUNT AFTER RAW INFLATION ADJUSTMENT	MINIMUM PENALTY AMOUNT AFTER PL 101-410 ROUNDING	MAXIMUM PENALTY AMOUNT AFTER RAW INFLATION ADJUSTMENT	MAXIMUM PENALTY AMOUNT AFTER PL 101-410 ROUNDING	
29 USC 6660	POSTING REQUIREMENTS	\$1	\$7,000	389.1 / 388.1	1.000	\$1	\$0	\$7,000	\$7,000	
U.S. DEPT. OF LABOR PENSION AND WELFARE BENEFITS ADMINISTRATION										
29 USC 1059(e)	FAILURE TO FURNISH INFO OR MAINTAIN RECORDS	NA	\$10	389.1 / 346.9	2.649	NA	NA	\$26	\$30	
29 USC 1132(k)(1)(A)	FAILURE TO NOTIFY PLAN PARTICIPANTS OF GROUP HEALTH BENEFITS UNDER COBRA	NA	\$100	389.1 / 327.9	1.187	NA	NA	\$119	\$100	
29 USC 1132(k)(1)(A)	FAILURE TO NOTIFY PARTICIPANTS & BENEFICIARIES RE: ASSET TRANSFER FROM A PENSION PLAN TO A HEALTH PLAN	NA	\$100	389.1 / 336.1	1.000	NA	NA	\$100	\$100	
29 USC 1132(k)(1)(B)	REFUSAL TO PROVIDE REQ. INFO IN TIMELY MANNER	NA	\$100	389.1 / 346.9	2.649	NA	NA	\$265	\$300	
29 USC 1132(k)(2)	FAILURE OR REFUSAL TO FILE AN ANNUAL REPORT	NA	\$1,000	389.1 / 340.1	1.144	NA	NA	\$1,144	\$1,000	
29 USC 1132(k)(3)	FAILURE TO NOTIFY PARTICIPANTS & BENEFICIARIES RE: FAILURE TO MEET MINIMUM FUNDING REQS.	NA	\$100	389.1 / 371.7	1.047	NA	NA	\$105	\$100	
29 USC 1132(k)(3)	FAILURE TO NOTIFY PARTICIPANTS & BENEFICIARIES RE: EXCESS PENSION ASSET TRANSFER TO HEALTH BENEFITS ACCOUNT	NA	\$100	389.1 / 364.1	1.000	NA	NA	\$100	\$100	
MERT SYSTEMS PROTECTION BOARD										
5 USCA 121(e)(3)	PROHIBITED PERSONNEL PRACTICE	NA	\$1,000	389.1 / 145.3	1.992	NA	NA	\$1,992	NA	
NATIONAL CREDIT UNION ADMINISTRATION										
12 USC 1786(k)(2)(A)	FIRST TIER CMP	NA	\$5,000	389.1 / 371.7	1.047	NA	NA	\$5,234	\$5,000	
12 USC 1786(k)(2)(B)	SECOND TIER CMP	NA	\$25,000	389.1 / 371.7	1.047	NA	NA	\$26,170	\$25,000	
12 USC 1786(k)(2)(C)	THIRD TIER CMP	NA	\$1,000,000	389.1 / 371.7	1.047	NA	NA	\$1,046,812	\$1,050,000	
NATIONAL SCIENCE FOUNDATION										
16 USC 2407(e)	ANTARCTIC CONSERVATION ACT/INTENTIONAL VIOLATION	NA	\$5,000	389.1 / 196.5	1.992	NA	NA	\$9,992	\$10,000	
16 USC 2407(f)	ANTARCTIC CONSERVATION ACT/INTENTIONAL VIOLATION	NA	\$10,000	389.1 / 196.5	1.992	NA	NA	\$19,923	\$20,000	
NATIONAL TRANSPORTATION SAFETY BOARD										
49 USC 1471(g)(1)	VIOL. FAA 49 USC 1441 OR RULE/REG REGARDING	NA	\$1,000	389.1 / 96.7	4.488	NA	NA	\$4,488	\$4,000	
NUCLEAR REGULATORY COMMISSION										
42 USC 2114	VIOLATIONS—OWNERSHIP & CUSTODY OF BYPRODUCT MATERIAL AND DISPOSAL SITES	NA	\$100,000	389.1 / 247.5	1.571	NA	NA	\$157,149	\$160,000	
42 USC 2167	VIOLATIONS—MARKING, USE, STORAGE, & DESTRUCTION OF SENSITIVE INFORMATION	NA	\$100,000	389.1 / 247.5	1.571	NA	NA	\$157,149	\$160,000	
42 USC 2282	VIOLATIONS OF LICENSING PROVISIONS	NA	\$100,000	389.1 / 247.5	1.571	NA	NA	\$157,149	\$160,000	
42 USC 5846	FAILURE TO REPORT DEFECTS & NONCOMPLIANCE	NA	\$100,000	389.1 / 247.5	1.571	NA	NA	\$157,149	\$160,000	

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EXHIBIT 2—CIVIL MONETARY PENALTY INFLATION ADJUSTMENTS

U.S. CODE CITATION	CIVIL MONETARY PENALTY DESCRIPTION	MINIMUM PENALTY AMOUNT		MAXIMUM PENALTY AMOUNT		INFLATION FACTOR	INFLATION ADJUSTMENT	MINIMUM PENALTY AMOUNT AFTER INFLATION ADJUSTMENT	MAXIMUM PENALTY AMOUNT AFTER INFLATION ADJUSTMENT	MINIMUM PENALTY AMOUNT AFTER INFLATION ADJUSTMENT	MAXIMUM PENALTY AMOUNT AFTER INFLATION ADJUSTMENT
		SET BY LAW AS OF 3/1/91	SET BY LAW AS OF 3/1/91	SET BY LAW AS OF 3/1/91	SET BY LAW AS OF 3/1/91						
OFFICE OF PERSONNEL MANAGEMENT											
5 USC 5902a	FRAUD/FEDERAL HEALTH BENEFITS PROGRAM PROVIDERS	NA	\$10,000	NA	\$11,007	1.101	NA	NA	NA	NA	\$10,000
PENSION BENEFIT GUARANTY CORPORATION											
Sect. 4071; 29 USC 1371	FAILURE TO PROVIDE REQUIRED NOTICE OR MATERIAL INFORMATION	NA	\$1,000	NA	\$1,144	1.144	NA	NA	NA	NA	\$1,000
Sect. 4302; 29 USC 1452	FAILURE TO PROVIDE REQUIRED NOTICE	NA	\$100	NA	\$157	1.571	NA	NA	NA	NA	\$200
U.S. POSTAL SERVICE											
39 USC 3012	PENALTY - VIOLATION OF POSTAL SERVICE ORDERS	NA	\$10,000	NA	\$13,053	1.305	NA	NA	NA	NA	\$15,000
31 USC 3902(a)(1)	PROGRAM FRAUD CIVIL REMEDIES ACT/VIOLATION INVOLVING FALSE CLAIM	NA	\$5,000	NA	\$5,933	1.187	NA	NA	NA	NA	\$6,000
31 USC 3902(a)(2)	PROGRAM FRAUD CIVIL REMEDIES ACT/VIOLATION INVOLVING FALSE STATEMENT	NA	\$5,000	NA	\$5,933	1.187	NA	NA	NA	NA	\$6,000
RAILROAD RETIREMENT BOARD											
31 USC 3729(a)	FALSE CLAIMS	\$5,000	\$10,000	NA	\$11,868	1.187	\$5,933	\$6,000	\$6,000	NA	\$10,000
31 USC 3902(a)(1)	PROGRAM FRAUD CIVIL REMEDIES ACT/VIOLATION INVOLVING FALSE CLAIM	NA	\$5,000	NA	\$5,933	1.187	NA	NA	NA	NA	\$6,000
31 USC 3902(a)(2)	PROGRAM FRAUD CIVIL REMEDIES ACT/VIOLATION INVOLVING FALSE STATEMENT	NA	\$5,000	NA	\$5,933	1.187	NA	NA	NA	NA	\$6,000
SECURITIES AND EXCHANGE COMMISSION											
15 USC 77n(d)	SECURITIES ACT OF 1933/VIOLATIONS (IMPOSED BY COURT) ---FOR NATURAL PERSON	NA	\$5,000	NA	\$5,000	1.000	NA	NA	NA	NA	\$5,000
	---FOR ANY OTHER PERSON	NA	\$50,000	NA	\$50,000	1.000	NA	NA	NA	NA	\$50,000
	---FOR NATURAL PERSON/FRAUD, RECKLESS DISREGARD OF REG. REQ.	NA	\$50,000	NA	\$50,000	1.000	NA	NA	NA	NA	\$50,000
	---FOR ANY OTHER PERSON/FRAUD, RECKLESS DISREGARD OF REG. REQ.	NA	\$250,000	NA	\$250,000	1.000	NA	NA	NA	NA	\$250,000
	---FOR NATURAL PERSON/SUBSTANTIAL LOSSES OR RISK OF LOSSES TO OTHERS	NA	\$100,000	NA	\$100,000	1.000	NA	NA	NA	NA	\$100,000
	---FOR ANY OTHER PERSON/SUBSTANTIAL LOSSES OR RISK OF LOSSES TO OTHERS	NA	\$500,000	NA	\$500,000	1.000	NA	NA	NA	NA	\$500,000
15 USC 78j(h)	EXCHANGE ACT/FAILURE TO FILE INFO, DOCUMENTS, REPORTS	NA	\$100	NA	\$940	9.399	NA	NA	NA	NA	\$600
15 USC 78j(k)(1)(B)	FOREIGN CORRUPT PRACTICES -- ANY ISSUER	NA	\$10,000	NA	\$11,007	1.101	NA	NA	NA	NA	\$10,000
15 USC 78j(k)(2)(C)	FOREIGN CORRUPT PRACTICES -- ANY AGENT OR STOCKHOLDER ACTING ON BEHALF OF ISS	NA	\$10,000	NA	\$11,007	1.101	NA	NA	NA	NA	\$10,000
15 USC 78u-1(a)(3)	INSIDER TRADING -- CONTROLLING PERSONS	NA	\$1,000,000	NA	\$1,100,707	1.101	NA	NA	NA	NA	\$1,100,000

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EXHIBIT 2--CIVIL MONETARY PENALTY INFLATION ADJUSTMENTS

U.S. CODE CITATION	CIVIL MONETARY PENALTY DESCRIPTION	MINIMUM PENALTY AMOUNT		MAXIMUM PENALTY AMOUNT	INFLATION FACTOR CALCULATION	MINIMUM PENALTY AMOUNT AFTER INFLATION ADJUSTMENT		MAXIMUM PENALTY AMOUNT AFTER INFLATION ADJUSTMENT	
		SET BY LAW AS OF 3/1/91	SET BY LAW AS OF 3/1/91			INFLATION FACTOR	INFLATION ADJUSTMENT	INFLATION FACTOR	INFLATION ADJUSTMENT
15 USC 76a-2	SECURITIES EXCHANGE ACT OF 1934/VIOLATIONS	NA	\$5,000	NA	399.17/399.1	1,000	\$5,000	NA	\$5,000
	---FOR NATURAL PERSON	NA	\$50,000	NA	399.17/399.1	1,000	\$50,000	NA	\$50,000
	---FOR ANY OTHER PERSON	NA	\$50,000	NA	399.17/399.1	1,000	\$50,000	NA	\$50,000
	---FOR NATURAL PERSON/FRAUD, RECKLESS DISREGARD OF REG. REQ.	NA	\$250,000	NA	399.17/399.1	1,000	\$250,000	NA	\$250,000
	---FOR ANY OTHER PERSON/FRAUD, RECKLESS DISREGARD OF REG. REQ.	NA	\$100,000	NA	399.17/399.1	1,000	\$100,000	NA	\$100,000
	---FOR ANY OTHER PERSON/SUBSTANTIAL LOSSES TO OTHERS/GAINS TO SELF	NA	\$500,000	NA	399.17/399.1	1,000	\$500,000	NA	\$500,000
15 USC 76a-4(x)(3)	SECURITIES EXCHANGE ACT OF 1934/VIOLATIONS (IMPOSED BY COURT)	NA	\$5,000	NA	399.17/399.1	1,000	\$5,000	NA	\$5,000
	---FOR NATURAL PERSON	NA	\$50,000	NA	399.17/399.1	1,000	\$50,000	NA	\$50,000
	---FOR ANY OTHER PERSON	NA	\$50,000	NA	399.17/399.1	1,000	\$50,000	NA	\$50,000
	---FOR NATURAL PERSON/FRAUD, RECKLESS DISREGARD OF REG. REQ.	NA	\$50,000	NA	399.17/399.1	1,000	\$50,000	NA	\$50,000
	---FOR ANY OTHER PERSON/FRAUD, RECKLESS DISREGARD OF REG. REQ.	NA	\$250,000	NA	399.17/399.1	1,000	\$250,000	NA	\$250,000
	---FOR NATURAL PERSON/SUBSTANTIAL LOSSES OR RISK OF LOSSES TO OTHERS	NA	\$100,000	NA	399.17/399.1	1,000	\$100,000	NA	\$100,000
15 USC 80a-9(d)	INVESTMENT COMPANY ACT OF 1940/VIOLATIONS	NA	\$5,000	NA	399.17/399.1	1,000	\$5,000	NA	\$5,000
	---FOR NATURAL PERSON	NA	\$50,000	NA	399.17/399.1	1,000	\$50,000	NA	\$50,000
	---FOR ANY OTHER PERSON	NA	\$50,000	NA	399.17/399.1	1,000	\$50,000	NA	\$50,000
	---FOR NATURAL PERSON/FRAUD, RECKLESS DISREGARD OF REG. REQ.	NA	\$250,000	NA	399.17/399.1	1,000	\$250,000	NA	\$250,000
	---FOR ANY OTHER PERSON/FRAUD, RECKLESS DISREGARD OF REG. REQ.	NA	\$100,000	NA	399.17/399.1	1,000	\$100,000	NA	\$100,000
	---FOR NATURAL PERSON/SUBSTANTIAL LOSSES TO OTHERS/GAINS TO SELF	NA	\$500,000	NA	399.17/399.1	1,000	\$500,000	NA	\$500,000
15 USC 80a-4(1)(c)	INVESTMENT COMPANY ACT OF 1940/VIOLATIONS (IMPOSED BY COURT)	NA	\$5,000	NA	399.17/399.1	1,000	\$5,000	NA	\$5,000
	---FOR NATURAL PERSON	NA	\$50,000	NA	399.17/399.1	1,000	\$50,000	NA	\$50,000
	---FOR ANY OTHER PERSON	NA	\$50,000	NA	399.17/399.1	1,000	\$50,000	NA	\$50,000
	---FOR NATURAL PERSON/FRAUD, RECKLESS DISREGARD OF REG. REQ.	NA	\$250,000	NA	399.17/399.1	1,000	\$250,000	NA	\$250,000
	---FOR ANY OTHER PERSON/FRAUD, RECKLESS DISREGARD OF REG. REQ.	NA	\$100,000	NA	399.17/399.1	1,000	\$100,000	NA	\$100,000
	---FOR NATURAL PERSON/SUBSTANTIAL LOSSES OR RISK OF LOSSES TO OTHERS	NA	\$500,000	NA	399.17/399.1	1,000	\$500,000	NA	\$500,000

NOTES: (1) THE "INFLATION FACTOR" IS THE RESULT OF DIVIDING THE JUNE 1990 CPI BY THE CPI FOR JUNE OF THE YEAR OF LAST ADJUSTMENT.

EXHIBIT 2—CIVIL MONETARY PENALTY INFLATION ADJUSTMENTS

CODE	INFLATION FACTOR CALCULATION	MINIMUM PENALTY AMOUNT AFTER INFLATION ADJUSTMENT	MAXIMUM PENALTY AMOUNT AFTER INFLATION ADJUSTMENT	MINIMUM PENALTY AMOUNT AFTER ROUNDING	MAXIMUM PENALTY AMOUNT AFTER ROUNDING	INFLATION FACTOR	INFLATION ADJUSTMENT	MINIMUM PENALTY AMOUNT AFTER ROUNDING	MAXIMUM PENALTY AMOUNT AFTER ROUNDING	INFLATION FACTOR CALCULATION	MINIMUM PENALTY AMOUNT SET BY LAW AS OF 3/1/81	MAXIMUM PENALTY AMOUNT SET BY LAW AS OF 3/1/81	CIVIL MONETARY PENALTY DESCRIPTION
2-80b-3(f)	389.1 / 389.1	NA	\$5,000	NA	\$5,000	1.000	NA	NA	\$5,000	389.1 / 389.1	NA	\$5,000	INVESTMENT ADVISERS ACT OF 1940/VIOLATIONS
	389.1 / 389.1	NA	\$50,000	NA	\$50,000	1.000	NA	NA	\$50,000	389.1 / 389.1	NA	\$50,000	FOR NATURAL PERSON
	389.1 / 389.1	NA	\$50,000	NA	\$50,000	1.000	NA	NA	\$50,000	389.1 / 389.1	NA	\$50,000	FOR ANY OTHER PERSON
	389.1 / 389.1	NA	\$250,000	NA	\$250,000	1.000	NA	NA	\$250,000	389.1 / 389.1	NA	\$250,000	FOR NATURAL PERSON/FRAUD, RECKLESS DISREGARD OF REG. REQ.
	389.1 / 389.1	NA	\$100,000	NA	\$100,000	1.000	NA	NA	\$100,000	389.1 / 389.1	NA	\$100,000	FOR ANY OTHER PERSON/FRAUD, RECKLESS DISREGARD OF REG. REQ.
	389.1 / 389.1	NA	\$500,000	NA	\$500,000	1.000	NA	NA	\$500,000	389.1 / 389.1	NA	\$500,000	FOR NATURAL PERSON/SUBSTANTIAL LOSSES TO OTHERS/GAINS TO SELF
	389.1 / 389.1	NA	\$5,000	NA	\$5,000	1.000	NA	NA	\$5,000	389.1 / 389.1	NA	\$5,000	FOR ANY OTHER PERSON/SUBSTANTIAL LOSSES TO OTHERS/GAINS TO SELF
	389.1 / 389.1	NA	\$50,000	NA	\$50,000	1.000	NA	NA	\$50,000	389.1 / 389.1	NA	\$50,000	INVESTMENT ADVISERS ACT OF 1940/VIOLATIONS (IMPOSED BY COURT)
	389.1 / 389.1	NA	\$50,000	NA	\$50,000	1.000	NA	NA	\$50,000	389.1 / 389.1	NA	\$50,000	FOR NATURAL PERSON
	389.1 / 389.1	NA	\$50,000	NA	\$50,000	1.000	NA	NA	\$50,000	389.1 / 389.1	NA	\$50,000	FOR ANY OTHER PERSON
	389.1 / 389.1	NA	\$50,000	NA	\$50,000	1.000	NA	NA	\$50,000	389.1 / 389.1	NA	\$50,000	FOR NATURAL PERSON/FRAUD, RECKLESS DISREGARD OF REG. REQ.
	389.1 / 389.1	NA	\$250,000	NA	\$250,000	1.000	NA	NA	\$250,000	389.1 / 389.1	NA	\$250,000	FOR ANY OTHER PERSON/FRAUD, RECKLESS DISREGARD OF REG. REQ.
	389.1 / 389.1	NA	\$100,000	NA	\$100,000	1.000	NA	NA	\$100,000	389.1 / 389.1	NA	\$100,000	FOR NATURAL PERSON/SUBSTANTIAL LOSSES OR RISK OF LOSSES TO OTHERS
	389.1 / 389.1	NA	\$500,000	NA	\$500,000	1.000	NA	NA	\$500,000	389.1 / 389.1	NA	\$500,000	FOR ANY OTHER PERSON/SUBSTANTIAL LOSSES OR RISK OF LOSSES TO OTHERS
L BUSINESS ADMINISTRATION													
C 3729(e)	389.1 / 327.9	\$5,000	\$10,000	NA	\$11,866	1.187	\$5,000	\$6,000	\$10,000	389.1 / 327.9	NA	\$10,000	FALSE CLAIMS
C 3802(a)(1)	389.1 / 327.9	NA	\$5,000	NA	\$5,933	1.187	NA	NA	\$5,000	389.1 / 327.9	NA	\$5,000	PROGRAM FRAUD CIVIL REMEDIES ACT/VIOLATION INVOLVING FALSE CLAIM
C 3802(a)(2)	389.1 / 327.9	NA	\$5,000	NA	\$5,933	1.187	NA	NA	\$5,000	389.1 / 327.9	NA	\$5,000	PROGRAM FRAUD CIVIL REMEDIES ACT/VIOLATION INVOLVING FALSE STATEMENT
STATE DEPARTMENT													
DEPT. OF DEFENSE TRADE CONTROLS													
C 2778(e)	389.1 / 322.3	NA	\$500,000	NA	\$603,600	1.207	NA	NA	\$600,000	389.1 / 322.3	NA	\$500,000	VIOLATIONS INVOLVING CONTROLS ON DEFENSE ARTICLES & SVCS
C 2780(a)(6)	389.1 / 371.7	NA	\$500,000	NA	\$523,406	1.047	NA	NA	\$525,000	389.1 / 371.7	NA	\$500,000	VIOLATIONS INVOLVING CONTROLS ON DEFENSE ARTICLES & SVCS
ESSEE VALLEY AUTHORITY													
IC 1032(c)	389.1 / 371.7	\$10,000	\$100,000		\$104,661	1.047	\$10,466	\$10,000	\$100,000	389.1 / 371.7			PROHIBITED EXPENDITURE/FAILURE TO FILE OR AMEND REG. DECLARATION
DEPT. OF TRANSPORTATION													
COAST GUARD													
IC 471	389.1 / 296.1	NA	\$100	NA	\$131	1.305	NA	NA	\$100	389.1 / 296.1	NA	\$100	ANCHORAGE GROUND/HARBOR REGS: GENERAL
IC 474	389.1 / 477.1	NA	\$200	NA	\$201	4.007	NA	NA	\$200	389.1 / 477.1	NA	\$200	ANCHORAGE GROUND/HARBOR REGS: ST. MARY'S RIVER
IC 485	389.1 / 296.1	NA	\$1,000	NA	\$1,305	1.305	NA	NA	\$1,000	389.1 / 296.1	NA	\$1,000	BRIDGES/ FAILURE TO COMPLY WITH REGS.
IC 499	389.1 / 353.5	NA	\$1,000	NA	\$1,101	1.101	NA	NA	\$1,000	389.1 / 353.5	NA	\$1,000	BRIDGES/ DRAWBRIDGES

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EXHIBIT 2--CIVIL MONETARY PENALTY INFLATION ADJUSTMENTS

U.S. CODE CITATION	CIVIL MONETARY PENALTY DESCRIPTION	MINIMUM PENALTY AMOUNT		MAXIMUM PENALTY AMOUNT		INFLATION FACTOR CALCULATION	INFLATION FACTOR	MINIMUM PENALTY AMOUNT AFTER RAW INFLATION ADJUSTMENT		MAXIMUM PENALTY AMOUNT AFTER RAW INFLATION ADJUSTMENT	
		AS OF 3/1/81	SET BY LAW AS OF 3/1/81	AS OF 3/1/81	SET BY LAW AS OF 3/1/81			PL 101-410 AFTER ROUNDING	PL 101-410 AFTER ROUNDING		
33 USC 602	BRIDGES/ FAILURE TO ALTER BRDG OBSTRUCTING NAV	NA	\$1,000	NA	\$1,000	395.172502	1.339	NA	\$1,339	NA	\$1,000
33 USC 659	BRIDGES/ MAINTENANCE AND OPERATION	NA	\$1,000	NA	\$1,000	395.172502	1.305	NA	\$1,305	NA	\$1,000
33 USC 1208(a)	BRIDGE-TO-BRIDGE COMMUNICATION	NA	\$500	NA	\$500	395.172515	3.202	NA	\$1,601	NA	\$2,000
33 USC 1208(b)	BRIDGE-TO-BRIDGE COMMUNICATION	NA	\$500	NA	\$500	395.172515	3.202	NA	\$1,601	NA	\$2,000
33 USC 1232	PWSA REGULATIONS	NA	\$25,000	NA	\$25,000	395.173571	1.000	NA	\$25,000	NA	\$25,000
33 USC 1236(b)	VESSEL NAVIGATION: REGATTAS OR MARINE PARADES	NA	\$5,000	NA	\$5,000	395.173571	1.000	NA	\$5,000	NA	\$5,000
33 USC 1236(c)	VESSEL NAVIGATION: REGATTAS OR MARINE PARADES	NA	\$5,000	NA	\$5,000	395.173571	1.000	NA	\$5,000	NA	\$5,000
33 USC 1236(d)	VESSEL NAVIGATION: REGATTAS OR MARINE PARADES	NA	\$5,000	NA	\$5,000	395.173571	1.000	NA	\$5,000	NA	\$5,000
33 USC 1321(b)	OIL/HAZARDOUS SUBSTANCES: DISCHARGES	NA	\$2,500	NA	\$2,500	395.173571	1.000	NA	\$2,500	NA	\$2,500
33 USC 1321(d)	OIL/HAZARDOUS SUBSTANCES: PREVENTION REQS.	NA	\$25,000	NA	\$25,000	395.173571	1.000	NA	\$25,000	NA	\$25,000
33 USC 1322(d)	MARINE SANITATION DEVICES	NA	\$25,000	NA	\$25,000	395.173571	1.000	NA	\$25,000	NA	\$25,000
33 USC 1322(d)	MARINE SANITATION DEVICES	NA	\$2,000	NA	\$2,000	395.173571	1.144	NA	\$2,288	NA	\$2,000
33 USC 1517(a)	DEEPWATER PORTS REGULATIONS	NA	\$5,000	NA	\$5,000	395.173571	1.144	NA	\$5,720	NA	\$5,000
46 USC 2302(a)	NEGLIGENT OPERATIONS	NA	\$10,000	NA	\$10,000	395.173717	1.047	NA	\$10,468	NA	\$10,000
46 USC 2302(b)(1)	NEGLIGENT OPERATIONS	NA	\$1,000	NA	\$1,000	395.173717	1.000	NA	\$1,000	NA	\$1,000
46 USC 2302(b)(2)	VESSEL REPORTING REQUIREMENTS: OWNER	NA	\$1,000	NA	\$1,000	395.173717	1.000	NA	\$1,000	NA	\$1,000
46 USC 2302(b)(3)	VESSEL REPORTING REQUIREMENTS: MASTER	NA	\$5,000	NA	\$5,000	395.173717	1.252	NA	\$6,282	NA	\$5,000
46 USC 3102(a)(1)	IMMERSION SUITS	NA	\$1,000	NA	\$1,000	395.175107	1.252	NA	\$1,252	NA	\$1,000
46 USC 3102(a)(2)	IMMERSION SUITS	NA	\$1,000	NA	\$1,000	395.175107	1.252	NA	\$1,252	NA	\$1,000
46 USC 3302(a)(5)	INSPECTION PERMIT	NA	\$5,000	NA	\$5,000	395.173571	1.101	NA	\$5,504	NA	\$5,000
46 USC 3318(a)	VESSEL INSPECTION	NA	\$5,000	NA	\$5,000	395.173571	1.187	NA	\$5,917	NA	\$5,000
46 USC 3318(b)	VESSEL INSPECTION	NA	\$5,000	NA	\$5,000	395.173571	1.000	NA	\$5,000	NA	\$5,000
46 USC 3318(c)	VESSEL INSPECTION	NA	\$1,000	NA	\$1,000	395.173571	1.000	NA	\$1,000	NA	\$1,000
46 USC 3318(d)	VESSEL INSPECTION	NA	\$1,000	NA	\$1,000	395.173571	1.000	NA	\$1,000	NA	\$1,000
46 USC 3318(e)	VESSEL INSPECTION	NA	\$10,000	NA	\$10,000	395.173571	1.000	NA	\$10,000	NA	\$10,000
46 USC 3318(f)	VESSEL INSPECTION	NA	\$2,000	NA	\$2,000	395.173571	1.000	NA	\$2,000	NA	\$2,000
46 USC 3502(a)	LIST/COUNT OF PASSENGERS	NA	\$5,000	NA	\$5,000	395.173571	1.000	NA	\$5,000	NA	\$5,000
46 USC 3502(b)	LIST/COUNT OF PASSENGERS	NA	\$100	NA	\$100	395.173571	1.305	NA	\$131	NA	\$100
46 USC 3504(a)	NOTIFICATION TO PASSENGERS	NA	\$10,000	NA	\$10,000	395.173571	1.305	NA	\$13,063	NA	\$10,000
46 USC 3504(b)	NOTIFICATION TO PASSENGERS	NA	\$500	NA	\$500	395.173571	1.305	NA	\$653	NA	\$700
46 USC 3508	COPIES OF LAWS ON PASSENGER VESSELS	NA	\$200	NA	\$200	395.173571	1.305	NA	\$261	NA	\$300
46 USC 3718(a)(1)	DANGEROUS CARGO CARRIAGE	NA	\$5,000	NA	\$5,000	395.173571	1.000	NA	\$5,000	NA	\$5,000
46 USC 4106	UNINSPECTED VESSELS	NA	\$100,000	NA	\$100,000	395.173571	1.101	NA	\$110,101	NA	\$100,000
46 USC 4311(b)	RECREATIONAL VESSELS	NA	\$5,000	NA	\$5,000	395.173571	1.252	NA	\$6,260	NA	\$5,000
46 USC 4311(d)	RECREATIONAL VESSELS	NA	\$2,000	NA	\$2,000	395.173571	1.252	NA	\$2,504	NA	\$2,000
46 USC 4311(e)	RECREATIONAL VESSELS	NA	\$100,000	NA	\$100,000	395.173571	1.252	NA	\$125,263	NA	\$100,000
46 USC 4507	VESSEL INSPECTION	NA	\$1,000	NA	\$1,000	395.173571	1.252	NA	\$1,252	NA	\$1,000
46 USC 5116(a)	LOAD LINES	NA	\$5,000	NA	\$5,000	395.173571	1.101	NA	\$5,504	NA	\$5,000
46 USC 5116(b)	LOAD LINES	NA	\$5,000	NA	\$5,000	395.173571	1.000	NA	\$5,000	NA	\$5,000
46 USC 5116(c)	LOAD LINES	NA	\$10,000	NA	\$10,000	395.173571	1.000	NA	\$10,000	NA	\$10,000
46 USC 6103(a)	REPORTING MARINE CASUALTIES	NA	\$5,000	NA	\$5,000	395.173571	1.000	NA	\$5,000	NA	\$5,000
46 USC 6103(b)	REPORTING MARINE CASUALTIES	NA	\$1,000	NA	\$1,000	395.173571	1.101	NA	\$1,101	NA	\$1,000
46 USC 6103(c)	REPORTING MARINE CASUALTIES	NA	\$5,000	NA	\$5,000	395.173571	1.101	NA	\$5,504	NA	\$5,000
46 USC 6103(d)	REPORTING MARINE CASUALTIES	NA	\$1,000	NA	\$1,000	395.173571	1.000	NA	\$1,000	NA	\$1,000
46 USC 6103(e)	REPORTING MARINE CASUALTIES	NA	\$1,000	NA	\$1,000	395.173571	1.000	NA	\$1,000	NA	\$1,000
46 USC 6103(f)	REPORTING MARINE CASUALTIES	NA	\$10,000	NA	\$10,000	395.173571	1.000	NA	\$10,000	NA	\$10,000
46 USC 6103(g)	REPORTING MARINE CASUALTIES	NA	\$1,000	NA	\$1,000	395.173571	1.000	NA	\$1,000	NA	\$1,000
46 USC 6103(h)	REPORTING MARINE CASUALTIES	NA	\$10,000	NA	\$10,000	395.173571	1.000	NA	\$10,000	NA	\$10,000

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EXHIBIT 2—CIVIL MONETARY PENALTY INFLATION ADJUSTMENTS

U.S. CODE CITATION	CIVIL MONETARY PENALTY DESCRIPTION	MINIMUM PENALTY AMOUNT SET BY LAW AS OF 3/1/81		MAXIMUM PENALTY AMOUNT SET BY LAW AS OF 3/1/81		INFLATION FACTOR CALCULATION	INFLATION FACTOR	MINIMUM PENALTY AMOUNT AFTER RAW INFLATION ADJUSTMENT		MAXIMUM PENALTY AMOUNT AFTER RAW INFLATION ADJUSTMENT		MINIMUM PENALTY AMOUNT AFTER ROUNDING PL 101-410	MAXIMUM PENALTY AMOUNT AFTER ROUNDING PL 101-410
		AS OF 3/1/81	AS OF 3/1/81	AS OF 3/1/81	AS OF 3/1/81			AFTER RAW INFLATION ADJUSTMENT	AFTER RAW INFLATION ADJUSTMENT	AFTER ROUNDING	AFTER ROUNDING		
46 USC 8101(c)	MANNING OF INSPECTED VESSELS	NA	\$10,000	NA	\$10,000	369.173961	1.000	NA	\$10,000	NA	\$10,000	NA	\$10,000
46 USC 8102(a)	WATCHMEN ON PASSENGER VESSEL	NA	\$1,000	NA	\$1,000	369.173107	1.252	NA	\$1,252	NA	\$1,252	NA	\$1,000
46 USC 8103(f)	CITIZENSHIP REQUIREMENTS	NA	\$500	NA	\$500	369.173535	1.101	NA	\$550	NA	\$550	NA	\$500
46 USC 8104(f)	WATCHES ON VESSELS	NA	\$10,000	NA	\$10,000	369.173957	1.000	NA	\$10,000	NA	\$10,000	NA	\$10,000
46 USC 8104(d)	WATCHES ON VESSELS	NA	\$10,000	NA	\$10,000	369.173951	1.000	NA	\$10,000	NA	\$10,000	NA	\$10,000
46 USC 8302(a)	STAFF DEPARTMENT ON VESSELS	NA	\$100	NA	\$100	369.173223	1.207	NA	\$121	NA	\$121	NA	\$100
46 USC 8302(b)	OFFICERS' COMPETENCY CERTIFICATES	NA	\$100	NA	\$100	369.173223	1.305	NA	\$131	NA	\$131	NA	\$100
46 USC 8502(a)	COASTWISE PILOTAGE	NA	\$10,000	NA	\$10,000	369.173951	1.000	NA	\$10,000	NA	\$10,000	NA	\$10,000
46 USC 8502(f)	COASTWISE PILOTAGE	NA	\$10,000	NA	\$10,000	369.173951	1.000	NA	\$10,000	NA	\$10,000	NA	\$10,000
46 USC 8503	FEDERAL PILOTS	NA	\$25,000	NA	\$25,000	369.173951	1.000	NA	\$25,000	NA	\$25,000	NA	\$25,000
46 USC 8701(d)	MERCHANT MARINERS DOCUMENTS	NA	\$500	NA	\$500	369.173273	1.187	NA	\$593	NA	\$593	NA	\$500
46 USC 8702(c)	CREW REQUIREMENTS	NA	\$10,000	NA	\$10,000	369.173951	1.000	NA	\$10,000	NA	\$10,000	NA	\$10,000
46 USC 8908	SMALL VESSEL MANNING	NA	\$10,000	NA	\$10,000	369.173951	1.305	NA	\$13,005	NA	\$13,005	NA	\$10,000
46 USC 9308(a)	PILOTAGE: GREAT LAKES	NA	\$10,000	NA	\$10,000	369.173951	1.000	NA	\$10,000	NA	\$10,000	NA	\$10,000
46 USC 9308(b)	PILOTAGE: GREAT LAKES	NA	\$10,000	NA	\$10,000	369.173951	1.000	NA	\$10,000	NA	\$10,000	NA	\$10,000
46 USC 9308(c)	PILOTAGE: GREAT LAKES	NA	\$10,000	NA	\$10,000	369.173951	1.000	NA	\$10,000	NA	\$10,000	NA	\$10,000
46 USC 10104(b)	FAILURE TO REPORT SEXUAL OFFENSE	NA	\$5,000	NA	\$5,000	369.173717	1.047	NA	\$5,234	NA	\$5,234	NA	\$5,000
46 USC 10507	POSTING TO AGREEMENTS	NA	\$100	NA	\$100	369.172961	1.305	NA	\$131	NA	\$131	NA	\$100
46 USC 10308(b)	FOREIGN ENGAGEMENTS BY SEAMEN	NA	\$100	NA	\$100	369.172961	1.305	NA	\$131	NA	\$131	NA	\$100
46 USC 10308(c)	REPLACEMENT OF LOST/DESERTED SEAMEN	NA	\$200	NA	\$200	369.172961	1.305	NA	\$261	NA	\$261	NA	\$200
46 USC 10310	DISCHARGE OF SEAMEN	NA	\$50	NA	\$50	369.172961	1.305	NA	\$66	NA	\$66	NA	\$70
46 USC 10312(c)	FOREIGN/INTERCOASTAL VOYAGES	NA	\$100	NA	\$100	369.172961	1.305	NA	\$131	NA	\$131	NA	\$100
46 USC 10314(a)(2)	PAY ADVANCES TO SEAMEN	NA	\$500	NA	\$500	369.172961	1.305	NA	\$653	NA	\$653	NA	\$700
46 USC 10314(b)	PAY ADVANCES TO SEAMEN	NA	\$500	NA	\$500	369.172961	1.305	NA	\$653	NA	\$653	NA	\$700
46 USC 10315(c)	ALLOTMENTS TO SEAMEN	NA	\$500	NA	\$500	369.172961	1.305	NA	\$653	NA	\$653	NA	\$700
46 USC 10321	SEAMEN PROTECTION GENERAL	NA	\$200	NA	\$200	369.172961	1.305	NA	\$261	NA	\$261	NA	\$300
46 USC 10508(b)	SEAMEN PROTECTION GENERAL	NA	\$500	NA	\$500	369.172961	1.305	NA	\$653	NA	\$653	NA	\$700
46 USC 10711	EFFECTS OF DECEASED SEAMEN	NA	\$200	NA	\$200	369.172961	1.305	NA	\$261	NA	\$261	NA	\$300
46 USC 10903(d)	COMPLAINTS OF UNFITNESS	NA	\$100	NA	\$100	369.172961	1.305	NA	\$131	NA	\$131	NA	\$100
46 USC 10907(b)	PROCEEDINGS ON EXAMINATION OF VESSEL	NA	\$500	NA	\$500	369.172961	1.305	NA	\$653	NA	\$653	NA	\$700
46 USC 11101(f)	PERMISSION TO MAKE COMPLAINT	NA	\$500	NA	\$500	369.172961	1.305	NA	\$653	NA	\$653	NA	\$700
46 USC 11102(b)	ACCOMMODATIONS FOR SEAMEN	NA	\$100	NA	\$100	369.172961	1.305	NA	\$131	NA	\$131	NA	\$100
46 USC 11104(b)	MEDICINE CHESTS ON VESSELS	NA	\$500	NA	\$500	369.172961	1.305	NA	\$653	NA	\$653	NA	\$700
46 USC 11105(c)	DESTITUTE SEAMEN	NA	\$500	NA	\$500	369.172961	1.305	NA	\$653	NA	\$653	NA	\$700
46 USC 11105(d)	WAGES ON DISCHARGE	NA	\$200	NA	\$200	369.172961	1.305	NA	\$261	NA	\$261	NA	\$300
46 USC 11303(a)	MERCHANT SEAMEN PROTECTION AND RELIEF	NA	\$200	NA	\$200	369.172961	1.305	NA	\$261	NA	\$261	NA	\$300
46 USC 11303(b)	MERCHANT SEAMEN PROTECTION AND RELIEF	NA	\$200	NA	\$200	369.172961	1.305	NA	\$261	NA	\$261	NA	\$300
46 USC 11303(c)	MERCHANT SEAMEN PROTECTION AND RELIEF	NA	\$200	NA	\$200	369.172961	1.305	NA	\$261	NA	\$261	NA	\$300
46 USC 11303(d)	MERCHANT SEAMEN PROTECTION AND RELIEF	NA	\$200	NA	\$200	369.172961	1.305	NA	\$261	NA	\$261	NA	\$300
46 USC 11303(e)	MERCHANT SEAMEN PROTECTION AND RELIEF	NA	\$200	NA	\$200	369.172961	1.305	NA	\$261	NA	\$261	NA	\$300
46 USC 11303(f)	MERCHANT SEAMEN PROTECTION AND RELIEF	NA	\$200	NA	\$200	369.172961	1.305	NA	\$261	NA	\$261	NA	\$300
46 USC 11303(g)	MERCHANT SEAMEN PROTECTION AND RELIEF	NA	\$200	NA	\$200	369.172961	1.305	NA	\$261	NA	\$261	NA	\$300
46 USC 11506	CARRYING SHEATH KNIVES	NA	\$150	NA	\$150	369.172961	1.305	NA	\$196	NA	\$196	NA	\$200
46 USC 12122(a)	IDENTIFICATION OF VESSELS	NA	\$50	NA	\$50	369.173273	1.187	NA	\$59	NA	\$59	NA	\$70

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EXHIBIT 2—CIVIL MONETARY PENALTY INFLATION ADJUSTMENTS

U.S. CODE CITATION	CIVIL MONETARY PENALTY DESCRIPTION	MINIMUM PENALTY AMOUNT		MAXIMUM PENALTY AMOUNT		INFLATION FACTOR	CALCULATION	MINIMUM PENALTY AMOUNT		MAXIMUM PENALTY AMOUNT	
		3/1/91	AS OF	3/1/91	AS OF			AFTER INFLATION ADJUSTMENT	AFTER INFLATION ADJUSTMENT	AFTER INFLATION ADJUSTMENT	AFTER INFLATION ADJUSTMENT
46 USC 12309(b)	NUMBERING OF UNDOCUMENTED VESSELS	NA	\$1,000	NA	\$1,000	369.1/328.1		NA	\$1,305	NA	\$1,305
46 USC 12507(b)	VESSEL IDENTIFICATION SYSTEM	NA	\$10,000	NA	\$10,000	369.1/353.5		NA	1,101	NA	\$11,007
46 USC 14701	MEASUREMENT OF VESSELS	NA	\$20,000	NA	\$20,000	369.1/327.9		NA	1,187	NA	\$23,793
46 USC 14701	REGISTRY/RECORDING; TONNAGE	NA	\$20,000	NA	\$20,000	369.1/327.9		NA	1,187	NA	\$23,793
46 USC 14702	MEASUREMENTS/FALSE STATEMENTS	NA	\$20,000	NA	\$20,000	369.1/327.9		NA	1,187	NA	\$23,793
49 USC 1809(a)(1)	HAZARDOUS MATERIALS - RELATING TO VESSELS	NA	\$10,000	NA	\$10,000	369.1/300.5		NA	2,423	NA	\$24,228
U.S. DEPT. OF TRANSPORTATION											
FEDERAL AVIATION ADMINISTRATION											
49 APP. USC 1471(a)	VOLATIONS/FEDERAL AVIATION ACT OF 1958	NA	\$1,000	NA	\$1,000	369.1/328.1		NA	4,488	NA	\$4,488
49 APP. USC 1471(a)	IMPROPER AIRCRAFT OPERATION FOR HIRE	NA	\$10,000	NA	\$10,000	369.1/340.3		NA	1,144	NA	\$11,441
49 APP. USC 1809(a)	VIOLATION OF HAZARDOUS MAT. REGS. OR TRANSPORT	\$250	\$25,000	\$250	\$25,000	369.1/369.1		\$250	1,000	\$250	\$25,000
U.S. DEPT. OF TRANSPORTATION											
FEDERAL HIGHWAY ADMINISTRATION											
49 USC APP. 621(b)(2)(A)	FEDERAL MOTOR CARRIER SAFETY REGULATIONS ACT --VIOLATIONS	NA	\$500	NA	\$500	369.1/310.7		NA	1,252	NA	\$626
	--VIOLATIONS/SERIOUS PATTERN OF ABUSE	NA	\$2,500	NA	\$2,500	369.1/310.7		NA	1,252	NA	\$3,131
	--SERIOUS HEALTH OR SAFETY VIOLATION	NA	\$1,000	NA	\$1,000	369.1/310.7		NA	1,252	NA	\$1,252
	--EMPLOYEE W/NEGLIGENT ACTIONS	NA	\$10,000	NA	\$10,000	369.1/310.7		NA	1,252	NA	\$12,523
49 USC APP. 621(b)(2)(B)	VIOLATIONS/COMMERCIAL DRIVERS LICENSE STANDARDS	NA	\$1,000	NA	\$1,000	369.1/310.7		NA	1,252	NA	\$1,252
49 USC APP. 1809(a)	VIOLATION OF HAZARDOUS MAT. REGS. OR TRANSPORT	NA	\$2,500	NA	\$2,500	369.1/327.9		NA	1,187	NA	\$2,967
49 USC APP. 10927 NOTE	MOTOR CARRIER FAILURE TO MAINTAIN FIN. RESPONSIBILITY	\$250	\$25,000	\$250	\$25,000	369.1/369.1		\$250	1,000	\$250	\$25,000
		NA	\$10,000	NA	\$10,000	369.1/271.3		NA	1,434	NA	\$14,342
U.S. DEPT. OF TRANSPORTATION											
FEDERAL RAILROAD ADMINISTRATION											
45 USC 13	RAILROAD SAFETY VIOLATIONS	\$250	\$10,000	\$250	\$10,000	369.1/353.5		\$275	1,101	\$275	\$11,007
	--VIOLATIONS WITH WILLFUL INTENT	NA	\$20,000	NA	\$20,000	369.1/353.5		NA	1,101	NA	\$22,014
45 USC 34	VIOLATIONS INVOLVING UNSAFE OPERATION, INSPECTIONS, REQ. REPORTS	\$250	\$10,000	\$250	\$10,000	369.1/353.5		\$275	1,101	\$275	\$11,007
	--VIOLATIONS WITH WILLFUL INTENT	NA	\$20,000	NA	\$20,000	369.1/353.5		NA	1,101	NA	\$22,014
45 USC 43	REPORTING VIOLATIONS	\$250	\$10,000	\$250	\$10,000	369.1/353.5		\$275	1,101	\$275	\$11,007
	--VIOLATIONS WITH WILLFUL INTENT	NA	\$20,000	NA	\$20,000	369.1/353.5		NA	1,101	NA	\$22,014
45 USC 64a	VIOLATIONS INVOLVING WORK HOURS	NA	\$1,000	NA	\$1,000	369.1/353.5		NA	1,101	NA	\$1,101
45 USC 438	VIOLATIONS INVOLVING SAFETY RULES	\$250	\$10,000	\$250	\$10,000	369.1/353.5		\$275	1,101	\$275	\$11,007
	--VIOLATIONS WITH WILLFUL INTENT	NA	\$20,000	NA	\$20,000	369.1/353.5		NA	1,101	NA	\$22,014
49 APP. USC 26	VIOLATION INVOLVING SAFETY APPLIANCES, METHODS, SYSTEMS	\$250	\$10,000	\$250	\$10,000	369.1/353.5		\$275	1,101	\$275	\$11,007
	--VIOLATIONS WITH WILLFUL INTENT	NA	\$20,000	NA	\$20,000	369.1/353.5		NA	1,101	NA	\$22,014

NOTES: (1) THE "INFLATION FACTOR" IS THE RESULT OF DIVIDING THE JUNE 1990 CPI BY THE CPI FOR JUNE OF THE YEAR OF LAST ADJUSTMENT.

EXHIBIT 2—CIVIL MONETARY PENALTY INFLATION ADJUSTMENTS

U.S. CODE CITATION	CIVIL MONETARY PENALTY DESCRIPTION	MINIMUM PENALTY AMOUNT	MAXIMUM PENALTY AMOUNT	SET BY LAW AS OF 3/1/91	SET BY LAW AS OF 3/1/91	INFLATION FACTOR CALCULATION	MINIMUM PENALTY AMOUNT		MAXIMUM PENALTY AMOUNT		MINIMUM PENALTY AMOUNT AFTER ROUNDING	MAXIMUM PENALTY AMOUNT AFTER ROUNDING
							INFLATION FACTOR	ADJUSTMENT	INFLATION FACTOR	ADJUSTMENT		
U.S. DEPT. OF TRANSPORTATION MARITIME ADMINISTRATION												
46 APP. USC 1122a	FAILURE TO REPORT POSITION	NA	\$50	NA	NA	389.17/31.4	4.780	NA	NA	\$239	NA	\$200
46 USC 31309	IMPROPER VESSEL TRANSFER	NA	\$10,000	NA	NA	389.17/389.1	1.000	NA	NA	\$10,000	NA	\$10,000
U.S. DEPT. OF TRANSPORTATION NATIONAL HIGHWAY TRAFFIC SAFETY ADMINISTRATION												
15 USC 1398(a)	VIOLATIONS OF MOTOR VEHICLE SAFETY ACT —RELATED SERIES OF VIOLATIONS	NA	\$1,000	NA	NA	389.17/97.1	4.007	NA	NA	\$4,007	NA	\$4,000
15 USC 1917(a)	NON-COMPLIANCE/BUMPER STANDARDS —RELATED SERIES OF VIOLATIONS	NA	\$800,000	NA	NA	389.17/146.9	2.649	NA	NA	\$2,116,963	NA	\$2,125,000
15 USC 1946(a)	FAILURE TO PROVIDE CONSUMER INFORMATION —RELATED SERIES OF VIOLATIONS	NA	\$800,000	NA	NA	389.17/325.0	3.113	NA	NA	\$3,113	NA	\$3,000
15 USC 1990b(e)	ODOMETER VIOLATIONS —RELATED SERIES OF VIOLATIONS	NA	\$400,000	NA	NA	389.17/225.0	3.113	NA	NA	\$3,113	NA	\$3,000
15 USC 2008(b)(1)	VIOLATIONS/AVG. FUEL ECONOMY STANDARDS	NA	\$2,000	NA	NA	389.17/327.9	1.187	NA	NA	\$2,379	NA	\$2,000
15 USC 2028(a)(1)	VIOLATIONS/VEHICLE THEFT PREVENTION STANDS.	NA	\$5	NA	NA	389.17/370.1	2.287	NA	NA	\$228,748	NA	\$225,000
15 USC 2028(a)(4)	MULTIPLE VIOLATIONS/VEHICLE THEFT PREVENTION STANDS.	NA	\$1,000	NA	NA	389.17/310.7	2.423	NA	NA	\$12	NA	\$10
U.S. DEPT. OF TRANSPORTATION OFFICE OF THE SECRETARY												
49 USC APP. 1471(a)(1)	VIOLATIONS/TITLE 4 OF FED. AVIATION ACT	NA	\$1,000	NA	NA	389.17/90.5	4.290	NA	NA	\$4,290	NA	\$4,000
U.S. DEPT. OF TRANSPORTATION RESEARCH AND SPECIAL PROJECTS ADMINISTRATION												
49 USC 1679	NATURAL GAS PIPELINE SAFETY	NA	\$10,000	NA	NA	389.17/253.5	1.101	NA	NA	\$11,007	NA	\$10,000
49 USC 1699	HAZARDOUS MATERIALS TRANSPORTATION SAFETY	\$250	\$25,000	\$250	NA	389.17/389.1	1.000	\$250	\$25,000	\$25,000	\$300	\$25,000
49 USC 2007	HAZARDOUS LIQUID PIPELINE SAFETY	NA	\$10,000	NA	NA	389.17/353.5	1.101	NA	NA	\$11,007	NA	\$10,000
U.S. DEPT. OF TRANSPORTATION ST. LAWRENCE SEAWAY DEVELOPMENT CORP.												
33 USC 1222	SEAWAY REGULATIONS	NA	\$25,000	NA	NA	389.17/389.1	1.000	NA	NA	\$25,000	NA	\$25,000
U.S. DEPT. OF THE TREASURY BUREAU OF ALCOHOL TOBACCO AND FIREARMS												
26 USC 5184(c)(2)	NON-COMPLIANCE-SUBCHAPT. F-DRAWBACK RECS.	NA	\$1,000	NA	NA	389.17/310.7	1.252	NA	NA	\$1,252	NA	\$1,000
26 USC 5781(e)	NON-COMPLIANCE-CHAPTER 62 - TOBACCO	NA	\$1,000	NA	NA	389.17/41.4	9.389	NA	NA	\$9,389	NA	\$9,000

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EXHIBIT 2—CIVIL MONETARY PENALTY INFLATION ADJUSTMENTS

U.S. CODE CITATION	CIVIL MONETARY PENALTY DESCRIPTION	MINIMUM PENALTY AMOUNT		MAXIMUM PENALTY AMOUNT		INFLATION FACTOR CALCULATION	MINIMUM PENALTY AMOUNT AFTER RAW INFLATION ADJUSTMENT		MAXIMUM PENALTY AMOUNT AFTER RAW INFLATION ADJUSTMENT		MINIMUM PENALTY AMOUNT AFTER FL 101-410 ROUNDING		MAXIMUM PENALTY AMOUNT AFTER FL 101-410 ROUNDING	
		AS OF 3/1/91	SET BY LAW AS OF 3/1/91	AS OF 3/1/91	SET BY LAW AS OF 3/1/91		INFLATION FACTOR	INFLATION ADJUSTMENT	INFLATION ADJUSTMENT	INFLATION ADJUSTMENT	INFLATION ADJUSTMENT	INFLATION ADJUSTMENT	INFLATION ADJUSTMENT	INFLATION ADJUSTMENT
26 USC 7265	POSSESSION OF GOODS WITH FRAUDULENT INTENT TO EVADE TAX	NA	\$500	4.822	NA	\$2,411	NA	NA	NA	NA	NA	NA	\$2,000	
26 USC 7271	STAMP PENALTIES	NA	\$50	2.140	NA	\$107	NA	NA	NA	NA	NA	NA	\$100	
26 USC 7304	FRAUDULENTLY CLAIMING A DRAWBACK	NA	\$500	2.140	NA	\$1,070	NA	NA	NA	NA	NA	NA	\$1,000	
26 USC 7342	REFUSAL TO PERMIT GOVT EMPLOYEE TO ENTER OR EXAMINE	NA	500	4.822	NA	\$2,411	NA	NA	NA	NA	NA	NA	\$2,000	
27 USC 219	NON-COMPLIANCE-ALCOHOLIC BEV. LABELING	NA	\$10,000	1.101	NA	\$11,007	NA	NA	NA	NA	NA	NA	\$10,000	
U.S. DEPT. OF THE TREASURY														
U.S. CUSTOMS SERVICE														
19 USC 292	FAILURE TO COMPLY WITH PROVISIONS OR REQUIREMENTS	NA	\$20	13.280	NA	\$266	NA	NA	NA	NA	NA	NA	\$300	
19 USC 409	DEALING IN OR USING EMPTY STAMPED IMPORTED LIQUOR CONTAINERS	NA	\$200	13.280	NA	\$2,656	NA	NA	NA	NA	NA	NA	\$3,000	
19 USC 1436(b)	FORGED, ALTERED, OR INCOMPLETE MANIFEST OR DOCUMENT	NA	\$5,000	1.187	NA	\$5,933	NA	NA	NA	NA	NA	NA	\$6,000	
19 USC 1439	FORGED, ALTERED, OR INCOMPLETE MANIFEST OR DOCUMENT	NA	\$10,000	1.187	NA	\$11,868	NA	NA	NA	NA	NA	NA	\$10,000	
19 USC 1439	FAILURE TO DELIVER OR CORRECT MANIFEST	NA	\$500	7.720	NA	\$3,860	NA	NA	NA	NA	NA	NA	\$4,000	
19 USC 1440	FAILURE TO CORRECT MANIFEST	NA	\$500	7.720	NA	\$3,860	NA	NA	NA	NA	NA	NA	\$4,000	
19 USC 1445	FAILURE TO HAVE PERMIT TO VISIT ANOTHER PORT/DISTRICT	NA	\$500	7.720	NA	\$3,860	NA	NA	NA	NA	NA	NA	\$4,000	
19 USC 1454	UNLOADING PASSENGERS WITHOUT PERMIT	NA	\$1,000	1.187	NA	\$1,187	NA	NA	NA	NA	NA	NA	\$1,000	
19 USC 1455	UNLOADING PASSENGERS WITHOUT PERMIT	NA	\$500	1.187	NA	\$593	NA	NA	NA	NA	NA	NA	\$600	
19 USC 1455	OBSTRUCTION OR HINDRANCE OF CUSTOMS OFFICER	NA	\$500	7.720	NA	\$3,860	NA	NA	NA	NA	NA	NA	\$4,000	
19 USC 1459	FAILURE OF INDIVIDUALS TO REPORT ARRIVAL TO CUSTOMS	NA	\$5,000	1.187	NA	\$5,933	NA	NA	NA	NA	NA	NA	\$6,000	
19 USC 1459	FAILURE OF INDIVIDUALS TO REPORT ARRIVAL TO CUSTOMS	NA	\$10,000	1.187	NA	\$11,868	NA	NA	NA	NA	NA	NA	\$10,000	
19 USC 1506	PRESENTATION BY VESSEL MASTER OF FORGED, ALTERED, FALSE DOCUMENT	NA	\$500	1.101	NA	\$1,101	NA	NA	NA	NA	NA	NA	\$10,000	
19 USC 1591(c)	FAILURE OF A VESSEL OR VEHICLE TO STOP WHEN DIRECTED	\$500	\$5,000	7.720	\$3,860	\$38,601	\$3,860	\$38,601	\$4,000	\$40,000	\$4,000	\$40,000	\$40,000	
19 USC 1591(f)	FAILURE OF A VESSEL OR VEHICLE TO STOP WHEN DIRECTED	\$1,000	\$5,000	7.720	\$7,720	\$38,601	\$7,720	\$38,601	\$8,000	\$40,000	\$8,000	\$40,000	\$40,000	
19 USC 1594	FAILURE OF VESSEL TO REPORT ARRIVAL TO CUSTOMS	NA	\$10,000	1.187	NA	\$11,868	NA	NA	NA	NA	NA	NA	\$10,000	
19 USC 1595	DEPARTURE OF VESSEL WHO MAKING RFT OR ENTRY	NA	\$5,000	1.187	NA	\$5,933	NA	NA	NA	NA	NA	NA	\$6,000	
19 USC 1595	DEPARTURE OF VESSEL WHO MAKING RFT OR ENTRY	NA	\$10,000	1.187	NA	\$11,868	NA	NA	NA	NA	NA	NA	\$10,000	
19 USC 1596(a)	UNLOADING BEFORE VESSEL COMES TO PROPER PLACE	NA	\$10,000	1.187	NA	\$11,868	NA	NA	NA	NA	NA	NA	\$10,000	
19 USC 1596(b)	TRANSSHIPMENT OF PROHIBITED MERCHANDISE FOR PURPOSES OF ILLEGAL ENTRY	NA	\$10,000	1.187	NA	\$11,868	NA	NA	NA	NA	NA	NA	\$10,000	
19 USC 1596(c)	TRANSSHIPMENT OF PROHIBITED MERCHANDISE TO U.S. VESSEL	NA	\$10,000	1.187	NA	\$11,868	NA	NA	NA	NA	NA	NA	\$10,000	
19 USC 1596(d)	MASTER RECEIVES UNLAWFULLY TRANSSHIPPED MERCHANDISE	NA	\$10,000	1.187	NA	\$11,868	NA	NA	NA	NA	NA	NA	\$10,000	
19 USC 1597(a)	REFUSAL BY VESSEL MASTER TO COMPLY WITH DIRECTIONS OF CUSTOMS OFFICER OR ANS	\$500	\$5,000	9.467	\$4,734	\$47,335	\$4,734	\$47,335	\$5,000	\$46,000	\$5,000	\$46,000	\$46,000	
19 USC 1599	USE OF AIRCRAFT TO INTRODUCE MERCHANDISE	NA	\$10,000	1.187	NA	\$11,868	NA	NA	NA	NA	NA	NA	\$10,000	
19 USC 1599	CUSTOMS OFFICER OWNERSHIP OR INTEREST IN VESSEL, CARGO, OR IMPORT MERCHANDISE	NA	\$500	1.982	NA	\$996	NA	NA	NA	NA	NA	NA	\$1,000	
19 USC 1627(a)(1)	IMPORT/EXPORT VEHICLE	NA	\$10,000	1.252	NA	\$12,520	NA	NA	NA	NA	NA	NA	\$16,000	
19 USC 1627(a)(1)	VIOLATION OF REGULATIONS	NA	\$500	1.252	NA	\$626	NA	NA	NA	NA	NA	NA	\$600	
19 USC 1641(a)(9)	CONDUCTING CUSTOMS BUSINESS W/O A LICENSE	NA	\$10,000	1.187	NA	\$11,868	NA	NA	NA	NA	NA	NA	\$10,000	

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EXHIBIT 2—CIVIL MONETARY PENALTY INFLATION ADJUSTMENTS

U.S. CODE CITATION	CIVIL MONETARY PENALTY DESCRIPTION	MINIMUM PENALTY AMOUNT		MAXIMUM PENALTY AMOUNT		INFLATION FACTOR	INFLATION CALCULATION	MINIMUM PENALTY AMOUNT		MAXIMUM PENALTY AMOUNT	
		SET BY LAW AS OF 3/1/91	AS OF 3/1/91	SET BY LAW AS OF 3/1/91	AS OF 3/1/91			INFLATION AFTER RAW ADJUSTMENT	INFLATION AFTER ROUNDING	INFLATION AFTER RAW ADJUSTMENT	INFLATION AFTER ROUNDING
19 USC 1641(a)(2)(A)	CUSTOMS BROKER PENALTIES	NA	\$50,000	NA	\$50,000	1.187	385.1 / 327.9	NA	\$35,899	NA	\$35,000
19 USC 1708(a)	LADING VESSEL IN FOREIGN PORT WITH LIQUOR FOR IMPORTATION	NA	\$1,000	NA	\$1,000	9.487	385.1 / 41.1	NA	\$9,487	NA	\$9,000
48 USC 91	VESSEL/AIRCRAFT DEPARTURE	\$1,000	\$5,000	\$1,000	\$5,000	9.487	385.1 / 41.1	\$9,487	\$47,886	\$9,000	\$45,000
48 USC 91	VESSEL/AIRCRAFT DEPARTURE	\$500	\$1,000	\$500	\$1,000	9.487	385.1 / 41.1	\$4,734	\$9,487	\$5,000	\$9,000
48 USC 91	VESSEL/AIRCRAFT DEPARTURE	NA	\$1,000	NA	\$1,000	9.487	385.1 / 41.1	NA	\$9,487	NA	\$9,000
48 USC 91	VESSEL/AIRCRAFT DEPARTURE	NA	\$50	NA	\$50	9.487	385.1 / 41.1	NA	\$473	NA	\$500
48 USC 91	VESSEL/AIRCRAFT DEPARTURE	NA	\$100	NA	\$100	9.487	385.1 / 41.1	NA	\$947	NA	\$900
48 USC 289	COMA-TWISE TRANSPORT PASSENGER	NA	\$200	NA	\$200	13.280	385.1 / 28.3	NA	\$2,856	NA	\$3,000
48 USC APP. 1474	VIOLATION BY AIRCRAFT OPERATORS OF ANY REGS IN PART 122	NA	\$5,000	NA	\$5,000	1.187	385.1 / 327.9	NA	\$593	NA	\$600
U.S. DEPT. OF THE TREASURY DEPARTMENTAL OFFICES/OFFICE OF ENFORCEMENT											
12 USC 1828b & 1951-1969, & 31 USC 5311, 5326, 5321	NEGLIGENT RECORDKEEPING AND REPORTING	NA	\$500	NA	\$500	1.187	385.1 / 327.9	NA	\$593	NA	\$600
12 USC 1828b, 1951-1959 & 31 USC 5311, 5326, 5321	FAILURE TO MAINTAIN FINANCIAL RECORDS	NA	\$10,000	NA	\$10,000	1.187	385.1 / 327.9	NA	\$11,007	NA	\$10,000
31 USC 5313 & 5321	FAILURE TO FILE CURRENCY TRANSACTION REPORT	\$25,000	\$100,000	\$25,000	\$100,000	1.187	385.1 / 327.9	\$29,866	\$118,864	\$30,000	\$120,000
31 USC 5314 & 5321	FAIL TO MAINTAIN FOREIGN ACCOUNT RECORDS	\$25,000	\$100,000	\$25,000	\$100,000	1.187	385.1 / 327.9	\$29,866	\$118,864	\$30,000	\$120,000
31 USC 5314 & 5321	FAILURE TO FILE FOREIGN BANK ACCOUNT REP	\$25,000	\$100,000	\$25,000	\$100,000	1.187	385.1 / 327.9	\$29,866	\$118,864	\$30,000	\$120,000
U.S. DEPT. OF THE TREASURY INTERNAL REVENUE SERVICE											
26 USC 6652(a)	FAILURE TO FILE INFO RETURN ON LESS THAN \$10	\$1	\$1,000	\$1	\$1,000	1.187	385.1 / 327.9	\$1	\$1,187	\$0	\$1,000
26 USC 6652(c)(1)(A)	FAILURE TO FILE RETURN BY EXEMPT ORGANIZATION	\$10	\$3,000	\$10	\$3,000	1.144	385.1 / 340.1	\$11	\$6,720	\$10	\$6,000
26 USC 6652(c)(1)(B)	FAILURE TO FILE RETURN BY MGR OF EXEMPT ORGAN	\$10	\$5,000	\$10	\$5,000	1.144	385.1 / 340.1	\$11	\$6,720	\$10	\$6,000
26 USC 6652(c)(1)(C)	FAILURE TO ALLOW PUBLIC INSPECTION OF EXEMPT ORG RTN	\$10	\$5,000	\$10	\$5,000	1.144	385.1 / 340.1	\$11	\$6,720	\$10	\$6,000
26 USC 6652(c)(1)(D)	FAILURE TO ALLOW PUBLIC INSPECTION OF APPL FOR EXEMPTION	NA	\$10	NA	\$10	3.547	385.1 / 109.7	NA	\$35	NA	\$40
26 USC 6652(c)(2)(A)	FAILURE TO FILE RETURN BY EXEMPT ORG OR TRUST	\$10	\$5,000	\$10	\$5,000	1.144	385.1 / 340.1	\$11	\$6,720	\$10	\$6,000
26 USC 6652(c)(2)(B)	FAILURE TO FILE RETURN BY MGR OF EXEMPT ORG OR TRUST	\$10	\$5,000	\$10	\$5,000	1.144	385.1 / 340.1	\$11	\$6,720	\$10	\$6,000
26 USC 6652(c)(1)	FAILURE TO REGISTER EMPLOYEE PLAN	\$1	\$5,000	\$1	\$5,000	1.187	385.1 / 327.9	\$1	\$5,933	\$0	\$6,000
26 USC 6652(c)(2)	FAILURE TO NOTIFY OF CHANGE IN PLAN STATUS	\$1	\$1,000	\$1	\$1,000	1.187	385.1 / 327.9	\$1	\$1,187	\$0	\$1,000
26 USC 6652(e)	FAILURE TO FILE INFO ON EMPLOYEE PLAN	\$25	\$15,000	\$25	\$15,000	1.187	385.1 / 327.9	\$30	\$17,800	\$30	\$20,000
26 USC 6652(f)	FAILURE TO FILE RTN OF FOREIGN INVESTMENT IN U.S. REALTY	\$25	\$25,000	\$25	\$25,000	1.187	385.1 / 327.9	\$30	\$29,688	\$30	\$30,000
26 USC 6652(g)	FAILURE TO FILE INFO ON EMPLOYEE CONTRIBUTIONS	\$25	\$10,000	\$25	\$10,000	1.187	385.1 / 327.9	\$30	\$11,888	\$30	\$10,000
26 USC 6652(h)	FAILURE TO NOTIFY RECIPIENTS OF PLAN DISTRIBUTIONS	\$10	\$5,000	\$10	\$5,000	1.187	385.1 / 327.9	\$12	\$5,933	\$10	\$6,000
26 USC 6652(i)	FAILURE TO NOTIFY RECIPIENTS OF ROLLOVER DISTRIBUTIONS	\$10	\$5,000	\$10	\$5,000	1.187	385.1 / 327.9	\$12	\$5,933	\$10	\$6,000
26 USC 6652(j)	FAILURE TO FILE RESIDENTIAL RENTAL PROPERTY CERTIFICATE	NA	\$100	NA	\$100	1.187	385.1 / 327.9	NA	\$119	NA	\$100

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EXHIBIT 2—CIVIL MONETARY PENALTY INFLATION ADJUSTMENTS

U.S. CODE CITATION	CIVIL MONETARY PENALTY DESCRIPTION	MINIMUM PENALTY AMOUNT		MAXIMUM PENALTY AMOUNT		INFLATION FACTOR CALCULATION	MINIMUM PENALTY AMOUNT AFTER INFLATION ADJUSTMENT		MAXIMUM PENALTY AMOUNT AFTER INFLATION ADJUSTMENT		MINIMUM PENALTY AMOUNT PL 101-410 ROUNDING	MAXIMUM PENALTY AMOUNT PL 101-410 ROUNDING
		SET BY LAW AS OF 3/1/81	AS OF 3/1/81	SET BY LAW AS OF 3/1/81	AS OF 3/1/81		INFLATION FACTOR	INFLATION ADJUSTMENT	INFLATION ADJUSTMENT	INFLATION ADJUSTMENT		
28 USC 6652(c)	FAILURE TO FILE MISCELLANEOUS CORP RETURNS	\$500	NA	\$100,000	\$15	399.1 / 371.7	\$500	NA	\$100,000	\$16	\$500	NA
28 USC 6657	PAYMENT OF TAX WITH BAD CHECK (IF CHECK AMOUNT < \$750)	NA	NA	\$10,000	\$15	399.1 / 371.7	NA	NA	\$10,000	\$16	NA	\$20
28 USC 6675(a)	FRIVOLOUS SUIT BEFORE TAX COURT	NA	NA	\$25,000	NA	399.1 / 371.7	NA	NA	\$25,170	NA	NA	\$25,000
28 USC 6679(b)	FRIVOLOUS SUITS BEFORE OTHER COURTS	NA	NA	\$10,000	NA	399.1 / 371.7	NA	NA	\$10,498	NA	NA	\$10,000
28 USC 6674	FURNISHING FALSE WAGE WITHHOLDING CERTIFICATE	NA	NA	\$50	NA	399.1 / 371.7	NA	NA	\$205	NA	NA	\$50
28 USC 6675	EXCESSIVE CLAIMS RE USE OF FUELS	NA	NA	\$10	NA	399.1 / 371.7	NA	NA	\$48	NA	NA	\$50
28 USC 6677	FAILURE TO FILE RETURN FOR FOREIGN TRUST	NA	NA	\$1,000	NA	399.1 / 371.7	NA	NA	\$2,423	NA	NA	\$2,000
28 USC 6679	FAILURE TO FILE RETURN FOR FOREIGN CORP OR PARTNERSHIP	NA	NA	\$1,000	NA	399.1 / 371.7	NA	NA	\$1,338	NA	NA	\$1,000
28 USC 6682	FALSE WITHHOLDING CERTIFICATE: BACKUP OR OTHER WITHHOLDING (NON-WAGE)	NA	NA	\$500	NA	399.1 / 371.7	NA	NA	\$653	NA	NA	\$700
28 USC 6685	WILLFUL FAILURE TO ALLOW PUBLIC INSPECTION OF EXEMPT ORG RTN	NA	NA	\$1,000	NA	399.1 / 371.7	NA	NA	\$1,187	NA	NA	\$1,000
28 USC 6686	FAILURE SUPPLY INFO BY DISC OR FSC	\$100	NA	\$25,000	NA	399.1 / 371.7	\$125	NA	\$1,308	\$100	NA	\$30,000
28 USC 6686	FAILURE TO FILE RETURN BY DISC OR FSC	NA	NA	\$1,000	NA	399.1 / 371.7	NA	NA	\$1,262	NA	NA	\$1,000
28 USC 6688	FAILURE TO FURNISH INFO RE U.S. POSSESSIONS	NA	NA	\$100	NA	399.1 / 371.7	NA	NA	\$311	NA	NA	\$300
28 USC 6689	FRAUDULENT STATEMENT TO EMPLOYEE PLAN PARTICIPANT	NA	NA	\$50	NA	399.1 / 371.7	NA	NA	\$152	NA	NA	\$100
28 USC 6692	FAILURE TO FILE ACTUARIAL REPORT	NA	NA	\$1,000	NA	399.1 / 371.7	NA	NA	\$2,649	NA	NA	\$3,000
28 USC 6693(a)	FAILURE TO FILE IRA REPORT	NA	NA	\$50	NA	399.1 / 371.7	NA	NA	\$65	NA	NA	\$50
28 USC 6693(b)(1)	OVERSTATED NON-DEDUCTIBLE IRA CONTRIBUTIONS	NA	NA	\$100	NA	399.1 / 371.7	NA	NA	\$110	NA	NA	\$100
28 USC 6693(b)(2)	FAILURE TO FILE FORMS FOR IRA	NA	NA	\$50	NA	399.1 / 371.7	NA	NA	\$55	NA	NA	\$50
28 USC 6694(a)	PREPARER UNDERSTATEMENT DUE TO UNREALISTIC POSITION	NA	NA	\$250	NA	399.1 / 371.7	NA	NA	\$282	NA	NA	\$300
28 USC 6694(b)	PREPARER WILLFUL UNDERSTATEMENT	NA	NA	\$1,000	NA	399.1 / 371.7	NA	NA	\$1,047	NA	NA	\$1,000
28 USC 6695(a)	PREPARER FAILURE TO FURNISH COPY OF RTN TO TAXPAYER	\$50	NA	\$25,000	NA	399.1 / 371.7	\$52	NA	\$28,170	\$50	NA	\$25,000
28 USC 6695(b)	PREPARER FAILURE TO SIGN RETURN	\$50	NA	\$25,000	NA	399.1 / 371.7	\$52	NA	\$28,170	\$50	NA	\$25,000
28 USC 6695(c)	PREPARER FAILURE TO FURNISH HIS ID NUMBER ON RTN	\$50	NA	\$25,000	NA	399.1 / 371.7	\$52	NA	\$28,170	\$50	NA	\$25,000
28 USC 6695(d)	PREPARER FAILURE TO RETAIN COPY OF RETURN	\$50	NA	\$25,000	NA	399.1 / 371.7	\$52	NA	\$28,170	\$50	NA	\$25,000
28 USC 6695(e)	PREPARER FAILURE TO FILE INFO RETURNS	\$50	NA	\$25,000	NA	399.1 / 371.7	\$52	NA	\$28,170	\$50	NA	\$25,000
28 USC 6695(f)	PREPARER NEGOTIATION OF REFUND CHECK	NA	NA	\$500	NA	399.1 / 371.7	NA	NA	\$1,144	NA	NA	\$1,000
28 USC 6695(g)	FAILURE TO FILE PARTNERSHIP RETURN	NA	NA	\$50	NA	399.1 / 371.7	NA	NA	\$100	NA	NA	\$100
28 USC 6698	PROMOTING ABUSIVE TAX SHELTERS	NA	NA	\$1,000	NA	399.1 / 371.7	NA	NA	\$1,047	NA	NA	\$1,000
28 USC 6701	AIDING AND ABETTING UNDERSTATEMENT OF TAX — IF A CORPORATION	NA	NA	\$1,000	NA	399.1 / 371.7	NA	NA	\$1,047	NA	NA	\$1,000
28 USC 6702	FILING FRIVOLOUS INCOME TAX RETURN	NA	NA	\$10,000	NA	399.1 / 371.7	NA	NA	\$10,469	NA	NA	\$10,000
28 USC 6704	FAILURE TO KEEP EMPLOYEE PLAN RECORDS	\$50	NA	\$50,000	NA	399.1 / 371.7	\$50	NA	\$689	\$50	NA	\$700
28 USC 6705	FAILURE BY BROKER TO PROVIDE NOTICE TO FAVORS	NA	NA	\$500	NA	399.1 / 371.7	NA	NA	\$683	NA	NA	\$700
28 USC 6706(a)	FAILURE TO PUT OLD LEGEND ON DEBT INSTRUMENT	NA	NA	\$50	NA	399.1 / 371.7	NA	NA	\$63	NA	NA	\$50
28 USC 6706(b)	FAILURE TO FURNISH OLD INFO TO SECRETARY	NA	NA	\$50,000	NA	399.1 / 371.7	NA	NA	\$62,617	NA	NA	\$65,000
28 USC 6707(a)	FAILURE TO REGISTER TAX SHELTER	NA	NA	\$500	NA	399.1 / 371.7	NA	NA	\$583	NA	NA	\$600
28 USC 6707(b)(1)	FAILURE OF SELLER TO FURNISH TAX SHELTER NUMBER TO INVESTOR	NA	NA	\$100	NA	399.1 / 371.7	NA	NA	\$119	NA	NA	\$100
28 USC 6707(b)(2)	FAILURE TO INCLUDE TAX SHELTER NUMBER ON RETURN	NA	NA	\$250	NA	399.1 / 371.7	NA	NA	\$297	NA	NA	\$300
28 USC 6708	FAILURE TO MAINTAIN LIST OF SHELTER INVESTORS	\$50	NA	\$100,000	NA	399.1 / 371.7	\$59	NA	\$116,664	\$50	NA	\$120,000

NOTES: (1) THE "INFLATION FACTOR" IS THE RESULT OF DIVIDING THE JUNE 1990 CPI BY THE CPI FOR JUNE OF THE YEAR OF LAST ADJUSTMENT.

EXHIBIT 2—CIVIL MONETARY PENALTY INFLATION ADJUSTMENTS

U.S. CODE CITATION	CIVIL MONETARY PENALTY DESCRIPTION	MINIMUM PENALTY AMOUNT		MAXIMUM PENALTY AMOUNT		INFLATION FACTOR	MINIMUM PENALTY AMOUNT AFTER INFLATION ADJUSTMENT		MAXIMUM PENALTY AMOUNT AFTER INFLATION ADJUSTMENT		MINIMUM PENALTY AMOUNT AFTER ROUNDING	MAXIMUM PENALTY AMOUNT AFTER ROUNDING
		AS OF 3/1/81	SET BY LAW	AS OF 3/1/81	SET BY LAW		INFLATION FACTOR CALCULATION	INFLATION ADJUSTMENT	AFTER RAW	INFLATION ADJUSTMENT		
26 USC 6708(a)	NEGLECT STATEMENT RE MORTGAGE CREDIT CERTIFICATE	NA	\$1,000	NA	\$1,000	385.1/340.1	1.144	NA	\$1,144	NA	NA	\$1,000
26 USC 6708(b)	FRAUDULENT STATEMENT RE MORTGAGE CREDIT CERTIFICATE	NA	\$10,000	NA	\$10,000	385.1/340.1	1.144	NA	\$11,441	NA	NA	\$10,000
26 USC 6708(c)	FAILURE TO FILE MORTGAGE CREDIT REPORTS —IF ISSUER	NA	\$200	NA	\$200	385.1/340.1	1.144	NA	\$229	NA	NA	\$200
26 USC 6710	FAILURE BY ORG TO DISCLOSE THAT CONTRIBUTIONS ARE NOT DEDUCTIBLE	\$1,000	\$10,000	NA	\$2,000	385.1/340.1	1.144	NA	\$2,286	NA	NA	\$2,000
26 USC 6711	FAILURE BY EXEMPT ORG TO DISCLOSE THAT INFO IS AVAILABLE FROM GOVT	NA	\$1,000	NA	\$1,000	385.1/340.1	1.101	\$1,101	\$1,101	NA	NA	\$1,000
26 USC 6712	FAILURE TO DISCLOSE TRENTY-BASED RETURN POSITION —IF C CORPORATION	NA	\$1,000	NA	\$1,000	385.1/340.1	1.101	NA	\$1,101	NA	NA	\$1,000
26 USC 6713	DISCLOSURE OF INFO BY PREPARERS	\$250	\$10,000	NA	\$10,000	385.1/340.1	1.101	\$275	\$11,007	NA	NA	\$10,000
26 USC 6721(a)	FAILURE TO FILE INFO RETURNS —IF CORRECT BEFORE AUG 1	\$50	\$250,000	NA	\$250,000	385.1/340.1	1.047	\$52	\$251,703	\$50	\$50	\$250,000
	—IF CORRECT WITHIN 30 DAYS	\$30	\$150,000	NA	\$150,000	385.1/340.1	1.047	\$31	\$151,022	\$30	\$30	\$150,000
	—IF GROSS RECEIPTS NOT MORE THAN \$5,000,000	\$15	\$75,000	NA	\$75,000	385.1/340.1	1.047	\$16	\$75,511	\$20	\$20	\$60,000
	—IF CORRECT BEFORE AUG 1	NA	\$100,000	NA	\$100,000	385.1/340.1	1.047	NA	\$104,881	NA	NA	\$100,000
	—IF CORRECT WITHIN 30 DAYS	NA	\$50,000	NA	\$50,000	385.1/340.1	1.047	NA	\$52,341	NA	NA	\$50,000
26 USC 6721(e)(2)	INTENTIONAL FAILURE TO FILE INFO RETURNS	NA	\$100	NA	\$100	385.1/340.1	1.047	NA	\$26,170	NA	NA	\$25,000
26 USC 6721(e)(2)(C)	INTENTIONAL FAILURE TO FILE CASH TRANSACTION REPORT	NA	\$25,000	NA	\$25,000	385.1/340.1	1.000	NA	\$25,000	NA	NA	\$25,000
26 USC 6722(a)	FAILURE TO FURNISH PAYEE STATEMENTS	\$50	\$100,000	NA	\$100,000	385.1/340.1	1.047	\$52	\$104,881	\$50	\$50	\$100,000
26 USC 6722(c)	INTENTIONAL FAILURE TO FURNISH PAYEE STATEMENTS	NA	\$100	NA	\$100	385.1/340.1	1.047	NA	\$105	NA	NA	\$100
26 USC 6723	FAILURE TO COMPLY WITH OTHER INFO RPTG (INCL FAILURE TO SUPPLY SSN)	\$50	\$100,000	NA	\$100,000	385.1/340.1	1.047	\$52	\$104,881	\$50	\$50	\$100,000
26 USC 7268	POSSESSION OF GOODS WITH FRAUDULENT INTENT TO EVADE TAX	NA	\$500	NA	\$500	385.1/340.1	4.822	NA	\$2,411	NA	NA	\$2,000
26 USC 7269	FAILURE TO PRODUCE RECORDS	NA	\$500	NA	\$500	385.1/340.1	2.140	NA	\$1,070	NA	NA	\$1,000
26 USC 7271	STAMP PENALTIES	NA	\$50	NA	\$50	385.1/340.1	2.140	NA	\$107	NA	NA	\$100
26 USC 7272	FAILURE TO REGISTER	NA	\$50	NA	\$50	385.1/340.1	4.822	NA	\$241	NA	NA	\$200
26 USC 7273	FAILURE TO KEEP STAMPS RE PAYMENT OF SPECIAL TAX —IF WILLFUL	NA	\$10	NA	\$10	385.1/340.1	3.741	NA	\$37	NA	NA	\$40
26 USC 7304	FRAUDULENTLY CLAIMING A DRAWBACK	NA	\$20	NA	\$20	385.1/340.1	3.741	NA	\$75	NA	NA	\$70
26 USC 7342	REFUSAL TO PERMIT GOVT EMPLOYEE TO ENTER OR EXAMINE OFFICE OF THE COMPTROLLER OF THE CURRENCY	NA	\$500	NA	\$500	385.1/340.1	2.140	NA	\$1,070	NA	NA	\$1,000
12 USC 93(b)	VIOLATION-NAT'L BANK ACT-AMND BY FIRREA-1ST TIER	NA	\$5,000	NA	\$5,000	385.1/340.1	4.822	NA	\$2,411	NA	NA	\$2,000
12 USC 93(b)	VIOLATION-NAT'L BANK ACT-AMND BY FIRREA-2ND TIER	NA	\$25,000	NA	\$25,000	385.1/340.1	1.047	NA	\$6,234	NA	NA	\$5,000

NOTES: (1) THE "INFLATION FACTOR" IS THE RESULT OF DIVIDING THE JUNE 1980 CPI BY THE CPI FOR JUNE OF THE YEAR OF LAST ADJUSTMENT.

EXHIBIT 2--CIVIL MONETARY PENALTY INFLATION ADJUSTMENTS

U.S. CODE CITATION	CIVIL MONETARY PENALTY DESCRIPTION	MINIMUM PENALTY AMOUNT		MAXIMUM PENALTY AMOUNT		INFLATION FACTOR CALCULATION	INFLATION FACTOR	MINIMUM PENALTY AMOUNT		MAXIMUM PENALTY AMOUNT	
		SET BY LAW AS OF 3/1/91	SET BY LAW AS OF 3/1/91	SET BY LAW AS OF 3/1/91	SET BY LAW AS OF 3/1/91			AFTER RAW INFLATION ADJUSTMENT	AFTER RAW INFLATION ADJUSTMENT	AFTER PL 101-410 ROUNDING	AFTER PL 101-410 ROUNDING
12 USC 99(b)	VIOLATION--NAT'L BANK ACT--AMND'D BY FIRREA--3RD TIER	NA	\$1,000,000	NA	\$1,046,812	399.173717	1.047	NA	\$1,046,812	NA	\$1,050,000
12 USC 164	VIOLATION--NAT'L BANK ACT--AMND'D BY FIRREA--1ST TIER	NA	\$2,000	NA	\$2,064	399.173717	1.047	NA	\$2,064	NA	\$2,000
12 USC 164	VIOLATION--NAT'L BANK ACT--AMND'D BY FIRREA--2ND TIER	NA	\$20,000	NA	\$20,638	399.173717	1.047	NA	\$20,638	NA	\$20,000
12 USC 164	VIOLATION--NAT'L BANK ACT--AMND'D BY FIRREA--3RD TIER	NA	\$1,000,000	NA	\$1,046,812	399.173717	1.047	NA	\$1,046,812	NA	\$1,050,000
12 USC 504	VIOLATION--NAT'L BANK ACT--AMND'D BY FIRREA--1ST TIER	NA	\$5,000	NA	\$5,234	399.173717	1.047	NA	\$5,234	NA	\$5,000
12 USC 504	VIOLATION--NAT'L BANK ACT--AMND'D BY FIRREA--2ND TIER	NA	\$25,000	NA	\$26,170	399.173717	1.047	NA	\$26,170	NA	\$25,000
12 USC 504	VIOLATION--NAT'L BANK ACT--AMND'D BY FIRREA--3RD TIER	NA	\$1,000,000	NA	\$1,046,812	399.173717	1.047	NA	\$1,046,812	NA	\$1,050,000
12 USC 505	VIOLATION--NAT'L BANK ACT--AMND'D BY FIRREA--1ST TIER	NA	\$5,000	NA	\$5,234	399.173717	1.047	NA	\$5,234	NA	\$5,000
12 USC 505	VIOLATION--NAT'L BANK ACT--AMND'D BY FIRREA--2ND TIER	NA	\$25,000	NA	\$26,170	399.173717	1.047	NA	\$26,170	NA	\$25,000
12 USC 505	VIOLATION--NAT'L BANK ACT--AMND'D BY FIRREA--3RD TIER	NA	\$1,000,000	NA	\$1,046,812	399.173717	1.047	NA	\$1,046,812	NA	\$1,050,000
12 USC 1817(b)(16)	VIOLATION--NAT'L BANK ACT--AMND'D BY FIRREA--1ST TIER	NA	\$5,000	NA	\$5,234	399.173717	1.047	NA	\$5,234	NA	\$5,000
12 USC 1817(b)(16)	VIOLATION--NAT'L BANK ACT--AMND'D BY FIRREA--2ND TIER	NA	\$25,000	NA	\$26,170	399.173717	1.047	NA	\$26,170	NA	\$25,000
12 USC 1817(b)(16)	VIOLATION--NAT'L BANK ACT--AMND'D BY FIRREA--3RD TIER	NA	\$1,000,000	NA	\$1,046,812	399.173717	1.047	NA	\$1,046,812	NA	\$1,050,000
12 USC 1818(b)(2)	VIOLATION--NAT'L BANK ACT--AMND'D BY FIRREA--1ST TIER	NA	\$5,000	NA	\$5,234	399.173717	1.047	NA	\$5,234	NA	\$5,000
12 USC 1818(b)(2)	VIOLATION--NAT'L BANK ACT--AMND'D BY FIRREA--2ND TIER	NA	\$25,000	NA	\$26,170	399.173717	1.047	NA	\$26,170	NA	\$25,000
12 USC 1818(b)(2)	VIOLATION--NAT'L BANK ACT--AMND'D BY FIRREA--3RD TIER	NA	\$1,000,000	NA	\$1,046,812	399.173717	1.047	NA	\$1,046,812	NA	\$1,050,000
12 USC 1852	BANKING LAW VIOLATION	NA	\$1,000	NA	\$2,939	399.173717	2.939	NA	\$2,939	NA	\$3,000
12 USC 1872(2)(F)	BANKING LAW VIOLATION--1ST TIER	NA	\$5,000	NA	\$5,234	399.173717	1.047	NA	\$5,234	NA	\$5,000
12 USC 1872(2)(F)	BANKING LAW VIOLATION--2ND TIER	NA	\$25,000	NA	\$26,170	399.173717	1.047	NA	\$26,170	NA	\$25,000
12 USC 1872(2)(F)	BANKING LAW VIOLATION--3RD TIER	NA	\$1,000,000	NA	\$1,046,812	399.173717	1.047	NA	\$1,046,812	NA	\$1,050,000
12 USC 3609	BANKING LAW VIOLATION	NA	\$1,000	NA	\$1,047	399.173717	1.047	NA	\$1,047	NA	\$1,000
12 USC 78u-2	SECURITIES LAW VIOLATION--1ST TIER (NATURAL PERSON)	NA	\$5,000	NA	\$5,000	399.173717	1.000	NA	\$5,000	NA	\$5,000
12 USC 78u-2	SECURITIES LAW VIOLATION--2ND TIER (ANY OTHER)	NA	\$50,000	NA	\$50,000	399.173717	1.000	NA	\$50,000	NA	\$50,000
12 USC 78u-2	SECURITIES LAW VIOLATION--3RD TIER (NATURAL PERSON)	NA	\$25,000	NA	\$25,000	399.173717	1.000	NA	\$25,000	NA	\$25,000
12 USC 78u-2	SECURITIES LAW VIOLATION--4TH TIER (ANY OTHER)	NA	\$250,000	NA	\$250,000	399.173717	1.000	NA	\$250,000	NA	\$250,000
12 USC 78u-2	SECURITIES LAW VIOLATION--5TH TIER (NATURAL PERSON)	NA	\$100,000	NA	\$100,000	399.173717	1.000	NA	\$100,000	NA	\$100,000
12 USC 78u-2	SECURITIES LAW VIOLATION--6TH TIER (ANY OTHER)	NA	\$500,000	NA	\$500,000	399.173717	1.000	NA	\$500,000	NA	\$500,000

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EXHIBIT 2—CIVIL MONETARY PENALTY INFLATION ADJUSTMENTS

U.S. CODE CITATION	CIVIL MONETARY PENALTY DESCRIPTION	MINIMUM PENALTY AMOUNT		MAXIMUM PENALTY AMOUNT		INFLATION FACTOR	INFLATION CALCULATION	MINIMUM PENALTY AMOUNT AFTER INFLATION ADJUSTMENT		MAXIMUM PENALTY AMOUNT AFTER INFLATION ADJUSTMENT		
		SET BY LAW AS OF 3/1/81	AS OF 3/1/81	SET BY LAW AS OF 3/1/81	AS OF 3/1/81			PL 101-410 AFTER ROUNDING	PL 101-410 AFTER ROUNDING			
U.S. DEPT. OF THE TREASURY												
OFFICE OF THRIFT SUPERVISION												
12 USC 1464(a) & 1467(a)	BANKING LAW VIOLATION - 1ST TIER	NA	\$2,000	NA	\$2,000	389.113717	1.047	NA	\$2,094	NA	\$2,000	
12 USC 1464(b) & 1467(b)	BANKING LAW VIOLATION - 2ND TIER	NA	\$20,000	NA	\$20,000	389.113717	1.047	NA	\$20,936	NA	\$20,000	
12 USC 1464(a) & 1467(a)	BANKING LAW VIOLATION - 3RD TIER	NA	\$1,000,000	NA	\$1,000,000	389.113717	1.047	NA	\$1,046,812	NA	\$1,050,000	
12 USC 1467(a)(8)	VIOLATIONS OF HOLDING COMPANY ACT	NA	\$5,000	NA	\$5,000	389.113717	1.047	NA	\$5,234	NA	\$5,000	
12 USC 1467(d)	BANKING LAW VIOLATION	NA	\$5,000	NA	\$5,000	389.113717	1.047	NA	\$5,234	NA	\$5,000	
12 USC 1817(i)(1)(A)	CHANGE IN CONTROL VIOLATION - 1ST TIER	NA	\$5,000	NA	\$5,000	389.113717	13.280	NA	\$68,399	NA	\$5,000	
12 USC 1817(i)(1)(B)	CHANGE IN CONTROL VIOLATION - 2ND TIER	NA	\$25,000	NA	\$25,000	389.113717	13.280	NA	\$331,967	NA	\$25,000	
12 USC 1817(i)(1)(C)	CHANGE IN CONTROL VIOLATION - 3RD TIER	NA	\$1,000,000	NA	\$1,000,000	389.113717	13.280	NA	\$13,279,863	NA	\$1,000,000	
U.S. DEPT. OF VETERANS AFFAIRS												
12 USC 1818(a)(2)	BANKING LAW VIOLATION - 1ST TIER	NA	\$5,000	NA	\$5,000	389.113717	1.047	NA	\$5,234	NA	\$5,000	
12 USC 1818(a)(3)	BANKING LAW VIOLATION - 2ND TIER	NA	\$25,000	NA	\$25,000	389.113717	1.047	NA	\$26,170	NA	\$25,000	
12 USC 1818(a)(4)	BANKING LAW VIOLATION - 3RD TIER	NA	\$1,000,000	NA	\$1,000,000	389.113717	1.047	NA	\$1,046,812	NA	\$1,050,000	
12 USC 1828(a)(4)	BANKING LAW VIOLATION - 1ST TIER	NA	\$5,000	NA	\$5,000	389.113717	1.047	NA	\$5,234	NA	\$5,000	
12 USC 1828(a)(4)	BANKING LAW VIOLATION - 2ND TIER	NA	\$25,000	NA	\$25,000	389.113717	1.047	NA	\$26,170	NA	\$25,000	
12 USC 1828(a)(4)	BANKING LAW VIOLATION - 3RD TIER	NA	\$1,000,000	NA	\$1,000,000	389.113717	1.047	NA	\$1,046,812	NA	\$1,050,000	
12 USC 3348(b)	BANKING LAW VIOLATION - 1ST TIER	NA	\$5,000	NA	\$5,000	389.113717	1.047	NA	\$5,234	NA	\$5,000	
12 USC 3348(b)	BANKING LAW VIOLATION - 2ND TIER	NA	\$25,000	NA	\$25,000	389.113717	1.047	NA	\$26,170	NA	\$25,000	
12 USC 3348(b)	BANKING LAW VIOLATION - 3RD TIER	NA	\$1,000,000	NA	\$1,000,000	389.113717	1.047	NA	\$1,046,812	NA	\$1,050,000	
U.S. DEPT. OF JUSTICE												
PROGRAM FRAUD CIVIL REMEDIES ACT/VIOLATION INVOLVING FALSE CLAIM												
31 USC 3802(a)(1)	PROGRAM FRAUD CIVIL REMEDIES ACT/VIOLATION INVOLVING FALSE STATEMENT	NA	\$5,000	NA	\$5,000	389.113273	1.187	NA	\$5,933	NA	\$5,000	
31 USC 3802(a)(2)	PROGRAM FRAUD CIVIL REMEDIES ACT/VIOLATION INVOLVING FALSE STATEMENT	NA	\$5,000	NA	\$5,000	389.113273	1.187	NA	\$5,933	NA	\$5,000	
38 USC 1810(a)(9)(B)	FALSE HOUSING LOAN CERTIFICATION	NA	\$10,000	NA	\$10,000	389.113273	1.187	NA	\$11,886	NA	\$10,000	
ADDITIONAL PENALTIES IDENTIFIED BY THE DEPARTMENT OF JUSTICE												
12 USC 1833a	FRREA VIOLATION	NA	\$1,000,000	NA	\$1,000,000	389.113717	1.047	NA	\$1,046,812	NA	\$1,050,000	
	---CONTINUING VIOLATION	NA	\$5,000,000	NA	\$5,000,000	389.113717	1.047	NA	\$5,234,000	NA	\$5,225,000	
16 USC 1194(e)	FLAMMABLE FABRICS ACT VIOLATION	NA	\$5,000	NA	\$5,000	389.113987	1.000	NA	\$5,000	NA	\$5,000	
	---RELATED SERIES OF VIOLATIONS	NA	\$1,250,000	NA	\$1,250,000	389.113987	1.000	NA	\$1,250,000	NA	\$1,250,000	
22 USC 2099h(a)	FOREIGN ASSISTANCE ACT/FRAUDULENT CLAIM FOR ASSISTANCE	NA	\$2,000	NA	\$2,000	389.113943	3.741	NA	\$7,483	NA	\$7,000	
40 USC 459(b)(1)	VIOLATION INVOLVING SURPLUS GOVERNMENT PROPERTY	NA	\$2,000	NA	\$2,000	389.113943	5.442	NA	\$10,894	NA	\$10,000	
41 USC 55(a)(1)	VIOLATIONS INVOLVING KICKBACKS TO EMPLOYEES OR SUBCONTRACTORS	NA	\$10,000	NA	\$10,000	389.113273	1.187	NA	\$11,886	NA	\$10,000	

NOTES: (1) THE "INFLATION FACTOR" IS THE RESULT OF DIVIDING THE JUNE 1990 CPI BY THE CPI FOR JUNE OF THE YEAR OF LAST ADJUSTMENT.

Exhibit 3

Law Modifications Necessary to Enact Civil Monetary Penalty Inflation Adjustments



EXHIBIT 3—LAW MODIFICATIONS NECESSARY TO ENACT CIVIL MONETARY PENALTY INFLATION ADJUSTMENTS

DEPARTMENT OF AGRICULTURE
Agricultural Marketing Service

7 U.S.C. 499b(5)

Substitute "not to exceed \$5,000" for "not to exceed \$2,000." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

7 U.S.C. 499c(a)

In first paragraph, substitute "not more than \$1,000 for each such offense and not more than \$200 for each day it continues" for "not more than \$500 for each such offense and not more than \$25 for each day it continues." In second paragraph, substitute "not in excess of \$200" for "not in excess of \$25." The modified civil penalty amounts are applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amounts.

7 U.S.C. 610(h)

Penalty is set by reference to 15 U.S.C. 50. Agriculture suggests the following law modification:

Insert immediately before the period at the end of the first sentence: "Provided, that the penalty for failure to file any annual or special report, as provided in the third unnumbered paragraph of section 10 of the Federal Trade Commission Act (38 Stat. 723) shall be \$1,000 for each and every day of continuance of such failure." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

7 U.S.C. 1596(b)

Substitute "not less than \$100 or more than \$2,000" for "not less than \$25 or more than \$500." The modified civil penalty amounts are applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amounts.

7 U.S.C. 2112(b)

Substitute "not more than \$4,000" for "not more than \$1,000." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

7 U.S.C. 2621(b)

In paragraph (1), substitute "not less than \$700 or more than \$7,000" for "not less than \$500 or more than \$5,000." In paragraph (3) substitute "not more than \$700" for "not more than \$500." The modified civil penalty amounts are applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amounts.

7 U.S.C. 2714(b)

In paragraph (1), substitute "not less than \$800 or more than \$8,000" for "not less than \$500 or more than \$5,000." In paragraph (3), substitute "not more than \$800" for "not more than \$500." The modified civil penalty amounts are applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amounts.

EXHIBIT 3—LAW MODIFICATIONS NECESSARY TO ENACT CIVIL MONETARY PENALTY INFLATION ADJUSTMENTS

7 U.S.C. 2908(a)(2)

Substitute "not more than \$10,000" for "not more than \$5,000." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

7 U.S.C. 3410(b)

Substitute "not more than \$2,000" for "not more than \$1,000." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

7 U.S.C. 4314(b)

In paragraph (1), substitute "not less than \$700 or more than \$7,000" for "not less than \$500 or more than \$5,000." In paragraph (3), substitute "not more than \$700" for "not more than \$500." The modified civil penalty amounts are applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amounts.

7 U.S.C. 4610(b)

In paragraph (1), substitute "not less than \$1,000 or more than \$6,000" for "not less than \$500 or more than \$5,000." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amounts.

7 U.S.C. 4815(b)(3)(A)

Substitute "not more than \$600" for "not more than \$500." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amounts.

7 U.S.C. 4910(b)

In paragraph (1), substitute "not less than \$600 or more than \$6,000" for "not less than \$500 or more than \$5,000." In paragraph (3), substitute "not more than \$600" for "not more than \$500." In paragraph (3), substitute "not more than \$600" for "not more than \$500." The modified civil penalty amounts are applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amounts.

21 U.S.C. 1051

Penalty is set by reference to 15 U.S.C. 50. Agriculture suggests the following law modification:

Insert immediately before the period at the end of the first sentence: "Provided, that the penalty for failure to file any annual or special report, as provided in the third unnumbered paragraph of section 10 of the Federal Trade Commission Act (38 Stat. 723) shall be \$1,000 for each and every day of continuance of such failure." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

EXHIBIT 3—LAW MODIFICATIONS NECESSARY TO ENACT CIVIL MONETARY PENALTY INFLATION ADJUSTMENTS

DEPARTMENT OF AGRICULTURE
Animal & Plant Health Inspection Service

7 U.S.C. 1596(b)

Substitute "not less than \$100 or more than \$2,000" for "not less than \$25 or more than \$500." The modified civil penalty amounts are applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amounts.

7 U.S.C. 2149(b)

In the first sentence, substitute not more than \$3,000 for "not more than \$2,500." In the seventh sentence, substitute "\$2,000" for "\$1,500." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

Substitute "not more than \$15,000" for "not more than \$10,000." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

15 U.S.C. 1825(b)(1) and 1825(c)

In subsection (b)(1), substitute "not more than \$5,000" for "not more than \$2,000." In the second of subsection (c), substitute "not more than \$7,000" for "not more than \$3,000." In the third sentence of subsection (c), substitute "not more than \$7,000" for "not more than \$3,000." The modified civil penalty amounts are applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amounts.

DEPARTMENT OF AGRICULTURE
Federal Grain Inspection Service

7 U.S.C. 86(c)

Substitute "not to exceed \$170,000" for "not to exceed \$75,000." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

DEPARTMENT OF AGRICULTURE
Food and Nutrition Service

7 U.S.C. 2021(a)

Substitute "up to \$15,000" for "up to \$10,000." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

EXHIBIT 3—LAW MODIFICATIONS NECESSARY TO ENACT CIVIL MONETARY PENALTY INFLATION ADJUSTMENTS

DEPARTMENT OF AGRICULTURE
Food Safety and Inspection Service

21 U.S.C. 467(d)

Penalty is set by reference to 15 U.S.C. 50. Agriculture suggests the following law modification:

Insert immediately before the period at the end of the first sentence: "Provided, that the penalty for failure to file any annual or special report, as provided in the third unnumbered paragraph of section 10 of the Federal Trade Commission Act (38 Stat. 723) shall be \$1,000 for each and every day of continuance of such failure." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

21 U.S.C. 677

Penalty is set by reference to 15 U.S.C. 50. Agriculture suggests the following law modification:

Insert immediately before the period at the end of the first sentence: "Provided, that the penalty for failure to file any annual or special report, as provided in the third unnumbered paragraph of section 10 of the Federal Trade Commission Act (38 Stat. 723) shall be \$1,000 for each and every day of continuance of such failure." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

DEPARTMENT OF AGRICULTURE
Packers and Stockyards Administration

7 U.S.C. 193(b)

Substitute "not more than \$25,000" for "not more than \$10,000." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

7 U.S.C. 203

Substitute "not more than \$4,000 for each such offense and not more than \$200 for each day" for "not more than \$500 for each such offense and not more than \$25 for each day." The modified civil penalty amounts are applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amounts.

7 U.S.C. 207(g)

Substitute "not more than \$4,000 for each such offense and not more than \$200 for each day" for "not more than \$500 for each such offense and not more than \$25 for each day." The modified civil penalty amounts are applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amounts.

7 U.S.C. 213(b)

Substitute "not more than \$25,000" for "not more than \$10,000." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

EXHIBIT 3--LAW MODIFICATIONS NECESSARY TO ENACT CIVIL MONETARY PENALTY INFLATION ADJUSTMENTS

7 U.S.C. 215(a)

Substitute "the sum of \$4,000" for "the sum of "\$500." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

7 U.S.C. 222

Penalty is set by reference to 15 U.S.C. 50. Agriculture suggests the following law modification:

Insert immediately before the period at the end of the first sentence: "Provided, that the penalty for failure to file any annual or special report, as provided in the third unnumbered paragraph of section 10 of the Federal Trade Commission Act (38 Stat. 723) shall be \$1,000 for each and every day of continuance of such failure." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

DEPARTMENT OF AGRICULTURE

All Bureaus

40 U.S.C. 328

Substitute "in the sum of \$40" for "in the sum of \$10." The modified civil penalty amount is applicable only to violations occurring on or after the date of enactment of the modified civil penalty amount.

ALASKA NATURAL GAS TRANSPORTATION SYSTEM

15 U.S.C. 719(a)(2)

Substitute "not to exceed \$55,000" for "not to exceed \$25,000." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

DEPARTMENT OF COMMERCE

Bureau of Export Administration

50 U.S.C. Appendix Section 2410(c)

Substitute "not to exceed \$20,000" for "not to exceed \$10,000;" substitute "not to exceed \$140,000" for "not to exceed \$100,000." The modified civil penalty amounts are applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amounts.

DEPARTMENT OF COMMERCE

Economic Development Administration

19 U.S.C. 2349

Substitute "not to exceed \$10,000" for "not to exceed \$5,000." The modified civil penalty amounts are applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amounts.

EXHIBIT 3--LAW MODIFICATIONS NECESSARY TO ENACT CIVIL MONETARY PENALTY INFLATION ADJUSTMENTS

42 U.S.C. 3220(a)

Substitute "not to exceed \$40,000" for "not to exceed \$10,000." The modified civil penalty amounts are applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amounts.

42 U.S.C. 3220(b)

Substitute "not to exceed \$40,000" for "not to exceed \$10,000." The modified civil penalty amounts are applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amounts.

DEPARTMENT OF COMMERCE
Economics and Statistics Administration

13 U.S.C. 304

Substitute "not to exceed \$400" for "not to exceed \$100" and "not more than \$4,000" for "not more than \$1,000." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

13 U.S.C. 305

Substitute "not to exceed \$4,000" for "not to exceed \$1,000." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

DEPARTMENT OF COMMERCE
Import Administration

19 U.S.C. 81s

Substitute "not to exceed \$10,000" for "not to exceed \$1,000." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

DEPARTMENT OF COMMERCE
National Oceanic and Atmospheric Administration

15 U.S.C. 4243(a)(3)

Substitute "not to exceed \$15,000" for "not to exceed \$10,000." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

16 U.S.C. 773f(a)

Substitute "shall not exceed \$35,000" for "shall not exceed \$25,000." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

EXHIBIT 3—LAW MODIFICATIONS NECESSARY TO ENACT CIVIL MONETARY PENALTY INFLATION ADJUSTMENTS

16 U.S.C. 783

Substitute "not more than \$7,000" for "not more than \$500." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

16 U.S.C. 957

Substitute "not more than \$110,000" for "not more than \$25,000" and "not more than \$225,000" for "not more than \$50,000." Substitute "not more than \$4,000" for "not more than \$1,000" and "not more than \$20,000" for "not more than \$5,000." Substitute "not more than \$425,000" for "not more than \$100,000." The modified civil penalty amounts are applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amounts.

16 U.S.C. 971e(a)(1)

Substitute "not more than \$60,000" for "not more than \$25,000." Substitute "not more than \$120,000" for "not more than \$50,000." Substitute "not more than \$2,000" for "not more than \$1,000." Substitute "not more than \$10,000" for "not more than \$5,000." Substitute "not more than \$250,000" for "not more than \$100,000." The modified civil penalty amounts are applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amounts.

16 U.S.C. 972f(b)

Substitute "not more than \$30,000" for "not more than \$25,000" and "not more than \$65,000" for "not more than \$50,000." Substitute "not more than \$6,000" for "not more than \$5,000." Substitute "not more than \$130,000" for "not more than \$100,000." The modified civil penalty amounts are applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

16 U.S.C. 1030(a)(1)

Substitute "shall not exceed \$50,000" for "shall not exceed \$25,000." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

16 U.S.C. 1375(a)(1)

Substitute "not more than \$15,000" for "not more than \$10,000." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

16 U.S.C. 1462(a)

Substitute "shall not exceed \$40,000" for "shall not exceed \$25,000." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

16 U.S.C. 2437(a)(1)

Substitute "shall not exceed \$6,000" for "shall not exceed \$5,000." Substitute "shall not exceed \$15,000" for "shall not exceed \$10,000." The modified civil penalty amounts are applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amounts.

EXHIBIT 3--LAW MODIFICATIONS NECESSARY TO ENACT CIVIL MONETARY PENALTY INFLATION ADJUSTMENTS

16 U.S.C. 3373(a)

Substitute "not more than \$15,000" for "not more than \$10,000," and "shall not exceed the maximum provided for violation of said law, treaty, or regulation, or \$15,000" for "shall not exceed the maximum provided for violations of said law, treaty, or regulation, or \$10,000." Substitute "not more than \$400" for "not more than \$250." The modified civil penalty amounts are applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amounts.

COMMODITY FUTURES TRADING COMMISSION

7 U.S.C. 9

Substitute "\$275,000" for "\$100,000." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

7 U.S.C. 13a

Substitute "\$275,000" for "\$100,000" the first time the latter amount appears. The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

CONSUMER PRODUCT SAFETY COMMISSION

15 U.S.C. 45(1)

Substitute "not more than \$30,000" for "not more than \$10,000." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount. Further, the modified civil penalty amount applies to any violation of the Federal Trade Commission Act that is enforced by the Consumer Product Safety Commission under the Flammable Fabrics Act, 15 U.S.C. §§ 1191 et seq.

15 U.S.C. 45(m)

Substitute "not more than \$30,000" for "not more than \$10,000." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount. Further, the modified civil penalty amount applies to any violation of the Federal Trade Commission Act that is enforced by the Consumer Product Safety Commission under the Flammable Fabrics Act, 15 U.S.C. §§ 1191 et seq.

DEPARTMENT OF DEFENSE

10 U.S.C. 1094(c)(1)

Substitute "of not more than \$6,000" for "not more than \$5,000." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of the enactment of the modified civil penalty amount.

EXHIBIT 3--LAW MODIFICATIONS NECESSARY TO ENACT CIVIL MONETARY PENALTY INFLATION ADJUSTMENTS

10 U.S.C. 2397b

Substitute "an amount not to exceed \$300,000" for "an amount not to exceed \$250,000" in subsection (b)(1) of this section and substitute "an amount not to exceed \$600,000" for "an amount not to exceed \$500,000" in subsection (b)(2) of this section. These modified civil penalty amounts are applicable only in the case of violations occurring on or after the date of the enactment of the modified civil penalty amounts.

31 U.S.C. 3721(i)

Substitute "not more than \$4,000" for "not more than \$1,000." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of the enactment of the modified civil penalty amount.

DEPARTMENT OF EDUCATION

20 U.S.C. 1094(c)(2)(B)(i)

Substitute "in an amount not to exceed \$40,000" for "not to exceed \$25,000." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

DEPARTMENT OF ENERGY

Federal Energy Regulatory Commission

15 U.S.C. 3414(b)(6)(A)(i) (1988)

Substitute "\$10,000 for any one violation" for "\$5,000 for any one violation." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

16 U.S.C. 825n(a) (1988)

Substitute "shall forfeit to the United States an amount not exceeding \$9,000" for "shall forfeit to the United States an amount not exceeding \$1,000." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

49 U.S.C. 6(10) (1988)

Substitute "\$7,000 for each such offense ... and \$300 for each and every day of the continuance" for "\$500 for each such offense ... and \$25 for each and every day of the continuance." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

ENVIRONMENTAL PROTECTION AGENCY

7 U.S.C. 136

Substitute "\$10,000" for "\$5,000". The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

EXHIBIT 3--LAW MODIFICATIONS NECESSARY TO ENACT CIVIL MONETARY PENALTY INFLATION ADJUSTMENTS

15 U.S.C. 2801

Substitute "\$55,000" for "\$25,000". The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

42 U.S.C. 300h-3(c)

Substitute "Maximum penalty of \$15,000 for each day in which such violation occurs, or, if willful, \$25,000 for each day in which such violation occurs" for "Maximum penalty of \$5,000 for each day in which such violation occurs, or, if willful, \$10,000 for each day in which such violation occurs." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

42 U.S.C. 300j(e)(1)

Substitute "Maximum penalty of \$15,000" for "Maximum penalty of \$5,000." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

42 U.S.C. 300j(e)(2)

Substitute "Maximum penalty of \$7,000" for "Maximum penalty of \$2,500." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

42 U.S.C. 1431(c)

Substitute "Maximum penalty of \$15,000" for "Maximum penalty of \$5,000." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

42 U.S.C. 6928(a)(3)

Substitute "shall not exceed \$30,000," for "shall not exceed \$25,000." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

42 U.S.C. 6928(c)

Substitute "may assess a civil penalty of not more than \$30,000," for "may assess a civil penalty of not more than \$25,000." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

42 U.S.C. 6928(g)

Substitute "in an amount not to exceed \$55,000," for "in an amount not to exceed \$25,000." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

EXHIBIT 3--LAW MODIFICATIONS NECESSARY TO ENACT CIVIL MONETARY PENALTY INFLATION ADJUSTMENTS

42 U.S.C. 6928(h)(2)

Substitute "in an amount not to exceed \$30,000," for "in an amount not to exceed \$25,000." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

42 U.S.C. 6934(e)

Substitute "to assess a civil penalty of not to exceed \$10,000," for "to assess a civil penalty of not to exceed \$5,000." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

42 U.S.C. 6973(b)

Substitute "be fined not more than \$10,000," for "be fined not more than \$5,000." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

42 U.S.C. 6991e(a)(3)

Substitute "liable for a civil penalty of not more than \$30,000," for "liable for a civil penalty of not more than \$25,000." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

42 U.S.C. 6991e(d)(1)

Substitute "a civil penalty not to exceed \$15,000," for "a civil penalty not to exceed \$10,000." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

42 U.S.C. 6991e(d)(2)

Substitute "a civil penalty not to exceed \$15,000," for "a civil penalty not to exceed \$10,000." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

42 U.S.C. 7413(b)

Substitute "\$55,000" for "\$25,000." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

EQUAL EMPLOYMENT OPPORTUNITY COMMISSION

42 U.S.C. 2000e-10(b)

A willful violation of this section shall be punishable by a fine of not more than \$100 for each separate offense occurring before the date of enactment of the modified civil penalty amount, or of not more than \$400 for each separate offense occurring on or after the date of enactment of the modified civil penalty amount.

EXHIBIT 3—LAW MODIFICATIONS NECESSARY TO ENACT CIVIL MONETARY PENALTY INFLATION ADJUSTMENTS

FEDERAL COMMUNICATIONS COMMISSION

47 U.S.C. 507

Section 506 of the Communications Act of 1934 is amended by deleting "\$500" in subparagraph (a) and inserting in lieu thereof "\$2,000;" and by deleting "\$100" in subparagraph (b) and inserting in lieu thereof "\$500." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

47 U.S.C. 554

Section 634(f)(2) of the Communications Act of 1934 is amended by deleting "\$200" and inserting in lieu thereof "\$300." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

FEDERAL DEPOSIT INSURANCE CORPORATION

12 U.S.C. 1828(a)

Substitute "\$500" for "\$100." The modified civil money penalty is applicable only in the case of violations occurring on or after the date of enactment of this amendment.

12 U.S.C. 1828(h)

Substitute "\$500" for "\$100." The modified civil money penalty is applicable only in the case of violations occurring on or after the date of enactment of this amendment.

FEDERAL MARITIME COMMISSION

46 U.S.C. App. 814

Substitute "not more than \$3,000" for "not more than \$1,000." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

46 U.S.C. App. 815

Substitute "not more than \$15,000" for "not more than \$5,000." Substitute "not more than \$45,000" for "not more than \$25,000." The modified civil penalty amounts are applicable only in the case of violations occurring on or after the date(s) of enactment of the modified civil penalty amounts.

46 U.S.C. App. 817d and e

Substitute "not more than \$20,000 in addition to a civil penalty to be assessed of \$800 for each passage sold" for "not more than \$5,000 in addition to a civil penalty to be assessed of \$200 for each passage sold." The modified civil penalty amounts are applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amounts.

EXHIBIT 3--LAW MODIFICATIONS NECESSARY TO ENACT CIVIL MONETARY PENALTY INFLATION ADJUSTMENTS

46 U.S.C. App. 820

Substitute "the sum of \$1,000" for "the sum of \$100." Substitute "not more than \$9,000" for "not more than \$5,000." The modified civil penalty amounts are applicable only in the case of violations occurring on or after the date(s) of enactment of the modified civil penalty amounts.

46 U.S.C. App. 831

Substitute "not to exceed \$15,000" for "not to exceed \$5,000." Substitute "not more than \$3,000" for "not more than \$1,000." The modified civil penalty amounts are applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amounts.

46 U.S.C. App. 844

Substitute "not more than \$3,000" for "not more than \$1,000." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

46 U.S.C. App. 1712

Substitute "may not exceed \$6,000" for "may not exceed \$5,000." Substitute "may not exceed \$30,000" for "may not exceed \$25,000." Substitute "not more than \$55,000" for "not more than \$50,000." The modified civil penalty amounts are applicable only in the case of violations occurring on or after the date(s) of enactment of the modified civil penalty amounts.

46 U.S.C. App. 1714

Substitute "not more than \$6,000" for "not more than \$5,000." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

FEDERAL TRADE COMMISSION
Bureau of Competition

15 U.S.C. 18a(g)(1)

Substitute "not more than \$25,000 for each day during which such person is in violation of this section" for "not more than \$10,000 for each day during which such person is in violation of this section." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

15 U.S.C. 21(f)

Substitute "not more than \$20,000" for "not more than \$5,000." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

EXHIBIT 3—LAW MODIFICATIONS NECESSARY TO ENACT CIVIL MONETARY PENALTY INFLATION ADJUSTMENTS

15 U.S.C. 45(l)

Substitute "a civil penalty of not more than \$30,000 for each violation" for "a civil penalty of not more than \$10,000 for each violation." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

15 U.S.C. 50

Substitute "the sum of \$1,000" for "the sum of \$100." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

FEDERAL TRADE COMMISSION
Bureau of Consumer Protection

15 U.S.C. 45(l)

Substitute "a civil penalty of not more than \$30,000 for each violation" for "a civil penalty of not more than \$10,000 for each violation." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

15 U.S.C. 45(m)(1)(A)

Substitute "a civil penalty of not more than \$25,000 for each violation" for "a civil penalty of not more than \$10,000 for each violation." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

15 U.S.C. 45(m)(1)(B)

Substitute "a civil penalty of not more than \$25,000 for each violation" for "a civil penalty of not more than \$10,000 for each violation." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

15 U.S.C. 50

Substitute "the sum of \$1,000" for "the sum of \$100." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

15 U.S.C. 1691c(c)

[Because the civil penalty that can be assessed under this provision is simply the civil penalty that can be assessed under 15 U.S.C. § 45(m)(1)(A), *supra*, no change in this provision would be required.]

15 U.S.C. 1692l(a)

[Because the civil penalty that can be assessed under this provision is simply the civil penalty that can be assessed under 15 U.S.C. § 45(m)(1)(A), *supra*, no change in this provision would be required. The "maximum penalty amount after inflation adjustment" specified for this provision differs from the "maximum penalty amount after inflation adjustment" specified for 15 U.S.C. § 45(m)(1)(A) because this provision did not become effective until 1978.]

EXHIBIT 3—LAW MODIFICATIONS NECESSARY TO ENACT CIVIL MONETARY PENALTY INFLATION ADJUSTMENTS

DEPARTMENT OF HEALTH AND HUMAN SERVICES

21 U.S.C. 360pp(b)

Substitute "\$4,000" for "\$1,000" and substitute "\$1,125,000" for "\$300,000." The modified civil money penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil money penalty amount.

42 U.S.C. 1320a-7a(a)

Substitute "not more than \$3,000 for each item or service" for "not more than \$2,000 for each item or service." The modified civil money penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil money penalty amount.

42 U.S.C. 1395u(j)(1)(A)

[Note: Penalty set by reference to 42 U.S.C. 1320a-7a(a); no separate amendment required.]

DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

42 U.S.C. 5410(a)

Substitute "not to exceed \$3,000" for "not to exceed \$1,000" and "may not exceed \$2,650,000" for "may not exceed \$1,000,000." The modified civil money penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil money penalty amount.

DEPARTMENT OF THE INTERIOR

Bureau of Land Management

30 U.S.C. 1719(a)(2)

Substitute "of up to \$700 per violation" for "of up to \$500 per violation." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

30 U.S.C. 1719(b)

Substitute "of not more than \$7,000 per violation" for "of not more than \$5,000 per violation." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

30 U.S.C. 1719(c)(3)

Substitute "of up to \$15,000 per violation" for "of up to \$10,000 per violation." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

EXHIBIT 3--LAW MODIFICATIONS NECESSARY TO ENACT CIVIL MONETARY PENALTY INFLATION ADJUSTMENTS

30 U.S.C. 1719(d)(3)

Substitute "of up to \$35,000 per violation" for "of up to \$25,000 per violation." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

DEPARTMENT OF THE INTERIOR
Fish and Wildlife Service

16 U.S.C. 668(b)

Substitute "not more than \$15,000" for "not more than \$5,000." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

16 U.S.C. 1375(a)(1)

Substitute "not more than \$30,000" for "not more than \$10,000." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

16 U.S.C. 1540(a)(1)

Substitute "not more than \$1,000" for "not more than \$500." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

16 U.S.C. 3373(a)(1)

Substitute "not more than \$15,000" for "not more than \$10,000;" substitute "or \$15,000, whichever is less" for "or \$10,000, whichever is less." The modified civil penalty amounts are applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amounts.

DEPARTMENT OF THE INTERIOR
Minerals Management Service

30 U.S.C. 1719(a)(2)

Substitute "of up to \$700 per violation" for "of up to \$500 per violation." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

30 U.S.C. 1719(b)

Substitute "of not more than \$7,000 per violation" for "of not more than \$5,000 per violation." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

EXHIBIT 3--LAW MODIFICATIONS NECESSARY TO ENACT CIVIL MONETARY PENALTY INFLATION ADJUSTMENTS

30 U.S.C. 1719(c)(3)

Substitute "of up to \$15,000 per violation" for "of up to \$10,000 per violation." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

30 U.S.C. 1719(d)(3)

Substitute "of up to \$35,000 per violation" for "of up to \$25,000 per violation." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

DEPARTMENT OF THE INTERIOR

Office of Surface Mining

30 U.S.C. 1268(a)

Substitute "shall not exceed \$10,000" for "shall not exceed \$5,000." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

30 U.S.C. 1268(h)

Substitute "not less than \$2,000" for "not less than \$750." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

INTERSTATE COMMERCE COMMISSION

49 U.S.C. 10527(b)

Substitute "\$15,000" for "\$10,000." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

49 U.S.C. 11901(a)

Substitute "\$65,000" for "\$5,000." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

49 U.S.C. 11901(b)

Substitute "\$7,000 for each violation and for \$300 for each day" for "\$500 for each violation and for \$25 for each day." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

EXHIBIT 3--LAW MODIFICATIONS NECESSARY TO ENACT CIVIL MONETARY PENALTY INFLATION ADJUSTMENTS

49 U.S.C. 11901(c)

Substitute "\$7,000" for "\$500." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

49 U.S.C. 11901(d)

Substitute "\$30,000" for "\$5,000." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

49 U.S.C. 11901(e)(1)

Substitute "at least \$1,000 but not more than \$5,000 for each violation and for \$500 for each day" for "at least \$100 but not more than \$500 for each violation and for \$50 for each day." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

49 U.S.C. 11901(e)(2)

Substitute "\$600" for "\$100." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

49 U.S.C. 11901(f)(1)

Substitute "\$5,000" for "\$500." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

49 U.S.C. 11901(f)(2)

Substitute "\$900" for "\$100." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

49 U.S.C. 11901(f)(3)

Substitute "\$900" for "\$100." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

49 U.S.C. 11901(g)

Substitute "not more than \$3,000 for each violation and for not more than \$1,000 for each additional day" for "not more than \$500 for each violation and for not more than \$250 for each additional day;" and "not be more than \$1,000 for each violation and \$700 for each additional day" for "not be more than \$1,000 for each violation and \$500 for each additional day." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

EXHIBIT 3--LAW MODIFICATIONS NECESSARY TO ENACT CIVIL MONETARY PENALTY INFLATION ADJUSTMENTS

49 U.S.C. 11901(h)

Substitute "\$30,000" for "\$20,000." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

49 U.S.C. 11901(i)(1)

Substitute "not more than \$800 for each violation and of not more than \$400 for each additional day" for "not more than \$500 for each violation and of not more than \$250 for each additional day." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

49 U.S.C. 11901(j)(1)

Substitute "not more than \$2,000 for each violation and of not more than \$800 for each additional day" for "not more than \$1,000 for each violation and of not more than \$500 for each additional day." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

49 U.S.C. 11901(j)(2)(B)

Substitute "not be more than \$2,000 for such failure or refusal and \$800 for each additional day" for "not be more than \$1,000 for such failure or refusal and \$500 for each additional day." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

49 U.S.C. 11901(j)(3)

Substitute "not be more than \$800 for such failure or refusal and \$400 for each additional day" for "not be more than \$500 for such failure or refusal and \$250 for each additional day." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

49 U.S.C. 11901(k)

Substitute "not more than \$3,000 for each violation and of not more than \$8,000 for each subsequent violation" for "not more than \$2,000 for each violation and of not more than \$5,000 for each subsequent violation." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

49 U.S.C. 11902a(a)

Substitute "\$15,000" for "\$10,000." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

EXHIBIT 3--LAW MODIFICATIONS NECESSARY TO ENACT CIVIL MONETARY PENALTY INFLATION ADJUSTMENTS

DEPARTMENT OF JUSTICE
Antitrust Division

15 U.S.C. 18(a)(g)(1)

Substitute "shall be liable to the United States for a civil penalty of not more than \$25,000 for each day" for "shall be liable to the United States for a civil penalty of not more than \$10,000 for each day." The modified civil amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

DEPARTMENT OF LABOR
Employment Standards Administration

30 U.S.C. 933(d)(1)

Substitute "not more than \$2,000" for "not more than \$1,000." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

30 U.S.C. 942(b)

Substitute "not more than \$1,000" for "not more than \$500." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

33 U.S.C. 914(g)

Substitute "\$700" for "\$100." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

33 U.S.C. 930(e)

Substitute "not to exceed \$15,000" for "not to exceed \$10,000." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

33 U.S.C. 948a

Substitute "not less than \$1,000 or more than \$6,000" for "not less than \$1,000 or more than \$5,000." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

EXHIBIT 3--LAW MODIFICATIONS NECESSARY TO ENACT CIVIL MONETARY PENALTY INFLATION ADJUSTMENTS

DEPARTMENT OF LABOR
Mine Safety and Health Administration

30 U.S.C. 820(g)

Substitute "shall not be more than \$500" for "shall not be more than \$250." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

DEPARTMENT OF LABOR
Pension and Welfare Benefits Administration

29 U.S.C. 1059(b)

Substitute "\$30" for "\$10." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

29 U.S.C. 1132(c)(1)(B)

Substitute "\$300" for "\$100." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

MERIT SYSTEMS PROTECTION BOARD

5 U.S.C. 1215(a)(3)

Substitute "not to exceed \$2,000" for "not to exceed \$1,000." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

NATIONAL SCIENCE FOUNDATION

16 U.S.C. 2407(a)

Substitute "shall not exceed \$20,000" for "shall not exceed \$10,000." Substitute "shall not exceed \$10,000" for "shall not exceed \$5,000." The modified civil penalty amounts are applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amounts.

NATIONAL TRANSPORTATION SAFETY BOARD

49 U.S.C. 1471(a)(1)

Substitute "not to exceed \$4,000" for "not to exceed \$1,000." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

EXHIBIT 3—LAW MODIFICATIONS NECESSARY TO ENACT CIVIL MONETARY PENALTY INFLATION ADJUSTMENTS

NUCLEAR REGULATORY COMMISSION

42 U.S.C. 2114

Substitute "not to exceed \$160,000 for each such violation" for "not to exceed \$100,000 for each such violation." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

42 U.S.C. 2167

Substitute "not to exceed \$160,000 for each such violation" for "not to exceed \$100,000 for each such violation." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

42 U.S.C. 2282

Substitute "not to exceed \$160,000 for each such violation" for "not to exceed \$100,000 for each such violation." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

42 U.S.C. 5846

Substitute "not to exceed \$160,000 for each such violation" for "not to exceed \$100,000 for each such violation." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

PENSION BENEFIT GUARANTY CORPORATION

Section 4302, 29 U.S.C. 1452

Substitute "\$200" for "\$100." The modified civil penalty amount is applicable only in the case of a failure to provide a required notice occurring on or after the date of enactment of the modified civil penalty amount.

U.S. POSTAL SERVICE

39 U.S.C. 3012(a)

Substitute "not to exceed \$15,000" for "not to exceed \$10,000." The modified civil penalty amount is applicable only where the violation occurs on or after the date of enactment of the modified civil penalty amount.

SECURITIES AND EXCHANGE COMMISSION

15 U.S.C. 78ff(b)

Substitute "the sum of \$900" for "the sum of \$100." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

EXHIBIT 3—LAW MODIFICATIONS NECESSARY TO ENACT CIVIL MONETARY PENALTY INFLATION ADJUSTMENTS

DEPARTMENT OF STATE
Office of Defense Trade Controls

22 U.S.C. 2778(e)

Substitute "may not exceed \$600,000" for "may not exceed \$500,000." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

DEPARTMENT OF TRANSPORTATION
United States Coast Guard

33 U.S.C. 474

Substitute "not exceeding \$800" for "not exceeding \$200." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

33 U.S.C. 1208(a)

Substitute "not more than \$2,000" for "not more than \$500." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

33 U.S.C. 1208(b)

Substitute "not more than \$2,000" for "not more than \$500." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

46 U.S.C. 2306(a)(2)(B)(4)

Substitute "a civil penalty of not more than \$6,000" for "a civil penalty of not more than \$5,000." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

46 U.S.C. 3504(c)

Substitute "a civil penalty of not more than \$15,000" for "a civil penalty of not more than \$10,000" and "a civil penalty of \$700" for "a civil penalty of \$500." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

46 U.S.C. 3506

Substitute "a civil penalty of \$300" for "a civil penalty of \$200." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

EXHIBIT 3--LAW MODIFICATIONS NECESSARY TO ENACT CIVIL MONETARY PENALTY INFLATION ADJUSTMENTS

46 U.S.C. 4311(b)

Substitute "a civil penalty of not more than \$3,000, except that the maximum civil penalty may be not more than \$130,000 for a related series of violation" for "a civil penalty of not more than \$2,000 except that the maximum civil penalty may be not more than \$100,000 for a related series of violations." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

46 U.S.C. 9308(a)

Substitute "a civil penalty of \$700" for "a civil penalty of \$500." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

46 U.S.C. 9308(b)

Substitute "a civil penalty of \$700" for "a civil penalty of \$500." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

46 U.S.C. 9308(c)

Substitute "a civil penalty of \$700" for "a civil penalty of \$500." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

46 U.S.C. 10309(b)

Substitute "a civil penalty of \$300" for "a civil penalty of \$200." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

46 U.S.C. 10310

Substitute "a civil penalty of \$70" for "a civil penalty of \$50."

The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

46 U.S.C. 10314(a)(2)

Substitute "a civil penalty of not more than \$700" for "a civil penalty of not more than \$500." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

46 U.S.C. 10314(b)

Substitute "a civil penalty of not more than \$700" for "a civil penalty of not more than \$500." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

EXHIBIT 3—LAW MODIFICATIONS NECESSARY TO ENACT CIVIL MONETARY PENALTY INFLATION ADJUSTMENTS

46 U.S.C. 10315(c)

Substitute "a civil penalty of not more than \$700" for "a civil penalty of not more than \$500." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

46 U.S.C. 10321

Substitute "a civil penalty of \$300" for "a civil penalty of \$200." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

46 U.S.C. 10505(b)

Substitute "a civil penalty of not more than \$700" for "a civil penalty of not more than \$500." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

46 U.S.C. 10508(b)

Substitute "a civil penalty of \$30 for "a civil penalty of \$20." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

46 U.S.C. 10711

Substitute "a civil penalty of 3 times the value of the seaman's money, property, and wages involved or, if the value is not determined, of \$300" for "a civil penalty of 3 times the value of the seaman's money, property, and wages involved or, if the value is not determined, of \$200." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

46 U.S.C. 10902(a)(2)

Substitute "a civil penalty of \$700" for "a civil penalty of \$500." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

46 U.S.C. 10907(b)

Substitute "a civil penalty of \$700" for "a civil penalty of \$500." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

46 U.S.C. 11101(f)

Substitute "a civil penalty of at least \$60 but not more than \$600" for "a civil penalty of at least \$50 but not more than \$500." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

EXHIBIT 3--LAW MODIFICATIONS NECESSARY TO ENACT CIVIL MONETARY PENALTY INFLATION ADJUSTMENTS

46 U.S.C. 11102(b)

Substitute "a civil penalty of \$700" for "a civil penalty of \$500." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

46 U.S.C. 11105(c)

Substitute "a civil penalty of \$700" for "a civil penalty of \$500." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

46 U.S.C. 11303(a)

Substitute "a civil penalty of \$300" for "a civil penalty of \$200." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

46 U.S.C. 11303(b)

Substitute "a civil penalty of \$300" for "a civil penalty of \$200." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

46 U.S.C. 11303(c)

Substitute "a civil penalty of \$200" for "a civil penalty of \$150." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

46 U.S.C. 11303(a)

Substitute "a civil penalty of \$300" for "a civil penalty of \$200." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

46 U.S.C. 11303(b)

Substitute "a civil penalty of \$300" for "a civil penalty of \$200." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

46 U.S.C. 11303(c)

Substitute "a civil penalty of \$200" for "a civil penalty of \$150." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

EXHIBIT 3--LAW MODIFICATIONS NECESSARY TO ENACT CIVIL MONETARY PENALTY INFLATION ADJUSTMENTS

46 U.S.C. 11506

Substitute "a civil penalty of \$70" for "a civil penalty of \$50." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

49 U.S.C. 1809(a)(1)

Substitute "a civil penalty of not more than \$25,000" for "a civil penalty of not more than \$10,000." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

DEPARTMENT OF TRANSPORTATION

Federal Aviation Administration

49 APP. U.S.C. 1471(a)

Substitute "a civil penalty of not more than \$4,000" for "a civil penalty of not more than \$1,000." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

DEPARTMENT OF TRANSPORTATION

Federal Highway Administration

49 U.S.C. 521 (b)(2)(A)

Substitute "civil penalty not to exceed \$600 for each offense" for "civil penalty not to exceed \$500." Substitute "the total of all civil penalties assessed against any violator for all offenses relating to any single violation shall not exceed \$3,000" for "the total of all civil penalties assessed against any violator for all offenses relating to any single violation shall not exceed \$2,500." Substitute "the maximum fine for each such pattern of safety violations shall not exceed \$15,000" for "the maximum fine for each such pattern of safety violations shall not exceed \$10,000." Substitute "serious personal injury or death, the Secretary may assess a civil penalty not to exceed \$15,000" for "serious personal injury or death the Secretary may assess a civil penalty not to exceed \$10,000." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

49 U.S.C. 10927 Note

Substitute "not more than \$15,000 for each violation" for "not more than \$10,000." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

DEPARTMENT OF TRANSPORTATION

Maritime Administration

46 U.S.C. App. 1122a

Substitute "\$200" for "\$50." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

EXHIBIT 3—LAW MODIFICATIONS NECESSARY TO ENACT CIVIL MONETARY PENALTY INFLATION ADJUSTMENTS

DEPARTMENT OF TRANSPORTATION
National Highway Traffic Safety Administration

15 U.S.C. 1398(a)

Substitute "not to exceed \$4,000" for "not to exceed \$1,000" and "shall not exceed \$2,125,000" for "shall not exceed \$800,000."
The modified civil penalty amounts are applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amounts.

15 U.S.C. 1917(a)

Substitute "not to exceed \$3,000" for "not to exceed \$1,000" and "shall not exceed \$2,500,000" for "shall not exceed \$800,000."
The modified civil penalty amounts are applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amounts.

15 U.S.C. 1948(a)

Substitute "not to exceed \$3,000" for "not to exceed \$1,000" and "shall not exceed \$1,250,000" for "shall not exceed \$400,000."
The modified civil penalty amounts are applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amounts.

15 U.S.C. 1990b(a)

Substitute "shall not exceed \$225,000" for "shall not exceed \$100,000." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

15 U.S.C. 2008(b)(1)

Substitute "a civil penalty equal to the amount obtained by multiplying \$12" for "a civil penalty equal to the amount obtained by multiplying \$5." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

15 U.S.C. 2028(a)(4)

Substitute "shall not exceed \$325,000" for "shall not exceed \$250,000." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

DEPARTMENT OF TRANSPORTATION
Office of the Secretary - Aviation Enforcement and Proceedings

49 U.S.C. App. 1471(a)(1)

Insert "and except that any person who violates title IV of the Act shall be subject to a civil penalty not in excess of \$4,000 for each such violation."

EXHIBIT 3--LAW MODIFICATIONS NECESSARY TO ENACT CIVIL MONETARY PENALTY INFLATION ADJUSTMENTS

DEPARTMENT OF TREASURY

Bureau of Alcohol Tobacco and Firearms

26 U.S.C. 5761(a)

Substitute "\$9,000" for "\$1,000." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

26 U.S.C. 7268

Substitute "\$2,000" for "\$500." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

26 U.S.C. 7271

Substitute "\$100" for "\$50." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

26 U.S.C. 7304

Substitute "\$1,000" for "\$500." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

26 U.S.C. 7342

Substitute "\$2,000" for "\$500." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

DEPARTMENT OF THE TREASURY

Customs Service

19 U.S.C. 292

Substitute "the sum of \$300" for "the sum of \$20." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

19 U.S.C. 469

Substitute "a penalty of \$3,000" for "a penalty of \$200." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

EXHIBIT 3—LAW MODIFICATIONS NECESSARY TO ENACT CIVIL MONETARY PENALTY INFLATION ADJUSTMENTS

19 U.S.C. 1439

Substitute "not more than \$4,000" for "not more than \$500." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

19 U.S.C. 1440

Substitute "a penalty of \$4,000" for "a penalty of \$500." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified penalty amount.

19 U.S.C. 1445

Substitute "of not more than \$4,000" for "of not more than \$500." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified penalty amount.

19 U.S.C. 1455

Substitute "of not more than \$4,000" for "of not more than \$500." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified penalty amount.

19 U.S.C. 1581(c)

Substitute "of not more than \$40,000" for "of not more than \$5,000" and "not less than \$4,000" for "not less than \$500." The modified civil penalty amounts are applicable only in the case of violations occurring on or after the date of enactment of the modified penalty amount.

19 U.S.C. 1581(d)

Substitute "of not more than \$40,000" for "of not more than \$5,000" and "not less than \$8,000" for "not less than \$1,000." The modified civil penalty amounts are applicable only in the case of violations occurring on or after the date of enactment of the modified penalty amount.

19 U.S.C. 1587(a)

Substitute "not more than \$45,000 nor less than \$5,000" for "not more than \$5,000 nor less than \$500." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

19 U.S.C. 1599

Substitute "a penalty of \$1,000" for "a penalty of \$500." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

EXHIBIT 3—LAW MODIFICATIONS NECESSARY TO ENACT CIVIL MONETARY PENALTY INFLATION ADJUSTMENTS

19 U.S.C. 1627a(a)(1)

Substitute "not to exceed \$15,000" for "not to exceed \$10,000." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

19 U.S.C. 1627a(b)

Substitute "not more than \$600" for "not more than \$500." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

19 U.S.C. 1708(a)

Substitute "not less than \$9,000" for "not less than \$1,000." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

46 U.S.C. 91

Substitute "not more than \$9,000 nor less than \$5,000" for "not more than \$1,000 nor less than \$500." Substitute "not more than \$45,000 nor less than \$9,000" for "not more than \$5,000 nor less than \$1,000." Substitute "in the penal sum of \$9,000" for "in the penal sum of \$1,000." Substitute "a penalty of \$500" for "a penalty of \$50." Substitute "a penalty of \$900" for "a penalty of \$100." The modified civil penalty amounts are applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amounts.

46 U.S.C. 289

Substitute "under a penalty of \$3,000" for "under a penalty of \$200." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

DEPARTMENT OF THE TREASURY

Internal Revenue Service

26 U.S.C. 6652(c)(1)(D)

Substitute "\$40" for "\$10." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

26 U.S.C. 6674

Substitute "\$200" for "\$50." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

EXHIBIT 3--LAW MODIFICATIONS NECESSARY TO ENACT CIVIL MONETARY PENALTY INFLATION ADJUSTMENTS

26 U.S.C. 6675

Substitute "\$50" for "\$10." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

26 U.S.C. 6677

Substitute "not more than \$2,000" for "not more than \$1,000." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

26 U.S.C. 6682

Substitute "\$700" for "\$500." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

26 U.S.C. 6686

Substitute "not more than \$30,000" for "not more than \$25,000." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

26 U.S.C. 6688

Substitute "\$300" for "\$100." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

26 U.S.C. 6690

Substitute "\$100" for "\$50." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

26 U.S.C. 6692

Substitute "not more than \$3,000" for "not more than \$1,000." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

26 U.S.C. 6695(f)

Substitute "\$1,000" for "\$500." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

EXHIBIT 3—LAW MODIFICATIONS NECESSARY TO ENACT CIVIL MONETARY PENALTY INFLATION ADJUSTMENTS

26 U.S.C. 6698

Substitute "\$100" for "\$50." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

26 U.S.C. 6702

Substitute "\$700" for "\$500." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

26 U.S.C. 6704

Substitute "\$60" for "\$50" and "\$60,000" for "\$50,000." The modified civil penalty amounts are applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

26 U.S.C. 6705

Substitute "\$700" for "\$500." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

26 U.S.C. 6706(a)

Substitute "\$60" for "\$50." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

26 U.S.C. 6706(b)

Substitute "\$65,000" for "\$50,000." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

26 U.S.C. 7268

Substitute "\$2,000" for "\$500." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

26 U.S.C. 7269

Substitute "\$1,000" for "\$500." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

EXHIBIT 3--LAW MODIFICATIONS NECESSARY TO ENACT CIVIL MONETARY PENALTY INFLATION ADJUSTMENTS

26 U.S.C. 7271

Substitute "\$100" for "\$50." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

26 U.S.C. 7272

Substitute "\$200" for "\$50." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

26 U.S.C. 7273

Substitute "\$40" for "\$10" and "\$70" for "\$20." The modified civil penalty amounts are applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

26 U.S.C. 7304

Substitute "\$1,000" for "\$500." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

26 U.S.C. 7342

Substitute "\$2,000" for "\$500." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

DEPARTMENT OF THE TREASURY

Office of the Comptroller of the Currency

12 U.S.C. 1832

Substitute "\$3,000" for "\$1,000." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

ADDITIONAL PENALTIES IDENTIFIED BY THE DEPARTMENT OF JUSTICE

22 U.S.C. 2399b(a)

Substitute "the sum of \$7,000" for "the sum of \$2,000." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.

EXHIBIT 3--LAW MODIFICATIONS NECESSARY TO ENACT CIVIL MONETARY PENALTY INFLATION ADJUSTMENTS

40 U.S.C. 489(b)(1)

Substitute "the sum of \$10,000" for "the sum of \$2,000." The modified civil penalty amount is applicable only in the case of violations occurring on or after the date of enactment of the modified civil penalty amount.